What facilities are included in this data collection?
All State-operated juvenile residential placement facilities used to house juveniles and youthful offenders, regardless of age or reason for placement.
- INCLUDE State-operated juvenile residential facilities such as: detention centers, training schools, long-term secure facilities; reception or diagnostic centers; group homes or halfway houses; boot camps; ranches; forestry camps; wilderness or marine programs, or farms; runaway or homeless shelters; and residential treatment centers for juveniles.
- EXCLUDE privately operated facilities and facilities operated or administered by local governments. (These facilities will be contacted directly for data on sexual victimization.)

What persons and incidents are included in this data collection?
Juveniles and youthful offenders, regardless of age or reason for placement, under your custody between January 1, 2013, and December 31, 2013.
- INCLUDE incidents involving juveniles or youthful offenders under the authority, custody, or care of your confinement or community-based facilities or staff.
- EXCLUDE incidents involving juveniles or youthful offenders not held in facilities operated by your State Juvenile system.

Reporting instructions:
- Please complete the entire SSV-5 Form.
- If the answer to a question is "not available" or "unknown," write "DK" (do not know) in the space provided.
- If the answer to a question is "not applicable," write "NA" in the space provided.
- If the answer to a question is "none" or "zero," mark the box (0) provided.
- When exact numeric answers are not available, provide estimates and mark (9) the box beside each figure.

Substantiated incidents of sexual violence:
- Please complete an Incident Form (Juvenile, SSV-IJ) for each substantiated incident of sexual victimization.

Returning forms:
- If you need assistance, please call Greta Clark at the U.S. Census Bureau toll-free at 1-800-253-2078 or email govs.ssv@census.gov
- Please return your completed questionnaire and substantiated incident forms by September 15, 2014.
- You may complete these forms online (see enclosed instructions). If you prefer, you may return these forms by mail or fax.
- MAIL TO: U.S. Census Bureau, P.O. Box 5000, Jeffersonville, IN 47169-5000
- FAX (TOLL FREE): 1-888-262-3974

Burden Statement
Under the Paperwork Reduction Act, we cannot ask you to respond to a collection of information unless it displays a currently valid OMB control number. The burden of this collection is estimated to average 60 minutes per response, including reviewing instructions, searching existing data sources, gathering necessary data, and completing and reviewing this form. Send comments regarding this burden estimate or any aspect of this survey, including suggestions for reducing this burden, to the Director, Bureau of Justice Statistics, 810 Seventh Street, NW, Washington, DC 20531. Do not send your completed form to this address.
DEFINITIONS

JUVENILES and YOUTHFUL OFFENDERS
- Any person under the jurisdiction of your State's juvenile system or youthful offender authority, regardless of age or reason for placement.

FACILITIES
INCLUDE all State-operated facilities used to house juveniles or youthful offenders charged with or court-adjudicated for:
- Any offense that is illegal for both adults and juveniles;
  OR
  - An offense that is ILLEGAL in your State for juveniles, but not for adults (running away, truancy, incorrigibility, curfew violations, and liquor violations).

EXCLUDE State-operated facilities used ONLY to house juveniles for:
- Non-criminal purposes (neglect, abuse, abandonment, or dependency);
  OR
  - Being Persons in Need of Services (PINS) or Children in Need of Services (CHINS) who have assigned beds for reasons other than offenses.

Section I – GENERAL INFORMATION

1. On December 31, 2013, how many facilities operated by your State held juveniles or youthful offenders CHARGED WITH or COURT-ADJUDICATED FOR AN OFFENSE?
   Number of facilities: 14
   - Count all juvenile residential facilities where young persons who have committed offenses may be housed overnight.
   - Count each facility with a separate physical location only once. Do not count separate living/sleeping units, wings, floors, dorms, barracks, or cottages within a single facility.

2. On December 31, 2013, how many persons held in the facilities reported in Item 1 were —
   a. Males: 1111
   b. Females: 114
   c. TOTAL (Sum of Items 2a and 2b): 1225

3. On December 31, 2013, how many persons held in the facilities reported in Item 1 were —
   a. Age 17 or younger: 974
   b. Age 18 to 20: 251
   c. Age 21 or older: 0
   d. TOTAL (Sum of Items 3a through 3c should equal Item 2c): 1225

4. Between January 1, 2013, and December 31, 2013, how many persons were admitted to or discharged from the facilities reported in Item 1?
   a. TOTAL number admitted: 992
   b. TOTAL number discharged: 1073

   - Include all persons admitted into your State-operated juvenile residential facilities by a formal legal document, by the authority of the courts, or by some other official agency.
   - Include all persons discharged from your State-operated juvenile residential facilities after a period of confinement including sentence completion, pretrial releases, transfers to adult jurisdictions or to other States, and deaths.
   - Exclude admissions and discharges resulting from returns from escape, administrative transfers to other juvenile facilities operated by your State, or temporary releases including work/school release, medical appointments, other treatment facilities, or court appearances.
Section II - YOUTH-ON-YOUTH SEXUAL VICTIMIZATION

DEFINITIONS

The survey utilizes the definition of "sexual abuse" as provided by 28 C.F.R. §115.6 in the National Standards to Prevent, Detect, and Respond to Prison Rape (under the Prison Rape Elimination Act of 2003). For purposes of SSV, sexual abuse is disaggregated into three categories of youth-on-youth sexual victimization. These categories are:

NONCONSENSUAL SEXUAL ACTS

Sexual contact of any person without his or her consent, or of a person who is unable to consent or refuse;

AND

- Sexual contact between the penis and the vulva or the penis and the anus including penetration, however slight;
  OR

- Contact between the mouth and the penis, vulva, or anus;
  OR

- Penetration of the anal or genital opening of another person, however slight, by a hand, finger, object, or other instrument.

ABUSIVE SEXUAL CONTACT

Sexual contact of any person without his or her consent, or of a person who is unable to consent or refuse;

AND

- Intentional touching, either directly or through the clothing, of the genitalia, anus, groin, breast, inner thigh, or buttocks of any person.
- EXCLUDE incidents in which the contact was incidental to a physical altercation.

SEXUAL HARASSMENT

Repeated and unwelcome sexual advances, requests for sexual favors, or verbal comments, gestures, or actions of a derogatory or offensive sexual nature by one youth directed toward another.

5. Does your State juvenile system record allegations of youth-on-youth NONCONSENSUAL SEXUAL ACTS?

   01 X Yes  a. Do you record all reported occurrences, or only substantiated ones?
       01 X All
       02 [] Substantiated only
   02 [] No  Please provide the definition used by your State juvenile system for youth-on-youth NONCONSENSUAL SEXUAL ACTS in the space below. Use that definition to complete items 6 and 7.

   02 [] No  Please provide the definition used by your State juvenile system for youth-on-youth NONCONSENSUAL SEXUAL ACTS in the space below. Use that definition to complete items 6 and 7.

6. Between January 1, 2013, and December 31, 2013, how many allegations of youth-on-youth NONCONSENSUAL SEXUAL ACTS were reported?

   Number reported . . . . . . . . 2 X None
   - If an allegation involved multiple victimizations, count only once.
   - Exclude any allegations that were reported as consensual.

7. Of the allegations reported in Item 6, how many were — (Please contact the agency or office responsible for investigating allegations of sexual victimization in order to fully complete this form.)

   a. Substantiated . . . . . . . . 0 X None
      - The event was investigated and determined to have occurred, based on a preponderance of the evidence (28 C.F.R. §115.72).
   b. Unsubstantiated . . . . . . . . 1 X None
      - The investigation concluded that evidence was insufficient to determine whether or not the event occurred.
   c. Unfounded . . . . . . . . 1 X None
      - The investigation determined that the event did NOT occur.
   d. Investigation ongoing . . . . . . . . 0 X None
      - Evidence is still being gathered, processed or evaluated, and a final determination has not yet been made.
   e. TOTAL (Sum of Items 7a through 7d) . . . . . . . . 2 X None
      - The total should equal the number reported in Item 6.
8. Does your State juvenile system record allegations of youth-on-youth ABUSIVE SEXUAL CONTACT? (See definitions on page 3.)

01 X Yes → Can these be counted separately from allegations of NONCONSENSUAL SEXUAL ACTS?

01 X Yes

02 √ No → Skip to Item 11.

02 √ No → Please provide an explanation in the space below and then skip to Item 11.

9. Between January 1, 2013, and December 31, 2013, how many allegations of youth-on-youth ABUSIVE SEXUAL CONTACT were reported?

Number reported .... 7 X None

- If an allegation involved multiple victimizations, count only once.
- Exclude any allegations that were reported as consensual.

10. Of the allegations reported in Item 9, how many were — (Please contact the agency or office responsible for investigating allegations of sexual victimization in order to fully complete this form.)

a. Substantiated ....... 3 X None

b. Unsubstantiated ... 0 X None

c. Unfounded ......... 4 X None

d. Investigation ongoing .... 0 X None

e. TOTAL (Sum of Items 10a through 10d) ...... 7 X None

- The total should equal the number reported in Item 9.

11. Does your State juvenile system record allegations of youth-on-youth SEXUAL HARASSMENT? (See definitions on page 2.)

01 X Yes → Do you record all reported allegations or only substantiated ones?

01 X All

02 √ Substantiated only

02 √ No → Please provide an explanation in the space below and then skip to Section III.

12. Between January 1, 2013, and December 31, 2013, how many allegations of youth-on-youth SEXUAL HARASSMENT were reported?

Number reported .... 1 X None

- If an allegation involved multiple victims or youth perpetrators, count only once.
- Exclude any allegations that were reported as consensual.

13. Of the allegations reported in Item 12, how many were — (Please contact the agency or office responsible for investigating allegations of sexual victimization in order to fully complete this form.)

a. Substantiated ....... 0 X None

b. Unsubstantiated ... 1 X None

c. Unfounded ......... 0 X None

d. Investigation ongoing .... 0 X None

e. TOTAL (Sum of Items 13a through 13d) ...... 1 X None

- The total should equal the number reported in Item 12.
### Section III - STAFF-ON-YOUTH SEXUAL ABUSE

#### DEFINITIONS

The survey utilizes the definition of "sexual abuse" by a staff member, contractor, or volunteer as provided by 28 C.F.R. §115.6 in the National Standards to Prevent, Detect, and Respond to Prison Rape (under the Prison Rape Elimination Act of 2003). For purposes of SSV, sexual abuse is disaggregated into two categories of staff-on-youth sexual abuse. These categories are:

**STAFF SEXUAL MISCONDUCT**

Any behavior or act of a sexual nature directed toward a youth by an employee, volunteer, contractor, official visitor or other agency representative (exclude family, friend or other visitors).

Sexual relationships of a romantic nature between staff and youths are included in this definition. Consensual or nonconsensual sexual acts include—

- Intentional touching, either directly or through the clothing, of the genitalia, anus, groin, breast, inner thigh, or buttocks that is unrelated to official duties or with the intent to abuse, arouse, or gratify sexual desire;

  OR

- Completed, attempted, threatened, or requested sexual acts;

  OR

- Occurrences of indecent exposure, invasion of privacy, or staff voyeurism for reasons unrelated to official duties or for sexual gratification.

**STAFF SEXUAL HARASSMENT**

Repeated verbal statements, comments or gestures of a sexual nature to a youth by an employee, volunteer, contractor, official visitor, or other agency representative (exclude family, friends, or other visitors). Include—

- Demeaning references to gender; or sexually suggestive or derogatory comments about body or clothing;

  OR

- Repeated profane or obscene language or gestures.

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14. **Does your State juvenile system record allegations of STAFF SEXUAL MISCONDUCT?**

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<tr>
<td>01</td>
<td>X</td>
<td>Yes → Do you record all reported occurrences, or only substantiated ones?</td>
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<tr>
<td>01</td>
<td>X</td>
<td>All</td>
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<tr>
<td>02</td>
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<td>Substantiated only</td>
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02 |   | No → Please provide an explanation in the space below and then skip to Item 17. |

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15. **Between January 1, 2013, and December 31, 2013, how many allegations of STAFF SEXUAL MISCONDUCT were reported?**

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<td>Number reported</td>
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- If an allegation involved multiple victimizations, count only once.

16. **Of the allegations reported in Item 15, how many were — (Please contact the agency or office responsible for investigating allegations of sexual victimization in order to fully complete this form.)**

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<tbody>
<tr>
<td>a. Substantiated</td>
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<td>b. Unsubstantiated</td>
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<tr>
<td>c. Unfounded</td>
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<td>2</td>
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<tr>
<td>d. Investigation ongoing</td>
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<td>0</td>
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<td>e. TOTAL (Sum of Items 16a through 16d)</td>
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<td>2</td>
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- The total should equal the number reported in Item 15.
17. Does your State juvenile system record allegations of STAFF SEXUAL HARASSMENT? (See definitions on page 5.)

01 □ Yes → Can these allegations be counted separately from allegations of STAFF SEXUAL MISCONDUCT?

01 □ Yes

02 □ No → Skip to Item 20.

02 □ No → Please provide an explanation in the space below and then skip to Item 20.

---

18. Between January 1, 2013, and December 31, 2013, how many allegations of STAFF SEXUAL HARASSMENT were reported?

Number reported .......................... 1 □ None

- If an allegation involved multiple victims or staff, count only once.

19. Of the allegations reported in Item 18, how many were — (Please contact the agency or office responsible for investigating allegations of sexual victimization in order to fully complete this form.)

a. Substantiated .......................... 0 □ None

b. Unsubstantiated ....................... 1 □ None

c. Unfounded ............................. 0 □ None

d. Investigation ongoing ................ 0 □ None

e. TOTAL (Sum of Items 19a through 19d) ............... 1 □ None

- The total should equal the number reported in Item 18.

---

Section IV - PRIVATE AND LOCAL ALLEGATIONS

20. Did any of the allegations reported in Items 6, 9, 12, 15, or 18 occur in a privately operated facility?

01 □ Yes

02 □ No

21. Did any of the allegations reported in Items 6, 9, 12, 15, or 18 occur in a facility operated or administered by local governments?

01 □ Yes

02 □ No

Section V - TOTAL SUBSTANTIATED INCIDENTS OF SEXUAL VICTIMIZATION

22. What is the total number of substantiated incidents reported in Items 7a, 10a, 13a, 16a, and 19a?

Total substantiated incidents ............... 3 □ None

→ Please complete an Incident Form (Juvenile, SSV-JJ) for each substantiated incident of sexual victimization.

NOTES
1. On what date did the incident occur? 
   (If more than one date, report the most recent.)
   Month  Day  Year
   07  20  2013

2. In what facility did the incident occur?
   Name
   Giddings
   City/Place
   Giddings, Texas

3. Where did the incident occur? (Mark [X] all that apply.)
   01 [X] In the victim's cell or room (e.g., if the victim and
   perpetrator share a cell or room, count as the victim's
   cell)
   02 [X] In the perpetrator's cell or room
   03 [X] In a dormitory or other multiple housing unit
   04 [X] In a common area (e.g., shower, dayroom, bathroom)
   05 [ ] In a temporary holding cell or admissions area within
   the facility
   06 [X] In a program service area (e.g., commissary, kitchen,
   storage, laundry, cafeteria, workshop, hallways)
   07 [X] In an instructional area (e.g., classroom, school, library
   conference room)
   08 [X] In a recreation area (e.g., yard, courtyard, gymnasium)
   09 [X] In a medical area (e.g., infirmary, health clinic)
   10 [X] In a staff area (office, break room, counselor's office)
   11 [ ] Offsite or while in transit
   12 [ ] Other - Specify
   13 [ ] Location unknown

4. Did the incident take place in an area subject to
   video monitoring?
   01 [X] Yes
   02 [ ] No
   03 [ ] Don't know

5. What time did the incident occur? (Mark [X] all that apply.)
   01 [ ] Morning (6 a.m. to noon)
   02 [ ] Afternoon (noon to 6 p.m.)
   03 [X] Evening (6 p.m. to midnight)
   04 [ ] Overnight (midnight to 6 a.m.)
   05 [ ] Unknown

6. How many victims were involved in the incident?
   Number of victims
   01

   ➔ If more than two victims were involved, report
   their characteristics in Notes on page 5.

7. Victim #1: What was the victim's gender?
   (See definitions on page 5.)
   01 [X] Male
   02 [ ] Female
   03 [ ] Transgender
   04 [ ] Intersex

8. Victim #1: What was the victim's age at the time of the incident?
   01 [ ] Under age 13
   02 [ ] 13-15
   03 [ ] 16-17
   04 [ ] 18-19
   05 [ ] 20-24
   06 [ ] 25 or older

9. Victim #1: What was the victim's race/ethnic origin?
   (Mark [X] all that apply.)
   01 [X] White (not of Hispanic origin)
   02 [ ] Black (not of Hispanic origin)
   03 [ ] Hispanic or Latino
   04 [ ] American Indian/Alaska Native (not of Hispanic origin)
   05 [ ] Asian (not of Hispanic origin)
   06 [ ] Native Hawaiian or Other Pacific Islander
   (not of Hispanic origin)
   07 [ ] Other racial category in your information system
   - Specify

10. Victim #2: What was the victim's gender?
    (See definitions on page 5.)
    01 [ ] Male
    02 [ ] Female
    03 [ ] Transgender
    04 [ ] Intersex

11. Victim #2: What was the victim's age at the time of the incident?
    01 [ ] Under age 13
    02 [ ] 13-15
    03 [ ] 16-17
    04 [ ] 18-19
    05 [ ] 20-24
    06 [ ] 25 or older
12. Victim #2: What was the victim's race/ethnic origin? (Mark (X) all that apply.)
01 X White (not of Hispanic origin)
02 Black (not of Hispanic origin)
03 Hispanic or Latino
04 American Indian/Alaska Native (not of Hispanic origin)
05 Asian (not of Hispanic origin)
06 Native Hawaiian or Other Pacific Islander (not of Hispanic origin)
07 Other racial category in your information system – Specify X

13. Did the victim(s) sustain any physical injury during the incident?
02 X No (No injury sustained)
01 Yes → a. What injuries occurred? (Mark (X) all that apply for all victims.)
01 Knife or stab wounds
02 Broken bones
03 Anal or vaginal tearing
04 Chipped or knocked out teeth
05 Internal injuries
06 Knocked unconscious
07 Bruises, black eye, sprains, cuts, scratches, swelling, welts
08 Other – Specify X

→ b. Did the victim(s) receive medical treatment for these injuries?
01 Yes
02 No

14. Who reported the incident? (Mark (X) all that apply.)
01 X Victim
02 Another youth (non-victim)
03 Victim's family or friend
04 Correctional officer or front line staff
05 X Administrative staff
06 Medical, healthcare, or mental health staff
07 Instructor, teacher, or counselor
08 Other staff (e.g., kitchen worker, maintenance staff)
09 Chaplain or other religious official
10 Perpetrator
11 Perpetrator's family or friend
12 Grievance coordinator, grievance process, or ombudsperson
13 Attorney or legal guardian (e.g., other than family member)
14 Confidential informant, anonymous tip, hot line, or through monitoring (e.g., camera, telephone, or mail)
15 Other – Specify X

15. After the incident was reported, was the victim(s) – (Mark (X) all that apply for all victims.)
01 X Given a medical examination
02 X Administered a rape kit
03 X Tested for HIV/AIDS
04 X Tested for other sexually transmitted diseases
05 X Provided with counseling or mental health treatment
06 X Offered but declined testing or treatment
07 X Already released/discharged
08 X None of the above

16. After the incident was reported, was the victim(s) – (Mark (X) all that apply for all victims.)
01 X Placed in or returned to administrative segregation, protective custody, or disciplinary segregation
02 X Placed in a medical unit, ward, or hospital
03 X Confined to own cell or room
04 X Given a higher custody level/different unit within the facility
05 X Transferred to another facility
06 X Transferred to another housing unit or dorm, or given a single room or cell
07 X Separated from perpetrator
08 X Issued disciplinary report or loss of privileges
09 X Placed in camera room, under closer surveillance, or increased supervision
10 X Other – Specify X

Placed on a safety plan
11 X None of the above

17. What type of sexual violence was involved in the incident? (See definitions on page 5.)
01 X Youth-on-youth nonconsensual sexual act → Complete Section A
02 X Youth-on-youth abusive contact → Complete Section A
03 X Youth-on-youth sexual harassment → Complete Section A
04 X Staff sexual misconduct → Complete Section B
05 X Staff sexual harassment → Complete Section B

Section A – YOUTH-ON-YOUTH SEXUAL VICTIMIZATION

18. How many perpetrators were involved in the incident?
Number of perpetrators . . . 1

→ If more than two perpetrators were involved, report their characteristics in Notes on page 5.
19. Perpetrator #1: What was the perpetrator's gender? (See definitions on page 5.)

- [x] Male
- [ ] Transgender
- [ ] Female
- [ ] Intersex

20. Perpetrator #1: What was the perpetrator's age at the time of the incident?

- [ ] Under age 13
- [x] 13-15
- [x] 16-17
- [x] 25 or older

21. Perpetrator #1: What was the perpetrator's race/ethnic origin? (Mark [x] all that apply.)

- [x] White (not of Hispanic origin)
- [ ] Black (not of Hispanic origin)
- [ ] Hispanic or Latino
- [ ] American Indian/Alaska Native (not of Hispanic origin)
- [ ] Asian (not of Hispanic origin)
- [ ] Native Hawaiian or Other Pacific Islander (not of Hispanic origin)
- [ ] Other racial category in your information system – Specify

22. Perpetrator #2: What was the perpetrator's gender? (See definitions on page 5.)

- [ ] Male
- [ ] Transgender
- [ ] Female
- [ ] Intersex

23. Perpetrator #2: What was the perpetrator's age at the time of the incident?

- [ ] Under age 13
- [ ] 13-15
- [ ] 16-17
- [x] 25 or older

24. Perpetrator #2: What was the perpetrator's race/ethnic origin? (Mark [x] all that apply.)

- [ ] White (not of Hispanic origin)
- [ ] Black (not of Hispanic origin)
- [ ] Hispanic or Latino
- [ ] American Indian/Alaska Native (not of Hispanic origin)
- [ ] Asian (not of Hispanic origin)
- [ ] Native Hawaiian or Other Pacific Islander (not of Hispanic origin)
- [ ] Other racial category in your information system – Specify

25. What was the nature of the incident?

(Mark [x] all that apply.)

- [ ] Voluntary sexual contact between youths
- [ ] Sexual harassment
- [ ] Indecent exposure, masturbation, or voyeurism
- [ ] Horseplay
- [ ] Repeated and unwelcome sexual advances or requests for sexual favors
- [ ] Unwanted touching for sexual gratification or abusive sexual contact
- [ ] Pressure or coercion (without force) resulting in a nonconsensual sexual act
- [x] Physical force (or the threat of force) resulting in a nonconsensual sexual act
- [ ] Other – Specify

26. What type of pressure or physical force was used by the perpetrator on the victim?

(Mark [x] all that apply for all perpetrators.)

- [ ] Sexual harassment, sexual innuendo, or verbal comments
- [ ] Persuasion or talked into sexual activity
- [ ] Surprised the victim with unwanted touching, grabbing or groping, or victim was asleep
- [ ] Bribed or blackmailed
- [ ] Gave victim drugs or alcohol
- [ ] Offered protection from other youth
- [x] Threatened with physical harm
- [ ] Physically held victim down or restrained in some way
- [ ] Physically harmed or injured
- [ ] Threatened with a weapon
- [ ] Other – Specify

27. What sanction was imposed on the perpetrator(s)?

(Mark [x] all that apply for all perpetrators.)

- [x] Placed in solitary confinement or disciplinary segregation
- [ ] Confined to own cell or room
- [ ] Placed in higher custody level, restricted unit or program, within the same facility
- [ ] Transferred to other unit/cell or separated from victim
- [ ] Transferred to another facility
- [ ] Loss of "good/gain" time or increase in "bad" time/delayed release
- [ ] Given extra work
- [ ] Loss of privileges, disciplinary report or conduct violation, or other reprimand
- [ ] Sent to counseling or treatment team
- [x] Arrested or referred to law enforcement agency
- [x] Referred for prosecution or indicted
- [ ] Convicted, given new sentence, or fined
- [ ] Other – Specify
Section B - STAFF-ON-YOUTH SEXUAL ABUSE

28. What was the nature of the incident? (Mark [X] all that apply.)
   01 □ Physical force resulting in a nonconsensual sexual act
   02 □ Pressure or abuse of power resulting in a nonconsensual sexual act
   03 □ Indecent exposure, invasion of privacy, or voyeurism for sexual gratification
   04 □ Unwanted touching for sexual gratification
   05 □ Sexual harassment or repeated verbal statements of a sexual nature by staff
   06 □ Wrote letters, showed pictures, or offered gifts or special privileges to youth
   07 □ Sexual relationship between youth and staff that appeared to be willing
   08 □ Other - Specify

   09 □ Level of coercion unknown

29. How many staff were involved in the incident?
   Number of staff:

→ If more than two staff were involved, report their characteristics in Notes on page 5.

30. Staff #1: What was the gender of the staff?
   01 □ Male
   02 □ Female

31. Staff #1: What was the age of the staff at the time of the incident?
   01 □ 24 or younger
   02 □ 25 - 29
   03 □ 30 - 34
   04 □ 35 - 39
   05 □ 40 - 44
   06 □ 45 - 54
   07 □ 55 or older
   08 □ Other - Specify

32. Staff #1: What was the race/ethnic origin of the staff involved in the incident? (Mark [X] all that apply.)
   01 □ White (not of Hispanic origin)
   02 □ Black (not of Hispanic origin)
   03 □ Hispanic or Latino
   04 □ American Indian/Alaska Native (not of Hispanic origin)
   05 □ Asian (not of Hispanic origin)
   06 □ Native Hawaiian or Other Pacific Islander (not of Hispanic origin)
   07 □ Other racial category in your information system - Specify

33. Staff #2: What was the gender of the staff?
   01 □ Male
   02 □ Female

34. Staff #2: What was the age of the staff at the time of the incident?
   01 □ 24 or younger
   02 □ 25 - 29
   03 □ 30 - 34
   04 □ 35 - 39
   05 □ 40 - 44
   06 □ 45 - 54
   07 □ 55 or older

35. Staff #2: What was the race/ethnic origin of the staff involved in the incident? (Mark [X] all that apply.)
   01 □ White (not of Hispanic origin)
   02 □ Black (not of Hispanic origin)
   03 □ Hispanic or Latino
   04 □ American Indian/Alaska Native (not of Hispanic origin)
   05 □ Asian (not of Hispanic origin)
   06 □ Native Hawaiian or Other Pacific Islander (not of Hispanic origin)
   07 □ Other racial category in your information system - Specify

36. Was the staff involved in the incident an employee of the facility, a contractor, or a volunteer? (Mark [X] all that apply for all staff involved.)
   01 □ Full- or part-time paid employee
   02 □ Contract employee or vendor
   03 □ Volunteer or intern
   04 □ Other - Specify

37. What was the primary position description of the staff involved in the incident? (Mark [X] all that apply for all staff involved.)
   01 □ Administrator, including wardens, superintendents, assistants and others in administrative positions
   02 □ Correctional officer or supervisory staff
   03 □ Clerical staff including secretaries, clerks, receptionists, and other administrative support
   04 □ Maintenance and other facility support staff, including groundskeepers, janitors, cooks, and drivers
   05 □ Medical or health care staff, including counselors, doctors, dentists, psychologists, psychiatrists, social workers, nurses, and medical assistants
   06 □ Education staff, including instructors, teachers, librarians, and education assistants
   07 □ Other program staff
   08 □ Volunteers or interns
   09 □ Other staff - Specify
38. What sanction was imposed on the staff? (Mark [X] all that apply for all staff involved.)
01. Sent to training or counseling
02. Reprimanded or disciplined
03. Demoted, diminished responsibilities, or suspended temporarily
04. Transferred to another facility or unit
05. Arrested or referred to law enforcement agency
06. Referred for prosecution or indicted
07. Convicted, plead guilty, sentenced, or fined
08. Discharged, terminated, or contract not renewed
09. Staff resigned (prior to completion of investigation)
10. Staff resigned (after investigation was completed)
11. Other — Specify
12. No action taken

39. At the time of the incident, how long had the staff worked at the facility? (Mark [X] all that apply for all staff involved.)
01. Less than 6 months
02. 6 months to 1 year
03. 1 to 5 years
04. 5 to 10 years
05. More than 10 years

NOTES

Definitions

Sexual victimization

NONCONSENSUAL SEXUAL ACTS: Sexual contact of any person without his or her consent, or of a person who is unable to consent or refuse;

AND

Contact between the penis and the vulva or the penis and the anus including penetration, however slight; OR

Contact between the mouth and the penis, vulva, or anus;

OR

Penetration of the anal or genital opening of another person, however slight, by a hand, finger, object, or other instrument.

ABUSIVE SEXUAL CONTACT (less severe): Sexual contact of any person without his or her consent, or of a person who is unable to consent or refuse;

AND

Intentional touching, either directly or through the clothing, of the genitalia, anus, groin, breast, inner thigh, or buttocks of any person.

EXCLUDE incidents in which the contact was incidental to a physical altercation.

SEXUAL HARASSMENT BY ANOTHER YOUTH: Repeated and unwelcome sexual advances, requests for sexual favors, or verbal comments, gestures, or actions of a derogatory or offensive sexual nature by one youth directed toward another youth.

STAFF SEXUAL MISCONDUCT: Any behavior or act of a sexual nature directed toward a youth by an employee, volunteer, contractor, official visitor or other agency representative (excludes family, friends or other visitors).

Sexual relationships of a romantic nature between staff and youths are included in this definition. Consensual or nonconsensual sexual acts include:

Intentional touching, either directly or through the clothing, of the genitalia, anus, groin, breast, inner thigh, or buttocks that is unrelated to official duties or with intent to abuse, arouse, or gratify sexual desire;

OR

Completed, attempted, threatened, or requested sexual acts;

OR

Occurrences of indecent exposure, invasion of privacy, or staff voyeurism for reasons unrelated to official duties or for sexual gratification.

STAFF SEXUAL HARASSMENT: Repeated verbal comments or gestures of a sexual nature to a youth by an employee, volunteer, contractor, official visitor, or other agency representative (excludes family, friends, or other visitors). Include demeaning references to gender, or sexually suggestive or derogatory comments about body or clothing;

OR

Repeated profane or obscene language or gestures.

Gender categories

TRANSGENDER: A person whose gender identity (i.e., internal sense of feeling male or female) is different from the person’s assigned sex at birth.

INTERSEX: A person whose sexual or reproductive anatomy or chromosomal pattern does not seem to fit typical definitions of male or female. Intersex medical conditions are sometimes referred to as disorders of sex development.
SURVEY OF SEXUAL VICTIMIZATION, 2013
Incident Form (Juvenile)

State ____________________________ Incident Number ___ out of ___

1. On what date did the incident occur?
(If more than one date, report the most recent.)

Month Day Year
11 19 2013

2. In what facility did the incident occur?

Name
McLennan

City/Place
Mart, Texas

3. Where did the incident occur? (Mark [X] all that apply.)

01 [X] In the victim's cell or room (e.g., if the victim and perpetrator share a cell or room, count as the victim's cell)
02 [ ] In the perpetrator's cell or room
03 [ ] In a dormitory or other multiple housing unit
04 [ ] In a common area (e.g., shower, dayroom, bathroom)
05 [ ] In a temporary holding cell or admissions area within the facility
06 [ ] In a program service area (e.g., commissary, kitchen, storage, laundry, cafeteria, workshop, hallway)
07 [ ] In an instructional area (e.g., classroom, school, library conference room)
08 [ ] In a recreation area (e.g., yard, courtyard, gymnasium)
09 [ ] In a medical area (e.g., Infirmary, health clinic)
10 [ ] In a staff area (office, break room, counselor's office)
11 [ ] Offsite or while in transit
12 [ ] Other - Specify ____________
13 [ ] Location unknown

4. Did the incident take place in an area subject to video monitoring?

01 [ ] Yes
02 [X] No
03 [ ] Don't know

5. What time did the incident occur? (Mark [X] all that apply.)

01 [X] Morning (6 a.m. to noon)
02 [ ] Afternoon (noon to 6 p.m.)
03 [ ] Evening (6 p.m. to midnight)
04 [ ] Overnight (midnight to 6 a.m.)
05 [ ] Unknown

6. How many victims were involved in the incident?

Number of victims _____

→ If more than two victims were involved, report their characteristics in Notes on page 5.

7. Victim #1: What was the victim's gender?
(See definitions on page 5.)

01 [X] Male
02 [ ] Transgender
03 [ ] Female
04 [ ] Intersex

8. Victim #1: What was the victim's age at the time of the incident?

01 [ ] Under age 13
02 [ ] 13-15
03 [X] 16-17
04 [ ] 18-19
05 [ ] 20-24
06 [ ] 25 or older

9. Victim #1: What was the victim's race/ethnic origin?
(Mark [X] all that apply.)

01 [ ] White (not of Hispanic origin)
02 [X] Black (not of Hispanic origin)
03 [ ] Hispanic or Latino
04 [ ] American Indian/Alaska Native (not of Hispanic origin)
05 [ ] Asian (not of Hispanic origin)
06 [ ] Native Hawaiian or Other Pacific Islander (not of Hispanic origin)
07 [ ] Other racial category in your information system - Specify ____________

10. Victim #2: What was the victim's gender?
(See definitions on page 5.)

01 [ ] Male
02 [ ] Transgender
03 [ ] Female
04 [ ] Intersex

11. Victim #2: What was the victim's age at the time of the incident?

01 [ ] Under age 13
02 [ ] 13-15
03 [ ] 16-17
04 [ ] 18-19
05 [ ] 20-24
06 [ ] 25 or older

Burden Statement

Under the Paperwork Reduction Act, we cannot ask you to respond to a collection of information unless it displays a currently valid OMB control number. The burden of this collection is estimated to average 30 minutes per response, including reviewing instructions, searching existing data sources, gathering necessary data, and completing and reviewing this form. Send comments regarding this burden estimate or any aspect of this survey, including suggestions for reducing this burden, to the Director, Bureau of Justice Statistics, 810 Seventh Street, NW, Washington, DC 20531. Do not send your completed form to this address.
12. Victim #2: What was the victim’s race/ethnic origin? (Mark [X] all that apply.)
   01 [X] White (not of Hispanic origin)
   02 [X] Black (not of Hispanic origin)
   03 [X] Hispanic or Latino
   04 [X] American Indian/Alaska Native (not of Hispanic origin)
   05 [X] Asian (not of Hispanic origin)
   06 Native Hawaiian or Other Pacific Islander (not of Hispanic origin)
   07 [X] Other racial category in your information system – Specify.

13. Did the victim(s) sustain any physical injury during the incident?
   01 [X] No (No injury sustained)
   02 [X] Yes → a. What injuries occurred? (Mark [X] all that apply.)
      01 [X] Knife or stab wounds
      02 [X] Broken bones
      03 [X] Anal or vaginal tearing
      04 [X] Chipped or knocked out teeth
      05 [X] Internal injuries
      06 [X] Knocked unconscious
      07 [X] Bruises, black eye, sprains, cuts, scratches, swelling, welts
      08 [X] Other – Specify.

   → b. Did the victim(s) receive medical treatment for these injuries?
      01 [X] Yes
      02 [X] No

14. Who reported the incident? (Mark [X] all that apply.)
   01 [X] Victim
   02 [X] Another youth (non-victim)
   03 [X] Victim’s family or friend
   04 [X] Correctional officer or front line staff
   05 [X] Administrative staff
   06 [X] Medical, healthcare, or mental health staff
   07 [X] Instructor, teacher, or counselor
   08 [X] Other staff (e.g., kitchen worker, maintenance staff)
   09 [X] Chaplain or other religious official
   10 [X] Perpetrator
   11 [X] Perpetrator’s family or friend
   12 [X] Grievance coordinator, grievance process, or ombudsperson
   13 [X] Attorney or legal guardian (e.g., other than family member)
   14 [X] Confidential informant, anonymous tip, hot line, or through monitoring (e.g., camera, telephone, or mail)
   15 [X] Other – Specify.

15. After the incident was reported, was the victim(s) – (Mark [X] all that apply for all victims.)
   01 [X] Given a medical examination
   02 [X] Administered a rape kit
   03 [X] Tested for HIV/AIDS
   04 [X] Tested for other sexually transmitted diseases
   05 [X] Provided with counseling or mental health treatment
   06 [X] Offered but declined testing or treatment
   07 [X] Already released/discharged
   08 [X] None of the above

16. After the incident was reported, was the victim(s) – (Mark [X] all that apply for all victims.)
   01 [X] Placed in or returned to administrative segregation, protective custody, or disciplinary segregation
   02 [X] Placed in a medical unit, ward, or hospital
   03 [X] Confined to own cell or room
   04 [X] Given a higher custody level/different unit within the facility
   05 [X] Transferred to another facility
   06 [X] Transferred to another housing unit or dorm, or given a single room or cell
   07 [X] Separated from perpetrator
   08 [X] Issued disciplinary report or loss of privileges
   09 [X] Placed in camera room, under closer surveillance, or increased supervision
   10 [X] Other – Specify.

   11 [X] None of the above

17. What type of sexual violence was involved in the incident? (See definitions on page 5.)
   01 [X] Youth-on-youth nonconsensual sexual act → Complete Section A
   02 [X] Youth-on-youth abusive contact → Complete Section A
   03 [X] Youth-on-youth sexual harassment → Complete Section A
   04 [X] Staff sexual misconduct → Complete Section B
   05 [X] Staff sexual harassment → Complete Section B

Section A – YOUTH-ON-YOUTH SEXUAL VICTIMIZATION

18. How many perpetrators were involved in the incident?
   Number of perpetrators: 1

   → If more than two perpetrators were involved, report their characteristics in Notes on page 5.
19. Perpetrator #1: What was the perpetrator’s gender? (See definitions on page 5.)
- [x] Male
- [ ] Female
- [ ] Transgender
- [ ] Intersex

20. Perpetrator #1: What was the perpetrator’s age at the time of the incident?
- [ ] Under age 13
- [ ] 13–15
- [x] 16–17
- [ ] 18–19
- [ ] 20–24
- [ ] 25 or older

21. Perpetrator #1: What was the perpetrator’s race/ethnic origin? (Mark [x] all that apply.)
- [ ] White (not of Hispanic origin)
- [x] Black (not of Hispanic origin)
- [ ] Hispanic or Latino
- [ ] American Indian/Alaska Native (not of Hispanic origin)
- [ ] Asian (not of Hispanic origin)
- [ ] Native Hawaiian or Other Pacific Islander (not of Hispanic Origin)
- [ ] Other racial category in your information system - Specify

22. Perpetrator #2: What was the perpetrator’s gender? (See definitions on page 5.)
- [ ] Male
- [ ] Female
- [ ] Transgender
- [ ] Intersex

23. Perpetrator #2: What was the perpetrator’s age at the time of the incident?
- [ ] Under age 13
- [ ] 13–15
- [ ] 16–17
- [ ] 18–19
- [ ] 20–24
- [ ] 25 or older

24. Perpetrator #2: What was the perpetrator’s race/ethnic origin? (Mark [x] all that apply.)
- [ ] White (not of Hispanic origin)
- [ ] Black (not of Hispanic origin)
- [ ] Hispanic or Latino
- [ ] American Indian/Alaska Native (not of Hispanic origin)
- [ ] Asian (not of Hispanic origin)
- [ ] Native Hawaiian or Other Pacific Islander (not of Hispanic Origin)
- [ ] Other racial category in your information system - Specify

25. What was the nature of the incident? (Mark [x] all that apply.)
- [ ] Voluntary sexual contact between youths
- [ ] Sexual harassment
- [ ] Indecent exposure, masturbation, or voyeurism
- [ ] Horseplay
- [ ] Repeated and unwelcome sexual advances or requests for sexual favors
- [ ] Unwanted touching for sexual gratification or abusive sexual contact
- [ ] Pressure or coercion (without force) resulting in a nonconsensual sexual act
- [ ] Physical force (or the threat of force) resulting in a nonconsensual sexual act
- [x] Open Masturbation

26. What type of pressure or physical force was used by the perpetrator on the victim? (Mark [x] all that apply for all perpetrators.)
- [ ] Sexual harassment, sexual innuendo, or verbal comments
- [ ] Persuasion or talked into sexual activity
- [ ] Surprised the victim with unwanted touching, grabbing or groping, or victim was asleep
- [ ] Bribery or blackmail
- [ ] Gave victim drugs or alcohol
- [ ] Offered protection from other youth
- [ ] Threatened with physical harm
- [ ] Physically held victim down or restrained in some way
- [ ] Physically harmed or injured
- [ ] Threatened with a weapon
- [ ] Other - Specify

27. What sanction was imposed on the perpetrator(s)? (Mark [x] all that apply for all perpetrators.)
- [x] Placed in solitary confinement or disciplinary segregation
- [ ] Confined to own cell or room
- [ ] Placed in higher custody level, restricted unit or program, within the same facility
- [ ] Transferred to other unit/cell or separated from victim
- [ ] Transferred to another facility
- [ ] Loss of “good/bad” time or increase in “bad” time/delayed release
- [ ] Given extra work
- [ ] Loss of privileges, disciplinary report or conduct violation, or other reprimand
- [ ] Sent to counseling or treatment team
- [x] Arrested or referred to law enforcement agency
- [x] Referred for prosecution or indicted
- [ ] Convicted, given new sentence, or fined
- [ ] Other - Specify
### Section B - STAFF-ON-YOUTH SEXUAL ABUSE

#### 28. What was the nature of the incident? (Mark [X] all that apply.)
- [ ] Physical force resulting in a nonconsensual sexual act
- [ ] Pressure or abuse of power resulting in a nonconsensual sexual act
- [ ] Indecent exposure, invasion of privacy, or voyeurism for sexual gratification
- [ ] Unwanted touching for sexual gratification
- [ ] Sexual harassment or repeated verbal statements of a sexual nature by staff
- [ ] Wrote letters, showed pictures, or offered gifts or special privileges to youth
- [ ] Sexual relationship between youth and staff that appeared to be willing
- [ ] Other - Specify *
- [ ] Level of coercion unknown

#### 29. How many staff were involved in the incident?
Number of staff .

→ If more than two staff were involved, report their characteristics in Notes on page 5.

#### 30. Staff #1: What was the gender of the staff?
- [ ] Male
- [ ] Female

#### 31. Staff #1: What was the age of the staff at the time of the incident?
- [ ] 24 or younger
- [ ] 25 – 29
- [ ] 30 – 34
- [ ] 35 – 39
- [ ] 40 – 44
- [ ] 45 – 54
- [ ] 55 or older

#### 32. Staff #1: What was the race/ethnic origin of the staff involved in the incident? (Mark [X] all that apply.)
- [ ] White (not of Hispanic origin)
- [ ] Black (not of Hispanic origin)
- [ ] Hispanic or Latino
- [ ] American Indian/Alaska Native (not of Hispanic origin)
- [ ] Asian (not of Hispanic origin)
- [ ] Native Hawaiian or Other Pacific Islander (not of Hispanic origin)
- [ ] Other racial category in your information system - Specify *

#### 33. Staff #2: What was the gender of the staff?
- [ ] Male
- [ ] Female

#### 34. Staff #2: What was the age of the staff at the time of the incident?
- [ ] 24 or younger
- [ ] 25 – 29
- [ ] 30 – 34
- [ ] 35 – 39
- [ ] 40 – 44
- [ ] 45 – 54
- [ ] 55 or older

#### 35. Staff #2: What was the race/ethnic origin of the staff involved in the incident? (Mark [X] all that apply.)
- [ ] White (not of Hispanic origin)
- [ ] Black (not of Hispanic origin)
- [ ] Hispanic or Latino
- [ ] American Indian/Alaska Native (not of Hispanic origin)
- [ ] Asian (not of Hispanic origin)
- [ ] Native Hawaiian or Other Pacific Islander (not of Hispanic origin)
- [ ] Other racial category in your information system - Specify *

#### 36. Was the staff involved in the incident an employee of the facility, a contractor, or a volunteer? (Mark [X] all that apply for all staff involved.)
- [ ] Full- or part-time paid employee
- [ ] Contract employee or vendor
- [ ] Volunteer or intern
- [ ] Other - Specify *

#### 37. What was the primary position description of the staff involved in the incident? (Mark [X] all that apply for all staff involved.)
- [ ] Administrator, including wardens, superintendents, assistants and others in administrative positions
- [ ] Correctional officer or supervisory staff
- [ ] Clerical staff, including secretaries, clerks, receptionists, and other administrative support
- [ ] Maintenance and other facility support staff, including groundskeepers, janitors, cooks, and drivers
- [ ] Medical or health care staff, including counselors, doctors, dentists, psychologists, psychiatrists, social workers, nurses, and medical assistants
- [ ] Education staff, including instructors, teachers, librarians, and education assistants
- [ ] Other program staff
- [ ] Volunteers or interns
- [ ] Other staff - Specify *
### 38. What sanction was imposed on the staff?
(Mark (X) all that apply for all staff involved.)

- Sent to training or counseling
- Reprimanded or disciplined
- Demoted, diminished responsibilities, or suspended temporarily
- Transferred to another facility or unit
- Arrested or referred to law enforcement agency
- Referred for prosecution or indicted
- Convicted, plead guilty, sentenced, or fined
- Discharged, terminated, or contract not renewed
- Staff resigned (prior to completion of investigation)
- Staff resigned (after investigation was completed)
- Other - Specify

- No action taken

### 39. At the time of the incident, how long had the staff worked at the facility?
(Mark (X) all that apply for all staff involved.)

- Less than 6 months
- 6 months to 1 year
- 1 to 5 years
- 5 to 10 years
- More than 10 years

## Definitions

### Sexual victimization

**NONCONSENSUAL SEXUAL ACTS:** Sexual contact of any person without his or her consent; or of a person who is unable to consent or refuse; AND
- Contact between the penis and the vulva or the penis and the anus including penetration, however slight; OR
- Contact between the mouth and the penis, vulva, or anus; OR
- Penetration of the anal or genital opening of another person, however slight, by a hand, finger, object, or other instrument.

**ABUSIVE SEXUAL CONTACT (less severe):** Sexual contact of any person without his or her consent, or of a person who is unable to consent or refuse; AND
- Intentional touching, either directly or through the clothing, of the genitalia, anus, groin, breast, inner thigh, or buttocks of any person.

EXCLUDE incidents in which the contact was incidental to a physical altercation.

**SEXUAL HARASSMENT BY ANOTHER YOUTH:** Repeated and unwelcome sexual advances, requests for sexual favors, or verbal comments, gestures, or actions of a derogatory or offensive sexual nature by one youth directed toward another.

**STAFF SEXUAL MISCONDUCT:** Any behavior or act of a sexual nature directed toward a youth by an employee, volunteer, contractor, official visitor or other agency representative (exclude family, friends or other visitors).

Sexual relationships of a romantic nature between staff and youth are included in this definition. Consensual or nonconsensual sexual acts include—
- Intentional touching, either directly or through the clothing, of the genitalia, anus, groin, breast, inner thigh, or buttocks that is unrelated to official duties or with intent to abuse, arouse, or gratify sexual desire;
- Completed, attempted, threatened, or requested sexual acts;
- Occurrences of indecent exposure, invasion of privacy, or staff voyeurism for reasons unrelated to official duties or for sexual gratification.

**STAFF SEXUAL HARASSMENT:** Repeated verbal comments or gestures of a sexual nature to a youth by an employee, volunteer, contractor, official visitor or other agency representative (excludes family, friends, or other visitors). Include demeaning references to gender; or sexually suggestive or derogatory comments about body or clothing;
- Repeated profane or obscene language or gestures.

### Gender categories

**TRANSGENDER:** A person whose gender identity (i.e., internal sense of feeling male or female) is different from the person's assigned sex at birth.

**INTERSEX:** A person whose sexual or reproductive anatomy or chromosomal pattern does not seem to fit typical definitions of male or female. Intersex medical conditions are sometimes referred to as disorders of sex development.
1. On what date did the incident occur?
(If more than one date, report the most recent.)
Month  Day  Year
11   19   2013

2. In what facility did the incident occur?
Name  McLennan
City/Place  Mart, Texas

3. Where did the incident occur? (Mark [X] all that apply.)
   01  X In the victim's cell or room (e.g., if the victim and perpetrator share a cell or room, count as the victim's cell)
   02  No In the perpetrator's cell or room
   03  No In a dormitory or other multiple housing unit
   04  No In a common area (e.g., shower, dayroom, bathroom)
   05  No In a temporary holding cell or admissions area within the facility
   06  No In a program service area (e.g., commissary, kitchen, storage, laundry, cafeteria, workshop, hallway)
   07  No In an instructional area (e.g., classroom, school, library conference room)
   08  No In a recreation area (e.g., yard, courtyard, gymnasium)
   09  No In a medical area (e.g., infirmary, health clinic)
   10  No In a staff area (office, break room, counselor's office)
   11  No Offsite or while in transit
   12  No Other - Specify X

4. Did the incident take place in an area subject to video monitoring?
   01  No
   02  X Yes
   03  Don't know

5. What time did the incident occur? (Mark [X] all that apply.)
   01  X Morning (6 a.m. to noon)
   02  No Afternoon (noon to 6 p.m.)
   03  No Evening (6 p.m. to midnight)
   04  No Overnight (midnight to 6 a.m.)
   05  Unknown

6. How many victims were involved in the incident?
Number of victims...

7. Victim #1: What was the victim's gender?
   (See definitions on page 5.)
   01  X Male
   02  No Female
   03  No Transgender
   04  No Intersex

8. Victim #1: What was the victim's age at the time of the incident?
   01  No Under age 13
   02  No 13-15
   03  X 16-17
   04  No 18-19
   05  No 20-24
   06  No 25 or older

9. Victim #2: What was the victim's race/ethnic origin?
   (Mark [X] all that apply.)
   01  No White (not of Hispanic origin)
   02  No Black (not of Hispanic origin)
   03  X Hispanic or Latino
   04  No American Indian/Alaska Native (not of Hispanic origin)
   05  No Asian (not of Hispanic origin)
   06  No Native Hawaiian or Other Pacific Islander (not of Hispanic origin)
   07  No Other racial category in your information system - Specify X

10. Victim #2: What was the victim's gender?
    (See definitions on page 5.)
    01  X Male
    02  No Female
    03  No Transgender
    04  No Intersex

11. Victim #2: What was the victim's age at the time of the incident?
    01  No Under age 13
    02  X 13-15
    03  No 16-17
    04  No 18-19
    05  No 20-24
    06  No 25 or older

Burden Statement
Under the Paperwork Reduction Act, we cannot ask you to respond to a collection of information unless it displays a currently valid OMB control number. The burden of this collection is estimated to average 20 minutes per response, including reviewing instructions, searching existing data sources, gathering necessary data, and completing and reviewing this form. Send comments regarding this burden estimate or any aspect of this survey, including suggestions for reducing this burden, to the Director, Bureau of Justice Statistics, 810 Seventh Street, NW, Washington, DC 20531. Do not send your completed form to this address.
12. Victim #2: What was the victim’s race/ethnic origin?
(Mark (X) all that apply.)
01 [ ] White (not of Hispanic origin)
02 [ ] Black (not of Hispanic origin)
03 [ ] Hispanic or Latino
04 [ ] American Indian/Alaska Native (not of Hispanic origin)
05 [ ] Asian (not of Hispanic origin)
06 [ ] Native Hawaiian or Other Pacific Islander (not of Hispanic origin)
07 [ ] Other racial category in your information system – Specify ☐

13. Did the victim(s) sustain any physical injury during the incident?
02 [X] No (No injury sustained)
01 [ ] Yes → a. What injuries occurred?
(Mark (X) all that apply for all victims.)
01 [ ] Knife or stab wounds
02 [ ] Broken bones
03 [ ] Anal or vaginal tearing
04 [ ] Chipped or knocked out teeth
05 [ ] Internal injuries
06 [ ] Knocked unconscious
07 [ ] Bruises, black eye, sprains, cuts, scratches, swelling, welts
08 [ ] Other – Specify ☐

→ b. Did the victim(s) receive medical treatment for these injuries?
01 [ ] Yes
02 [ ] No

14. Who reported the incident?
(Mark (X) all that apply.)
01 [X] Victim
02 [ ] Another youth (non-victim)
03 [ ] Victim’s family or friend
04 [ ] Correctional officer or front line staff
05 [ ] Administrative staff
06 [ ] Medical, healthcare, or mental health staff
07 [ ] Instructor, teacher, or counselor
08 [ ] Other staff (e.g., kitchen worker, maintenance staff)
09 [ ] Chaplain or other religious official
10 [ ] Perpetrator
11 [ ] Perpetrator’s family or friend
12 [ ] Grievance coordinator, grievance process, or ombudsman
13 [ ] Attorney or legal guardian (e.g., other than family member)
14 [ ] Confidential informant, anonymous tip, hot line, or through monitoring (e.g., camera, telephone, or mail)
15 [ ] Other – Specify ☐

15. After the incident was reported, was the victim(s) – (Mark (X) all that apply for all victims.)
01 [ ] Given a medical examination
02 [ ] Administered a rape kit
03 [ ] Tested for HIV/AIDS
04 [ ] Tested for other sexually transmitted diseases
05 [ ] Provided with counseling or mental health treatment
06 [ ] Offered but declined testing or treatment
07 [ ] Already released/discharged
08 [X] None of the above

16. After the incident was reported, was the victim(s) – (Mark (X) all that apply for all victims.)
01 [ ] Placed in or returned to administrative segregation, protective custody, or disciplinary segregation
02 [ ] Placed in a medical unit, ward, or hospital
03 [ ] Confined to own cell or room
04 [ ] Given a higher custody level/different unit within the facility
05 [ ] Transferred to another facility
06 [ ] Transferred to another housing unit or dorm, or given a single room or cell
07 [ ] Separated from perpetrator
08 [ ] Issued disciplinary report or loss of privileges
09 [ ] Placed in camera room, under closer surveillance, or increased supervision
10 [ ] Other – Specify ☐
11 [X] None of the above

17. What type of sexual violence was involved in the incident? (See definitions on page 5.)
01 [ ] Youth-on-youth nonconsensual sexual act → Complete Section A
02 [X] Youth-on-youth abusive contact → Complete Section A
03 [ ] Youth-on-youth sexual harassment → Complete Section A
04 [ ] Staff sexual misconduct → Complete Section B
05 [ ] Staff sexual harassment → Complete Section B

Section A – YOUTH-ON-YOUTH SEXUAL VICTIMIZATION

18. How many perpetrators were involved in the incident?

Number of perpetrators . . . 1

→ If more than two perpetrators were involved, report their characteristics in Notes on page 5.
**19. Perpetrator #1: What was the perpetrator's gender?** (See definitions on page 5.)

<table>
<thead>
<tr>
<th>Code</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>01</td>
<td>Male</td>
</tr>
<tr>
<td>02</td>
<td>Female</td>
</tr>
<tr>
<td>03</td>
<td>Transgender</td>
</tr>
<tr>
<td>04</td>
<td>Intersex</td>
</tr>
</tbody>
</table>

**20. Perpetrator #1: What was the perpetrator's age at the time of the incident?**

<table>
<thead>
<tr>
<th>Code</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>01</td>
<td>Under age 13</td>
</tr>
<tr>
<td>02</td>
<td>13–15</td>
</tr>
<tr>
<td>03</td>
<td>16–17</td>
</tr>
<tr>
<td>04</td>
<td>18–19</td>
</tr>
<tr>
<td>05</td>
<td>20–24</td>
</tr>
<tr>
<td>06</td>
<td>25 or older</td>
</tr>
</tbody>
</table>

**21. Perpetrator #1: What was the perpetrator's race/ethnic origin?** (Mark [X] all that apply.)

<table>
<thead>
<tr>
<th>Code</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>01</td>
<td>White (not of Hispanic origin)</td>
</tr>
<tr>
<td>02</td>
<td>Black (not of Hispanic origin)</td>
</tr>
<tr>
<td>03</td>
<td>Hispanic or Latino</td>
</tr>
<tr>
<td>04</td>
<td>American Indian/Alaska Native (not of Hispanic origin)</td>
</tr>
<tr>
<td>05</td>
<td>Asian (not of Hispanic origin)</td>
</tr>
<tr>
<td>06</td>
<td>Native Hawaiian or Other Pacific Islander (not of Hispanic origin)</td>
</tr>
<tr>
<td>07</td>
<td>Other racial category in your information system - Specify</td>
</tr>
</tbody>
</table>

**22. Perpetrator #2: What was the perpetrator's gender?** (See definitions on page 5.)

<table>
<thead>
<tr>
<th>Code</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>01</td>
<td>Male</td>
</tr>
<tr>
<td>02</td>
<td>Female</td>
</tr>
<tr>
<td>03</td>
<td>Transgender</td>
</tr>
<tr>
<td>04</td>
<td>Intersex</td>
</tr>
</tbody>
</table>

**23. Perpetrator #2: What was the perpetrator's age at the time of the incident?**

<table>
<thead>
<tr>
<th>Code</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>01</td>
<td>Under age 13</td>
</tr>
<tr>
<td>02</td>
<td>13–15</td>
</tr>
<tr>
<td>03</td>
<td>16–17</td>
</tr>
<tr>
<td>04</td>
<td>18–19</td>
</tr>
<tr>
<td>05</td>
<td>20–24</td>
</tr>
<tr>
<td>06</td>
<td>25 or older</td>
</tr>
</tbody>
</table>

**24. Perpetrator #2: What was the perpetrator's race/ethnic origin?** (Mark [X] all that apply.)

<table>
<thead>
<tr>
<th>Code</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>01</td>
<td>White (not of Hispanic origin)</td>
</tr>
<tr>
<td>02</td>
<td>Black (not of Hispanic origin)</td>
</tr>
<tr>
<td>03</td>
<td>Hispanic or Latino</td>
</tr>
<tr>
<td>04</td>
<td>American Indian/Alaska Native (not of Hispanic origin)</td>
</tr>
<tr>
<td>05</td>
<td>Asian (not of Hispanic origin)</td>
</tr>
<tr>
<td>06</td>
<td>Native Hawaiian or Other Pacific Islander (not of Hispanic origin)</td>
</tr>
<tr>
<td>07</td>
<td>Other racial category in your information system - Specify</td>
</tr>
</tbody>
</table>

**25. What was the nature of the incident?**

<table>
<thead>
<tr>
<th>Code</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>01</td>
<td>Voluntary sexual contact between youths</td>
</tr>
<tr>
<td>02</td>
<td>Sexual harassment</td>
</tr>
<tr>
<td>03</td>
<td>Indecent exposure, masturbation, or voyeurism</td>
</tr>
<tr>
<td>04</td>
<td>Horseplay</td>
</tr>
<tr>
<td>05</td>
<td>Repeated and unwelcome sexual advances or requests for sexual favors</td>
</tr>
<tr>
<td>06</td>
<td>Unwanted touching for sexual gratification or abusive sexual contact</td>
</tr>
<tr>
<td>07</td>
<td>Pressure or coercion (without force) resulting in a nonconsensual sexual act</td>
</tr>
<tr>
<td>08</td>
<td>Physical force (or the threat of force) resulting in a nonconsensual sexual act</td>
</tr>
<tr>
<td>09</td>
<td>Other - Specify</td>
</tr>
</tbody>
</table>

26. **What type of pressure or physical force was used by the perpetrator on the victim?**

<table>
<thead>
<tr>
<th>Code</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>01</td>
<td>Sexual harassment, sexual innuendo, or verbal comments</td>
</tr>
<tr>
<td>02</td>
<td>Persuasion or talked into sexual activity</td>
</tr>
<tr>
<td>03</td>
<td>Surprised the victim with unwanted touching, grabbing or groping, or victim was asleep</td>
</tr>
<tr>
<td>04</td>
<td>Bribed or blackmailed</td>
</tr>
<tr>
<td>05</td>
<td>Gave victim drugs or alcohol</td>
</tr>
<tr>
<td>06</td>
<td>Offered protection from other youth</td>
</tr>
<tr>
<td>07</td>
<td>Threatened with physical harm</td>
</tr>
<tr>
<td>08</td>
<td>Physically held victim down or restrained in some way</td>
</tr>
<tr>
<td>09</td>
<td>Physically harmed or injured</td>
</tr>
<tr>
<td>10</td>
<td>Threatened with a weapon</td>
</tr>
<tr>
<td>11</td>
<td>Other - Specify</td>
</tr>
</tbody>
</table>

27. **What sanction was imposed on the perpetrator(s)?**

<table>
<thead>
<tr>
<th>Code</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>01</td>
<td>Placed in solitary confinement or disciplinary segregation</td>
</tr>
<tr>
<td>02</td>
<td>Confined to own cell or room</td>
</tr>
<tr>
<td>03</td>
<td>Placed in higher custody level, restricted unit or program, within the same facility</td>
</tr>
<tr>
<td>04</td>
<td>Transferred to other unit or separated from victim</td>
</tr>
<tr>
<td>05</td>
<td>Transferred to another facility</td>
</tr>
<tr>
<td>06</td>
<td>Loss of &quot;good/gain&quot; time or increase in &quot;bad&quot; time/delayed release</td>
</tr>
<tr>
<td>07</td>
<td>Given extra work</td>
</tr>
<tr>
<td>08</td>
<td>Loss of privileges, disciplinary report or conduct violation, or other reprimand</td>
</tr>
<tr>
<td>09</td>
<td>Sent to counseling or treatment team</td>
</tr>
<tr>
<td>10</td>
<td>Arrested or referred to law enforcement agency</td>
</tr>
<tr>
<td>11</td>
<td>Referred for prosecution or indicted</td>
</tr>
<tr>
<td>12</td>
<td>Convicted, given new sentence, or fined</td>
</tr>
<tr>
<td>13</td>
<td>Other - Specify</td>
</tr>
</tbody>
</table>

---

*Form SSV-U (6-12-2014)*
28. What was the nature of the incident? (Mark (X) all that apply.)
   01 Physical force resulting in a nonconsensual sexual act
   02 Pressure or abuse of power resulting in a nonconsensual sexual act
   03 Indecent exposure, invasion of privacy, or voyeurism for sexual gratification
   04 Unwanted touching for sexual gratification
   05 Sexual harassment or repeated verbal statements of a sexual nature by staff
   06 Wrote letters, showed pictures, or offered gifts or special privileges to youth
   07 Sexual relationship between youth and staff that appeared to be willing
   08 Other – Specify
   09 Level of coercion unknown

29. How many staff were involved in the incident?
   Number of staff

If more than two staff were involved, report their characteristics in Notes on page 5.

30. Staff #1: What was the gender of the staff?
   01 Male
   02 Female

31. Staff #1: What was the age of the staff at the time of the incident?
   01 24 or younger
   02 25 – 29
   03 30 – 34
   04 35 – 39
   05 40 – 44
   06 45 – 54
   07 55 or older

32. Staff #1: What was the race/ethnic origin of the staff involved in the incident? (Mark (X) all that apply.)
   01 White (not of Hispanic origin)
   02 Black (not of Hispanic origin)
   03 Hispanic or Latino
   04 American Indian/Alaska Native (not of Hispanic origin)
   05 Asian (not of Hispanic origin)
   06 Native Hawaiian or Other Pacific Islander (not of Hispanic origin)
   07 Other racial category in your information system – Specify

33. Staff #2: What was the gender of the staff?
   01 Male
   02 Female

34. Staff #2: What was the age of the staff at the time of the incident?
   01 24 or younger
   02 25 – 29
   03 30 – 34
   04 35 – 39
   05 40 – 44
   06 45 – 54
   07 55 or older

35. Staff #2: What was the race/ethnic origin of the staff involved in the incident? (Mark (X) all that apply.)
   01 White (not of Hispanic origin)
   02 Black (not of Hispanic origin)
   03 Hispanic or Latino
   04 American Indian/Alaska Native (not of Hispanic origin)
   05 Asian (not of Hispanic origin)
   06 Native Hawaiian or Other Pacific Islander (not of Hispanic origin)
   07 Other racial category in your information system – Specify

36. Was the staff involved in the incident an employee of the facility, a contractor, or a volunteer? (Mark (X) all that apply for all staff involved.)
   01 Full- or part-time paid employee
   02 Contract employee or vendor
   03 Volunteer or intern
   04 Other – Specify

37. What was the primary position description of the staff involved in the incident? (Mark (X) all that apply for all staff involved.)
   01 Administrator, including wardens, superintendents, assistants and others in administrative positions
   02 Correctional officer or supervisory staff
   03 Clerical staff including secretaries, clerks, receptionists, and other administrative support
   04 Maintenance and other facility support staff, including groundskeepers, janitors, cooks, and drivers
   05 Medical or health care staff, including counselors, doctors, dentists, psychologists, psychiatrists, social workers, nurses, and medical assistants
   06 Education staff, including instructors, teachers, librarians, and education assistants
   07 Other program staff
   08 Volunteers or Interns
   09 Other staff – Specify
38. What sanction was imposed on the staff? (Mark (X) all that apply for all staff involved.)
01 □ Sent to training or counseling
02 □ Reprimanded or disciplined
03 □ Demoted, diminished responsibilities, or suspended temporarily
04 □ Transferred to another facility or unit
05 □ Arrested or referred to law enforcement agency
06 □ Referred for prosecution or indicted
07 □ Convicted, plead guilty, sentenced, or fined
08 □ Discharged, terminated, or contract not renewed
09 □ Staff resigned (prior to completion of investigation)
10 □ Staff resigned (after investigation was completed)
11 □ Other – Specify

12 □ No action taken

39. At the time of the incident, how long had the staff worked at the facility? (Mark (X) all that apply for all staff involved.)
01 □ Less than 6 months
02 □ 6 months to 1 year
03 □ 1 to 5 years
04 □ 5 to 10 years
05 □ More than 10 years

NOTES

Definitions

Sexual victimization

NONCONSENSUAL SEXUAL ACTS: Sexual contact of any person without his or her consent, or of a person who is unable to consent or refuse;

AND

Contact between the penis and the vulva or the penis and the anus including penetration, however slight; OR

Contact between the mouth and the penis, vulva, or anus;

OR

Penetration of the anal or genital opening of another person, however slight, by a hand, finger, object, or other instrument.

ABUSIVE SEXUAL CONTACT (less severe): Sexual contact of any person without his or her consent, or of a person who is unable to consent or refuse;

AND

Intentional touching, either directly or through the clothing, of the genitalia, anus, groin, breast, inner thigh, or buttocks of any person.

EXCLUDE incidents in which the contact was incidental to a physical altercation.

SEXUAL HARASSMENT BY ANOTHER YOUTH: Repeated and unwelcome sexual advances, requests for sexual favors, or verbal comments, gestures, or actions of a derogatory or offensive sexual nature by one youth directed toward another.

STAFF SEXUAL MISCONDUCT: Any behavior or act of a sexual nature directed toward a youth by an employee, volunteer, contractor, official visitor or other agency representative (exclude family, friends or other visitors).

Sexual relationships of a romantic nature between staff and youths are included in this definition. Consensual or nonconsensual sexual acts include—

Intentional touching, either directly or through the clothing, of the genitalia, anus, groin, breast, inner thigh, or buttocks that is unrelated to official duties or with intent to abuse, arouse, or gratify sexual desire;

OR

Completed, attempted, threatened, or requested sexual acts;

OR

Occurrences of indecent exposure, invasion of privacy, or staff voyeurism for reasons unrelated to official duties or for sexual gratification.

STAFF SEXUAL HARASSMENT: Repeated verbal comments or gestures of a sexual nature to a youth by an employee, volunteer, contractor, official visitor, or other agency representative (excludes family, friends, or other visitors). Include demeaning references to gender, or sexually suggestive or derogatory comments about body or clothing;

OR

Repeated profane or obscene language or gestures.

Gender categories

TRANSGENDER: A person whose gender identity (i.e., internal sense of feeling male or female) is different from the person’s assigned sex at birth.

INTERSEX: A person whose sexual or reproductive anatomy or chromosomal pattern does not seem to fit typical definitions of male or female. Intersex medical conditions are sometimes referred to as disorders of sex development.