EXECUTIVE SUMMARY

4th QUARTER FISCAL YEAR 2011

The Office of Inspector General (OIG) Incident Reporting Center (IRC) was created in 2007 following the establishment of the legislative mandated (Senate Bill 103 and House Bill 914) “investigation hotline.” The IRC is a means for Texas Youth Commission (TYC) youth, the family of TYC youth, TYC employees, and others to report violations and crimes involving TYC interests. The IRC is operated 24 hours a day, 7 days a week. Information received by the IRC is documented in a database and assigned by OIG for review, resolution, and/or investigation.

Senate Bill 103 and House Bill 914 require the submission of IRC quarterly reports to the following:

- The Executive Director;
- The TYC Board;
- The Governor;
- The Lieutenant Governor;
- The Speaker of the House of Representatives;
- The standing committees of the Senate and House of Representatives with primary jurisdiction over correctional facilities;
- The State Auditor;
- The Comptroller; and on
- The Commission’s Internet Website.

During the fourth quarter of fiscal year 2011, the IRC documented 2,501 reports in the IRC database, which were subsequently assigned as follows: 315 OIG Administrative, 168 OIG Criminal, 724 TYC Youth Services, 991 TYC Youth Grievance, and 303 closed (Note: Closed IRC reports may include reports related to the entry of TYC Directives to Apprehend into the Texas and National Crime Information Centers, reports alleging incidents not involving TYC interests, reports forwarded to a TYC division other than Residential Services or Grievance, and duplicate reports).

The data obtained for this report is based on the date of the report to the IRC.

This report, in addition to all previous OIG quarterly and annual reports, can be viewed online at the TYC webpage: http://www.tyc.state.tx.us/oig/index.html.
TABLE OF CONTENTS

Total Reports by Month........................................................................................................................................................................................................................................4
Total Reports by Information Source............................................................................................................................................................................................................. 5
Total Reports by Status............................................................................................................................................................................................................. 6
Total Reports by Secure Facility............................................................................................................................................................................................................. 7
Total Reports by Halfway House............................................................................................................................................................................................................. 8
Total Reports by Contract Facility............................................................................................................................................................................................................. 9
Total Reports Reviewed by UOF Monitor............................................................................................................................................................................................................. 10
OC Spray Reports by Secure Facility............................................................................................................................................................................................................. 11
Total Reports by Month
6/1/2011 thru 8/31/2011

Number of Reports

Jun 2011: 751
Jul 2011: 871
Aug 2011: 879
Total Reports by Information Source
6/1/2011 thru 8/31/2011

Number of Reports

- AIM Report
- CPS - Family and Protective Services
- Hotline Tip
- OIG Investigator
- Other
- State Auditor's Office


<table>
<thead>
<tr>
<th></th>
<th></th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>AIM Report</td>
<td>1</td>
<td></td>
<td></td>
</tr>
<tr>
<td>CPS - Family and Protective Services</td>
<td>1</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Hotline Tip</td>
<td>746</td>
<td>865</td>
<td>873</td>
</tr>
<tr>
<td>OIG Investigator</td>
<td>1</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Other</td>
<td>2</td>
<td>4</td>
<td>2</td>
</tr>
<tr>
<td>State Auditor's Office</td>
<td>2</td>
<td>2</td>
<td>2</td>
</tr>
</tbody>
</table>
### Total Reports By Status

<table>
<thead>
<tr>
<th></th>
<th>Closed</th>
<th>Referred to OIG Administrative</th>
<th>Referred to OIG Criminal</th>
<th>Referred to TYC Youth Grievance</th>
<th>Referred to TYC Youth Svcs</th>
</tr>
</thead>
<tbody>
<tr>
<td>Jun 2011</td>
<td>87</td>
<td>103</td>
<td>65</td>
<td>230</td>
<td>266</td>
</tr>
<tr>
<td>Jul 2011</td>
<td>98</td>
<td>107</td>
<td>55</td>
<td>361</td>
<td>250</td>
</tr>
<tr>
<td>Aug 2011</td>
<td>118</td>
<td>105</td>
<td>48</td>
<td>400</td>
<td>208</td>
</tr>
</tbody>
</table>
Total Reports by Secure Facility
6/1/2011 thru 8/31/2011
Total Reports by Halfway House
6/1/2011 thru 8/31/2011

Number of Reports

- Ayres House: 18
- Beto House: 12
- Cottrell House: 8
- McFadden: 12
- Schaefer House: 4
- Tamayo House: 7
- Turman House: 40
- Willoughby House: 21
- York House: 13
Total Reports by Contract Facility
6/1/2011 thru 8/31/2011

- ABRAXAS YOUTH AND FAMILY SERVICES: 2 reports
- ASSOCIATED MARINE INSTITUTES, INC. DBA RGM: 5 reports
- BYRDS THERAPEUTIC GROUP HOME: 6 reports
- GARZA COUNTY REGIONAL JUVENILE CENTER: 16 reports
- GULF COAST TRADES CENTER: 9 reports
- HARRIS COUNTY PSYCHIATRIC CENTER: 15 reports
- NATIONAL MENTOR HEALTHCARE LLC SO INTERMEDIATE: 1 report
- NEW DAY ACHIEVEMENT CENTER: 2 reports
- SPECIALIZED ALTERNATIVES FOR YOUTH (SAFY): 1 report
- THERAPEUTIC FAMILY LIFE: 3 reports
- UNITY CHILDREN'S HOME: 1 report
Reports Reviewed by Use-of-Force Monitor
6/1/2011 thru 8/31/2011

Number of Reports

Jun 2011: 14, 15, 14
Jul 2011: 28, 11, 67
Aug 2011: 32, 13, 37
OC Spray Reports by Secure Facility
6/1/2011 thru 8/31/2011

Number of Reports

- AL PRICE SJCF: 3
- CROCKETT: 1
- EVINS REG JUV CNTR: 4
- GAINESVILLE: 7
- GIDDINGS: 55
- MCLENNAN I: 1
- MCLENNAN II: 3
- MCLENNAN O&A UNIT: 2