I. **GRANT DESCRIPTION.**

A. The purpose of the Prevention and Intervention Demonstration Project grant is to provide funding for programs and services to prevent or intervene in at-risk behaviors that lead to delinquency or referral to the juvenile justice system.

B. **Grant Term.** This program is in effect from the date indicated on the original grant award notice received by the juvenile probation department (Grantee) for an initial two-year term and may be renewed for up to two (2) additional two-year terms.

II. **GRANT SPECIFIC DEFINITIONS.**

A. **At-Risk Youth.** Behaviors that lead to delinquency, truancy, or referral to the juvenile justice system.

B. **Eligible Population.** Juveniles not currently under the jurisdiction of the juvenile court or the supervision of the juvenile probation department who are ages six (6) through seventeen (17) and their families.

C. **Grantee.** The juvenile boards, juvenile probation department, or community service provider receiving funds under this grant.

D. **Prevention and Intervention.** Prevention and intervention means programs and services intended to prevent or intervene in at-risk behaviors including, but not limited to, delinquency or referral to the juvenile justice system.

III. **PERFORMANCE MEASURES.**

A. **Goal.** The goal of the Prevention and Intervention Demonstration Project is to provide community-based prevention and intervention programs and services intended to prevent and/or intervene in behaviors that put youth at risk of involvement with the juvenile justice system.

B. **Program Objective.** The objective of the Prevention and Intervention Demonstration Project is to provide community-based programs and services for juveniles identified as “at-risk” and their families in an effort to divert youth from the juvenile justice system.

C. **Performance.** Grantee performance under this grant shall be determined using the following measures:

1. Number of at-risk youth served by the grant during the reporting period;

2. The school absence rate prior to program entry and after program exit for juveniles served by the grant during the reporting period;

3. The number of disciplinary referrals prior to program entry and after program exit for juvenile served by the grant during the reporting period;

4. Number of youth ages 10 to 16 served by the grant who are referred to a juvenile probation department within one year of program entry; and

5. The cost per youth participating in a grant funded program.

IV. **PROGRAMMATIC COMPONENTS.**

A. **Program Requirements.** Grantee shall provide programs and services as detailed in their Prevention and Intervention Demonstration Project Plan (original or updated) as submitted to and approved by the Texas Juvenile Justice Department (Department) via the Grant Manager System. The Prevention and Intervention Demonstration Project Plan submitted by the Grantee is incorporated by reference into the requirements of this grant. Any changes from the approved plan submitted by the Grantee shall be approved by the Department in writing or in the Grant Manager System.

B. **Eligibility.** At-risk youth served under this grant shall meet the definition of eligible population as defined herein.
C. **Documentation.** Documentation for all programs and services shall be maintained online where specified, as well as by the Grantee and is subject to review by the Department during on-site monitoring visits or upon request and shall include:

1. Entry of this program in the Department’s online “Program Registry”;
2. Date, time, and duration of activity;
3. Location of activity; and
4. Number of participants.

D. **Program Policy and Procedure.** The Grantee shall have written policies and procedures governing all programs and services provided under this grant.

E. **Licensing and Certification.** The Grantee shall ensure that all licensed and/or certified staff members maintain a current license and/or certification if the programs and services provided under this grant require professional licensure or certification.

V. **PROGRAMMATIC REPORTING**

A. **Annual Reports.**

1. **End-of-Year Program Summary Report.** The Grantee shall complete an *End-of-Year Program Summary* in a format specified by the Department no later than October 1. This report shall include, but is not limited to:

   a. A list of program activities or services, which includes approved changes to the original application;
   b. Total number served (this includes targeted at-risk youth as well as parents, siblings, or other family members who participated in programming);
   c. Number of at-risk youth served;
   d. Number of youth carried over from previous fiscal year;
   e. Number of youth who began services this fiscal year;
   f. Total number of youth served;
   g. Site-specific outcome-related data; and
   h. How the programs and services met the overall goals and objectives as detailed in the *Prevention and Intervention Demonstration Project Plan*.

B. **Quarterly Reports.** None.

C. **Monthly Reports.** The Grantee shall submit monthly extract data electronically to the Department no later than the 10th calendar day of each month following the reporting period as required in Title 37, Texas Administrative Code, Chapter 341 or successor provisions which become effective during the term of this Contract. The Prevention and Intervention information must be reflected in the referral and program tables of the monthly extract. Funds may be temporarily suspended if the monthly program report is not received by the due date.

D. **Other Periodic Reports.** See General Grant Requirements.

VI. **PROGRAMMATIC MONITORING.**

A. **On-Site Monitoring Visits.** See General Grant Requirements.

B. **Unannounced On-Site Monitoring Visits.** See General Grant Requirements.

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**Summary of Grant Requirements**
C. Desk Audits. See General Grant Requirements.

D. Other. None.

VII. APPLICABLE LAW, POLICY, AND PROCEDURE. The Grantee shall comply with the following applicable state laws, federal laws, standards, policies, and procedures as they currently exist or as amended or renumbered and enacted and effective during the term of this Contract:

A. Applicable Standards.
   1. Texas Administrative Code (TAC) Title 37 Public Safety and Corrections, Chapters 341 – 359.

B. General Statutes.
   1. Federal Law. See General Grant Requirements.
   2. State Law. See Also General Grant Requirements.
         i. Chapter 62 – Sex Offender Registration Program.
      b. Texas Family Code, Title 3, Juvenile Justice Code.
         i. Chapter 59 – Progressive Sanctions Model.
      d. Texas General Appropriations Act.
         i. Chapter 783 - Uniform Grant and Contract Management Act.

C. Policy and Procedure.

VIII. FINANCIAL COMPONENTS.

A. Allowable Expenditure of Funds. Grant funds shall be expended solely for the provision of prevention and intervention programs and services within the budget categories of salaries, fringe, travel, training, operating, non-residential services and contract services in accordance with the approved Annual Budget Application submitted by the Grantee per fiscal year for the current grant period subject to Subsection B below.

B. Unallowable Expenditure of Funds. See also General Grant Requirements.
   1. Any expenditures not authorized in Section VIII.A. are unallowable under this grant including, but not limited to:
      a. Salary increases;
      b. Equipment or capital that has not been pre-approved by the Department;
      c. Costs associated with any residential placement; and
      d. Any expenditure which is not reasonable and necessary to implement the Prevention and Intervention Demonstration Project.

C. Financial Match Requirements.
   1. The Grantee shall ensure that county funding for prevention and intervention (i.e., prevention and intervention programs and services) shall not be decreased or be supplanted by funding received under this grant. The Grantee shall certify that the amount of local or county funds expended for prevention and intervention programs and services during the term of this Contract is equal to the amount of funding received under this grant.
intervention is at least equal to or greater than the amount spent in the 2006 county fiscal year excluding construction and capital outlay expenses.

D. Funding Adjustments.

1. Funding Adjustment Methodology. This grant is at all times subject to state appropriations. The Department makes no express or implied representation or guarantee of continued or future funding of the grant and may reduce funds to the Grantee in accordance with the funding adjustment methodology that may be developed by the Department.

2. Unexpended Balances. See General Grant Requirements.

3. Overpayments. See General Grant Requirements.

4. Refunds Due. See General Grant Requirements.

E. Timely Expenditure of Grant Funds. See General Grant Requirements.

F. Audits. See General Grant Requirements.

G. Financial Assurances. See General Grant Requirements.

H. Service Provider. See General Grant Requirements.

IX. FINANCIAL REPORTING.

A. Annual Reports.

1. Budget Application. The Grantee shall submit a completed Annual Budget Application concurrent with the submission of the executed State Financial Assistance Contract for the Department’s approval. The Annual Budget Application is found on the Department’s website and shall be submitted electronically as specified by the Department per fiscal year for the current grant period.

B. Quarterly Reports. The Grantee shall report the expenditure of all funds received through this grant on the Quarterly Expenditure Report. Reports shall be received by the Department no later than December 31, March 31, June 30, and September 30 of the current fiscal year of the biennium, respectively. Funds may be temporarily suspended if a Quarterly Expenditure Report is not received by the due date.

C. Monthly Reports. None.

D. Other Periodic Reports.

1. Budget Adjustments. The Grantee shall submit the Budget Adjustment Request through the Grant Manager System for any adjustment to the original budget. The Grantee shall receive written approval from the Department prior to expending the funds.

X. FINANCIAL MONITORING.

A. Annual Monitoring. See General Grant Requirements.

B. Periodic Monitoring. See General Grant Requirements.