



TEXAS
JUVENILE JUSTICE
DEPARTMENT

Office of Internal Audit

FY 2013 Audit Plan

Amended and Approved by the TJD Board March 2013

Full Scope Projects

Mandatory

- Alleged Mistreatment

Discretionary

- Agency Monitoring and Reporting
- Parole
- Due Process Hearings

Limited Scope Projects

Mandatory

- Facilities
 - 2 Institutions
 - 2 Halfway Houses
- Texas Administrative Code, Chapter 202 Compliance

Discretionary

- Agency Monitoring Activities – consulting project
Prepare an Assurance Map of the agency's monitoring activities. (UT Student Team)
- Ethics
Evaluate the agency's ethics program and compare it to established best practices. (UT Student Team)
- Grant Funds
Review the process of allocating and distributing grant funds to county probation departments.
- Phoenix Program
Determine whether the Phoenix Program is operating in accordance with agency policy.
- Procurement Cards
Determine the effectiveness of select controls in the use of P-Cards.
- Audit of Student Use PCs and Flash Drives
Review the agency's controls related to student use of our PCs in our state facilities.
- Follow Up/Verification of Prior Audit Recommendations



Other Projects

Mandatory

- Annual Risk Assessment and Audit Plan
- Annual Internal Audit Report
- Annual Quality Assurance and Improvement Program Review

Discretionary

- Management Assistance
- External Quality Assurance Review – Reciprocating Services