EXECUTIVE SUMMARY

3rd QUARTER FISCAL YEAR 2011

OIG was created as a law enforcement division within TYC in June 2007 during the 80th Legislative Session as a result of the enrollment of Senate Bill 103 and House Bill 914. OIG was established for the purpose of investigating: (1) crimes committed by commission employees, including parole officers employed by or under contract with the commission; and (2) crimes committed at a facility operated by the commission or at a residential facility operated by another entity under contract with the commission.

The aforementioned investigative criteria was expanded in June 2009 during the 81st Legislative Session as a result of the enrollment House Bill 3689 and Senate Bill 1020 to include: crimes committed at any facility in which a child committed to the custody of TYC is housed or receives medical or mental health treatment.

Senate Bill 103 and HB 914 require the submission of quarterly reports to the following:

- The Executive Director;
- The TYC Board;
- The Governor;
- The Lieutenant Governor;
- The Speaker of the House of Representatives;
- The standing committees of the Senate and House of Representatives with primary jurisdiction over correctional facilities;
- The State Auditor;
- The Comptroller; and on
- The Commission’s Internet Website.

During the third quarter of fiscal year 2011, OIG opened 221 criminal investigations and completed/closed 255 investigations. Also during this time period, OIG investigations resulted in 44 apprehensions, 12 arrests, 16 indictments, 13 convictions, and 6 adjudications.

This report, along with all previous OIG quarterly and annual reports, can be viewed online at the TYC webpage: http://www.tyc.state.tx.us/oig/index.html.
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<td>Total Prosecution Cases by Location</td>
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<tr>
<td>Total Prosecution Cases by Disposition</td>
<td>21</td>
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</tbody>
</table>
Total Cases by Type

- Inappropriate Relationship: Mar 2011 - 4, Apr 2011 - 4, May 2011 - 4
- Information File: Mar 2011 - 1, Apr 2011 - 1, May 2011 - 1
- Other: Mar 2011 - 1, Apr 2011 - 2, May 2011 - 2
Total Cases Opened and Closed

Number of Cases

Mar 2011
76

81

Apr 2011
105

62

May 2011
69

Opened
Closed
Investigative Cases Opened by Secure Facility
Investigative Cases Opened by Halfway House

Number of Cases

- Ayres House: 3
- McFadden: 1
- Schaeffer House: 1
- Turman House: 1
Investigative Cases Opened by Contract Facility

- BYRDS THERAPEUTIC GROUP HOME: 1 case
- HARRIS COUNTY PSYCHIATRIC CENTER: 1 case
Assault Cases by Secure Facility

- Assault-Staff/Offender
- Assault-Offender/Staff
- Assault-Offender/Offender

<table>
<thead>
<tr>
<th>Facility</th>
<th>Assault-Staff/Offender</th>
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<td>CORSICANA RTC</td>
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<tr>
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<td>9</td>
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<tr>
<td>EVINS REG JUV CNTR</td>
<td>1</td>
<td>4</td>
<td>2</td>
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<tr>
<td>GAINESVILLE</td>
<td>2</td>
<td>4</td>
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<tr>
<td>GIDDINGS</td>
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<tr>
<td>MCLENNAN II</td>
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<td>1</td>
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<td>MCLENNAN O &amp; A UNIT</td>
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<tr>
<td>RON JACKSON 1 &amp; II</td>
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Assault Cases by Halfway House

Number of Cases

1

SCHAEFFER HOUSE
Assault Cases by Contract Facility

Number of Cases

HARRIS COUNTY PSYCHIATRIC CENTER

1

Assault-Offender/Staff
Sexual Misconduct Cases by Secure Facility

<table>
<thead>
<tr>
<th>Facility</th>
<th>Sexual Misconduct-Staff/Offender</th>
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<td>GIDDINGS</td>
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<td>2</td>
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<tr>
<td>MCLENNAN II</td>
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<td>WEST TEXAS</td>
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</tbody>
</table>
Staff Misconduct / Waste, Fraud & Abuse / Destruction of State Property
Cases by Contract Facility
Contraband Cases by Secure Facility

- AL PRICE SJF: 5 Drugs, 5 Contraband
- CORSICANA RTC: 2 Drugs, 21 Contraband
- EVINS REG JUV CNTR: 1 Drugs, 2 Contraband
- GAINESVILLE: 1 Drugs, 1 Contraband
- GIDDINGS: 5 Drugs, 28 Contraband
- MCLENNAN I: 2 Drugs, 2 Contraband
- MCLENNAN II: 2 Drugs, 2 Contraband
- RON JACKSON I & II: 5 Drugs, 5 Contraband

Number of Cases
Contraband Cases by Halfway House

Number of Cases

AYRES HOUSE

Drugs
Contraband

1

1

1
Escape / Riot / Terroristic Threat Cases by Secure Facility

- CORSICANA RTC: 5 cases
- CROCKETT: 3 cases
- EVINS REG JUV CNTR: 1 case

Legend:
- Terroristic Threat
Escape / Riot / Terroristic Threat Cases by Halfway House

Number of Cases

AYRES HOUSE

1 Terroristic Threat
Total Prosecution Cases by Location

- March 2011
- May 2011
- April 2011

Number of Cases

- AL PRICE
- CORSICANA
- CROCKETT
- EVINS REG
- JUV CNTR
- GAINESVILLE
- GIDDINGS
- MCLENNAN I
- MCLENNAN II
- MCLENNAN O & A UNIT
- RON JACKSON I & II
- VICTORY FIELD
- WEST TEXAS

- 7
- 5
- 4
- 8
- 5
- 2
- 3
- 2
- 2
- 2
- 2
- 2
Total Cases by Prosecution Disposition

- Submitted to Prosecution
- Declined by Prosecution
- Further Investigation Required
- Accepted by Prosecution
- Indicted
- Arrested
- Convicted
- Adjudicated
- Sentenced/Disposition
- Taken Into Consideration (TIC)
- Petition
- Pre-Trial Diversion
- No Billed

Number of Cases

March 2011
- Submitted: 43
- Declined: 15
- Further Investigation: 9
- Accepted: 4
- Indicted: 0
- Arrested: 4
- Convicted: 9
- Adjudicated: 6
- Sentenced: 4
- TIC: 0
- Petition: 0
- Pre-Trial Diversion: 0
- No Billed: 0

April 2011
- Submitted: 32
- Declined: 17
- Further Investigation: 4
- Accepted: 4
- Indicted: 0
- Arrested: 2
- Convicted: 4
- Adjudicated: 3
- Sentenced: 3
- TIC: 0
- Petition: 0
- Pre-Trial Diversion: 0
- No Billed: 0

May 2011
- Submitted: 45
- Declined: 12
- Further Investigation: 7
- Accepted: 7
- Indicted: 0
- Arrested: 7
- Convicted: 4
- Adjudicated: 2
- Sentenced: 4
- TIC: 0
- Petition: 0
- Pre-Trial Diversion: 0
- No Billed: 0