



Texas Juvenile Justice Department

Board Informational Packet

November 16, 2012

This packet includes materials for agenda items that do not require action by the board.



Texas Juvenile Justice Department
Board Meeting

Friday, November 16, 2012 – 9:00 a.m.
Grand Hyatt San Antonio
600 E. Market Street, San Antonio, TX 78205

1. Call to order
2. Prayer
3. Pledge
4. Excuse absences
5. Public comments
6. September 28, 2012 board meeting minutes (Action)
7. Report from the Chairman
8. Report from the Executive Director
9. Independent Ombudsman comments
10. Report from the Interim Inspector General
11. Report from the Advisory Council
12. Finance and Audit Committee report
13. Safety and Security Committee report
14. Special Committee report: Parrie Haynes Ranch Property Management Plan
15. Update on administrative investigations in state facilities and community based programs and facilities for FY 2013
16. Phoenix Program Audit (Action)
17. Grant Funds Audit (Action)
18. Certified officer rules of professional responsibilities and guide to the disciplinary process

19. Discipline of Certified Officers – Default Judgment Orders (Action)
 - a) Vernon T. Cooper, 12-22355-11010158, Dallas County
 - b) Adrian J. Hill, 12-21048-110158, Dallas County
 - c) Jesus Hernandez Jr., 12-21-099-110127, Hidalgo County

20. Discipline of Certified Officers-Agreed Orders (Action)
 - a) Debra Westbrook, 12-16938, Travis
 - b) Daniel Herring, 12-24112-120053, Galveston County

21. Proposed revision to the FY 2014-2015 Legislative Appropriations Request (LAR) (Action)

22. Proposed consulting contract with David Roush (Action)

23. Acknowledgement of gifts (Action)

24. Closed Session – Executive Session
 - a) §551.072 deliberation regarding real property- Parrie Haynes Ranch
 - b) Discussion of current litigation
 - c) Discussion of personnel matters

25. Reconvene in open session, discussion and possible action regarding matters deliberated in closed Executive Session

26. The Board considers a resolution to accept and confirm the Executive Director’s signature on the Settlement Agreement with Stan DeGerolami

27. Adjourn

- The Texas Juvenile Justice Department Board reserves the right to limit the time and scope of public comments as deemed appropriate by the Board.
- The Board of the Texas Juvenile Justice Department reserves the right to take formal board action on any posted agenda item if necessary.
- Items may not necessarily be considered in the order in which they appear on the agenda.
- The Board of the Texas Juvenile Justice Department may go into closed session as authorized by the Texas Open Meetings Act as codified in Texas Government Code Section 551.071 with respect to any item.

**Texas Juvenile Justice Department (TJJD)
Office of Inspector General (OIG)
Summary Indicators for FY 2012-2013 Biennium**

	FY 2012 ¹	FY 2013 ²
• Incident Reporting Center (IRC) reports	11,154	1,707
• IRC referrals for Criminal Investigations	993	164
• OIG Criminal Investigations opened	1,160	185
• OIG Criminal Investigations closed	980	177
• OIG Criminal Investigations submitted to Prosecution	322	53
• TJJD Active Directives to Apprehend (FY12: Parole Violations-61, Escape/Abscond-7; FY13: Parole Violations-58, Escape/Abscond-12)	68	70
• OIG Apprehensions	114	6

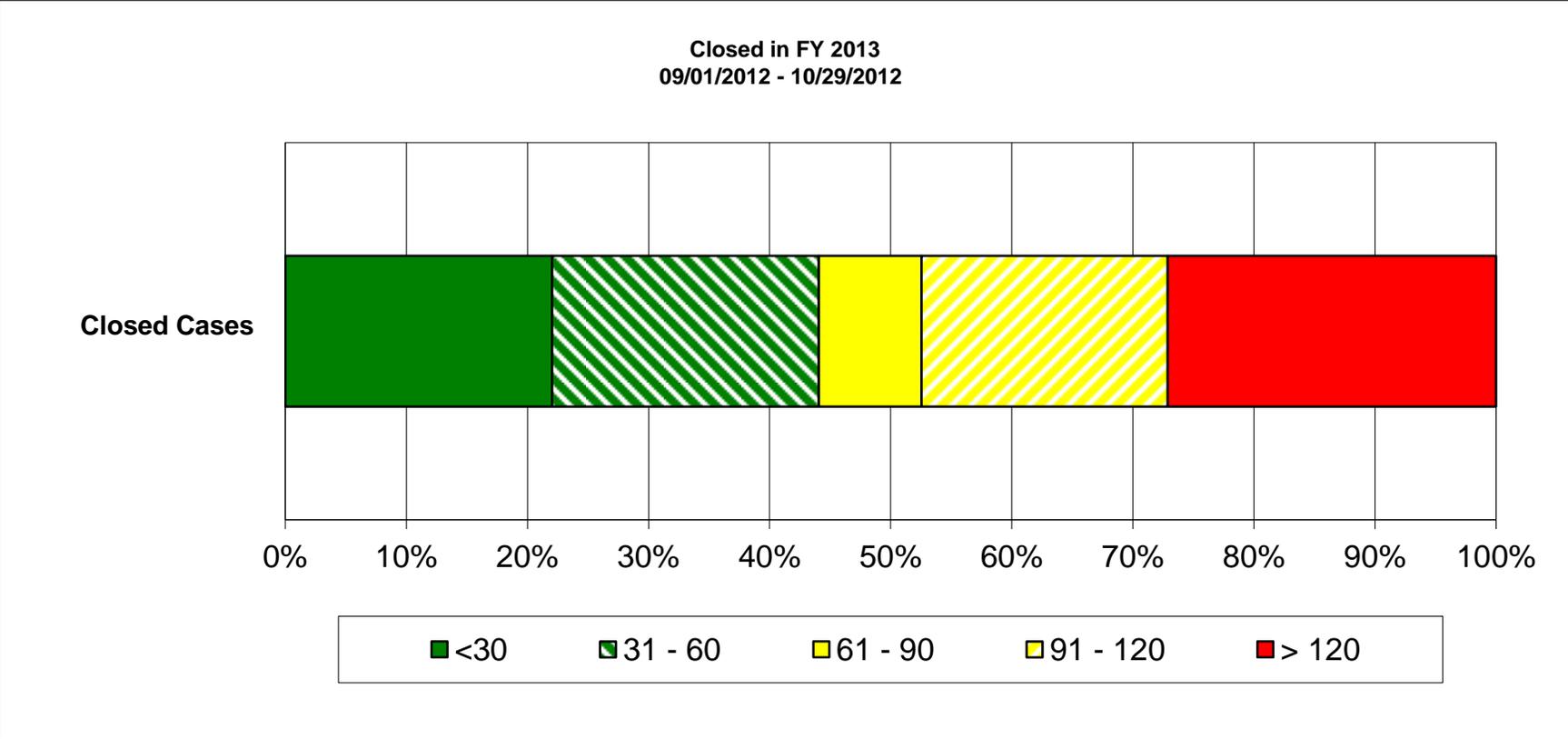
¹ As of August 31, 2012

² As of October 29, 2012

OIG Criminal Investigation Closed Case Analysis

Date Investigation Opened through Date Investigation Closed

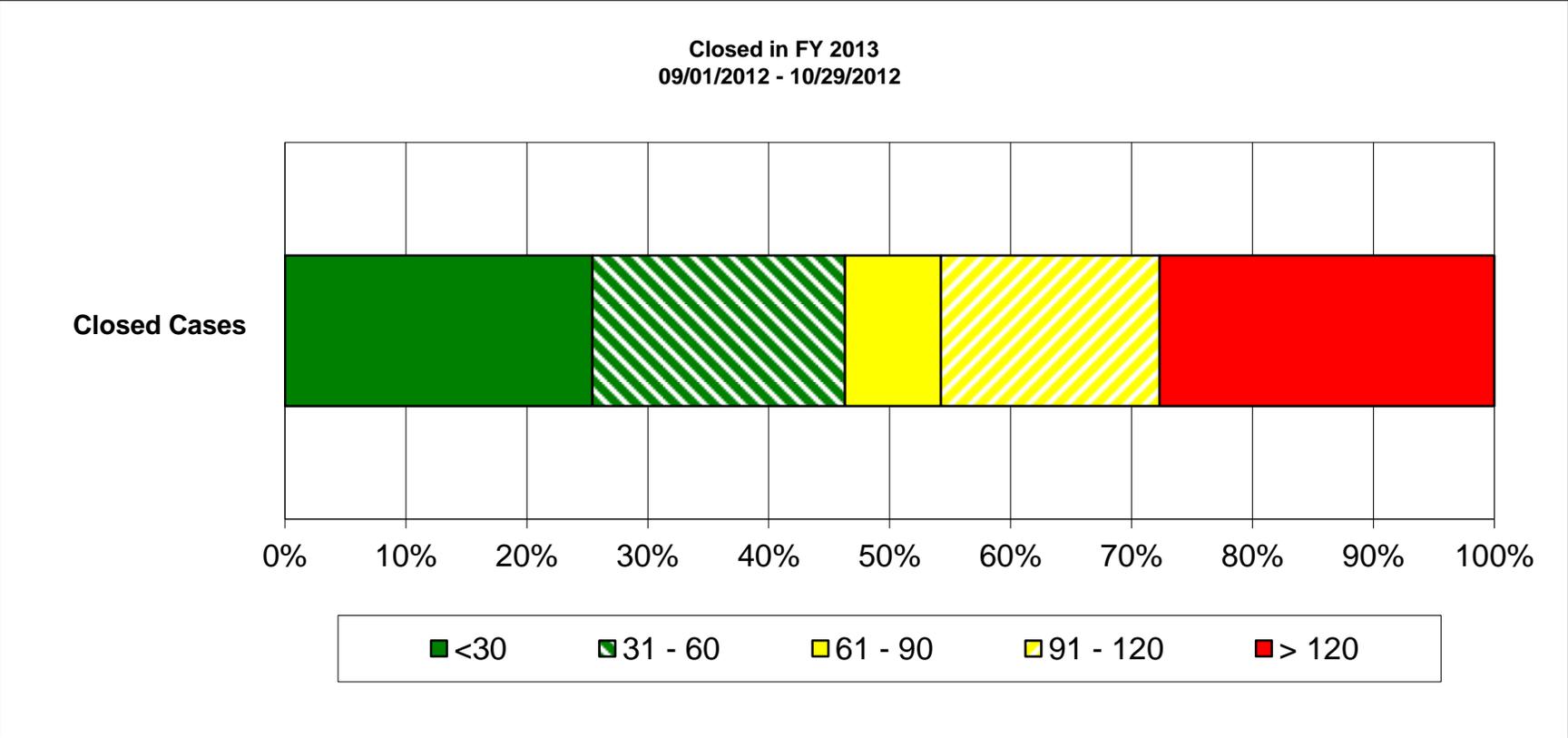
	<30	31 - 60	61 - 90	91 - 120	> 120	Total
Closed Cases	39	39	15	36	48	177



OIG Criminal Investigation Closed Case Analysis

Date Investigation Reported through Date Investigation Closed

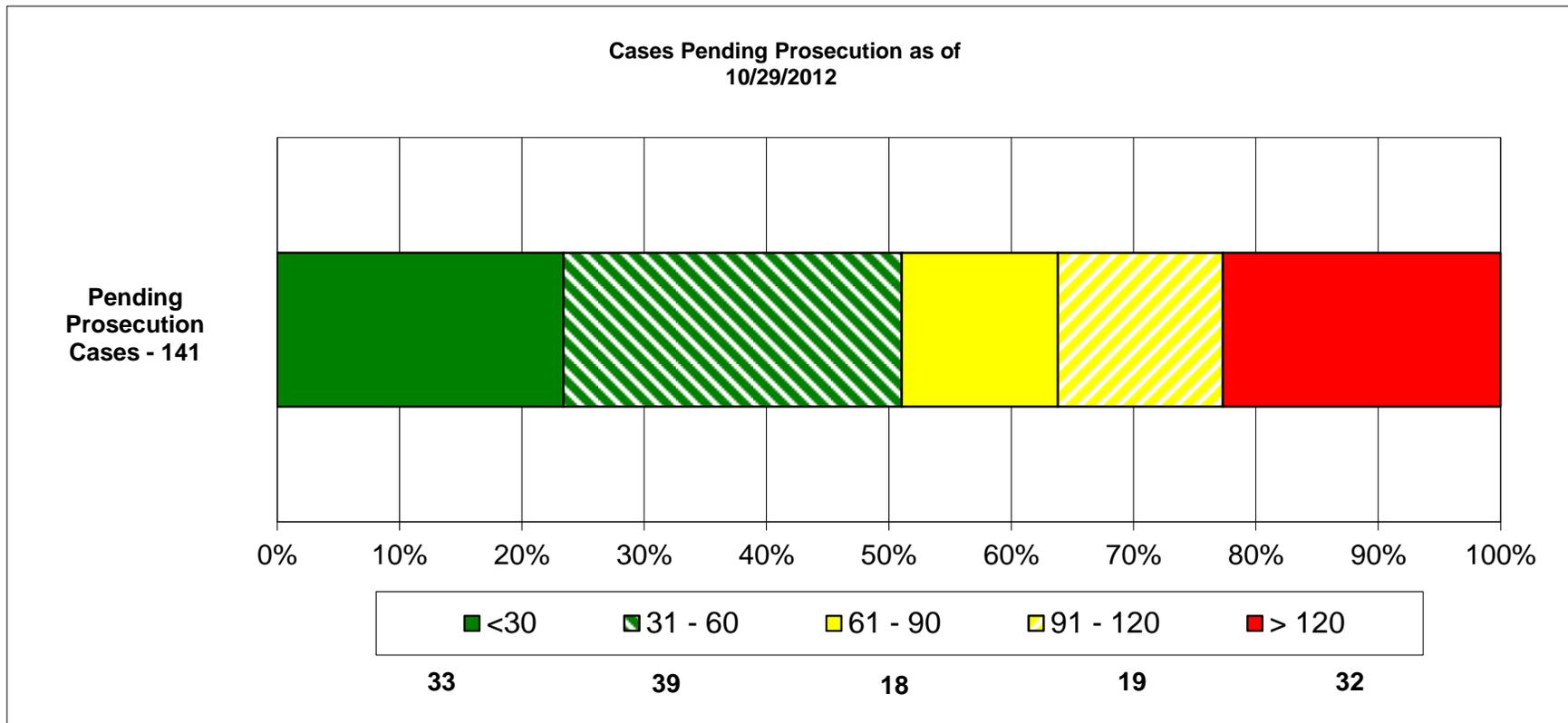
	<30	31 - 60	61 - 90	91 - 120	> 120	Total
Closed Cases	45	37	14	32	49	177



Criminal Investigation Pending Prosecution Analysis

Date Investigation Opened through Date of Reporting Period

	<30	31 - 60	61 - 90	91 - 120	> 120	Total
Pending Prosecution Cases	33	39	18	19	32	141



**Texas Juvenile Justice Department
Administrative Investigations Division
Summary Comparisons
September FY 13**

	FY 2012 September	FY 2013 September
State Facilities (SIU)		
Administrative Investigations Opened	98	77
Administrative Investigations Completed	86	87
Administrative Investigations Confirmed	27	26
County Facilities and Programs (CIU)		
Allegations of Abuse, Neglect & Exploitation	39	24
Serious Incidents	72	40
Complaints	3	4
Grievances	49	58
Non-Jurisdiction	2	6
Non-Reportable	46	45
Other Reports (<i>Non-Jurisdiction, Standards Violation</i>)	3	4
Total from County Facilities and Programs	214	181

Updates on Dispositions of FY 12 CIU Investigations	As of 09-31-12	As of 11-02-12
Affirmative (Concur & Reason to Believe)	33	50
Ruled Out	107	140
Does Not Meet the Definition of Abuse, Neglect and Exploitation	36	41
Baseless	5	5
Other	6	8
Pending	188	131

Definitions for the State Investigation Unit (SIU):

Opened - The report was received by the Incident Reporting Center (IRC), processed by Central Office and assigned to an AID investigator.

Completed – The investigator has concluded the investigation and the report has been submitted for the supervisor’s review.

Closed - The investigation and all Supervisor Reviews have been completed.

Confirmed - Investigation established that the allegation is supported by a preponderance of evidence that the allegation did occur.

Definitions for the County Investigation Unit (CIU):

Complaint – A report submitted by a parent/guardian, staff or any other source, but not a juvenile/youth.

Grievances – When received by AID, these reports are reviewed to determine if TJJD’s involvement is warranted. If TJJD’s involvement is unwarranted, the report is forwarded to the county for processing at the local level.

Non-Jurisdiction –The TJJD does not have investigative authority or the matter is outside of the scope of the juvenile justice system.

Non-Reportable - In accordance with Texas Administrative, Code Chapter 358, the incident does not meet the definition for reporting to TJJD or local law enforcement.

Serious Incident - Attempted escape, attempted suicide, escape, reportable injury, youth-on youth physical assault or youth sexual conduct.



Texas Juvenile Justice Department
Finance & Audit Committee Meeting

Thursday, November 15, 2012 – 1:00 p.m.
Bexar County Juvenile Probation Department - Rooms 1110/1113
301 E. Mitchell, San Antonio, TX 78210

1. Call to order
2. August 23, 2012 meeting minutes
3. Phoenix Program Audit and possible recommendation to the full board
4. Grant Funds Audit and possible recommendation to the full board
5. Internal Audit Internal Assessment and FY 2013 Business Plan
6. Follow Up on Prior Audit Recommendations
7. Status of Internal Audit Projects
8. Proposed revision to the FY 2014-2015 Legislative Appropriations Request (LAR) and possible recommendation to the full board
9. Proposed consulting contract with David Roush and possible recommendation to the full board
10. Acknowledgment of gifts and possible recommendation to the full board
11. Release of Request for Proposal for specialized residential intervention services
12. Budget update
13. Monthly construction program status report
14. Adjourn

- Items may not necessarily be considered in the order in which they appear on the agenda.
- Committee meetings may include a quorum of the Board in attendance.



Texas Juvenile Justice Department Memorandum

To: Texas Juvenile Justice Department Boards Members
Mike Griffiths, Executive Director

From: Karin Hill, Chief Auditor

Subject: Annual Internal Assessment and FY 2013 Business Plan

Date: November 15, 2012

Attached is the annual internal assessment of the Internal Audit Department and Business Plan for fiscal year 2013. The internal assessment is a requirement of the Institute of Internal Auditor's Standard 1311. This year's review was conducted as part of our preparation for the external quality assurance review and is summarized in this document. This assessment also includes an analysis of the post-audit surveys conducted throughout the year and an evaluation of the Internal Audit Capability Model introduced in last years' internal assessment and business plan.

The results of the assessment identified that the Internal Audit Department is meeting the requirements of professional standards, as well as providing the agency with value-added information to assist in improving the effectiveness and efficiency of operations. Additionally, the Department is operating at Level 3 – Integrated, on the Capability Model with activities in some elements at a higher capability level and continues to make progress toward operating at Level 4 – Managed.

To ensure continued emphasis on meeting standards and continuous improvement, the department has established goals to continue progress to Level 4 on the Capability Model and will continue to track performance measures. These are summarized in the following tables and will be reported on as indicated.

Goals	
Activity	Completion Date
• Revise the post-audit survey.	12/31/2012
• Expand the use of TeamMate for project management.	3/1/2013
• Conduct a technology skills assessment in the IA Department and develop a strategy to reduce gaps.	6/1/2013
• Partner with training staff to incorporate governance, risk management, and controls into management development training.	8/31/2013
• Partner with management to develop a documented risk management process for the agency.	8/31/2013

Performance Measures		
Measure	Goal	Reported
The percent of the approved audit plan completed.	>= 80%	Committee Meetings
The percent of audits completed within 110% of budget.	>= 75%	Committee Meetings
The percentage of high-risk areas included in audit work.	>= 70%	Committee Meetings
The percent of staff time spent on planned audit activities.	>= 70%	Committee Meetings
The percent of staff turnover.	<= 22.2%	Annual
The percent of staff holding at least one professional certification.	>= 75%	Annual
The percent of staff meeting continuing professional education requirements.	100%	Annual
The percent of staff actively participating in professional organizations.	>= 50%	Annual

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The Institute of Internal Auditor's (IIA's) International Standards for the Professional Practice of Internal Auditing (*Standards*) require internal audit activities to implement a Quality Assurance and Improvement Program, which consists of periodic internal assessments and an external assessment at least once every five years. The internal assessments must include an ongoing monitoring process and periodic reviews to evaluate the internal audit department's conformance with the *Definition of Internal Auditing*, the *Code of Ethics*, and the *Standards*; which can be conducted through self-assessment.

Review of the Charter

The Charter currently in place was adopted by the Board in January 2012. The Charter establishes the purpose, authority, and responsibility of the Internal Audit Department, as well as the reporting requirements of the Director to the Board and adopts the IIA's *Definition of Internal Auditing* and *Code of Ethics*. The results of the peer review completed in August 2012 identified the need for the mandatory nature of the IIA's definition of internal audit to be included in the charter. A revised charter was presented to the Board, and approved, at the September 2012 meeting.

Review of the Audit Manual

The Audit Manual was reviewed as part of the preparation for the 2012 peer review. Changes identified in the 2011 review had been implemented and the manual was determined complete and ready for review during the quality assessment.

Review of Work Papers

Working papers are reviewed and coaching notes given as audit work progresses. Additionally, a Working Paper Review Tool is completed by a staff member not involved in the audit and verified by the auditor assigned to oversee the audit attesting to compliance with the standards. Although work papers consistently met the standards over this past year, opportunities for improvement continue to exist and are addressed as they are identified. Work paper consistency continues to be an area needing improvement in the Department. Analysis and ongoing review continues to result in training sessions throughout the year.

Review and Discussion of Industry Best Practices

The Department routinely reviews and discusses professional standards and guidance, journal articles, white papers, and other literature during staff meetings. Some of the specific activities that occurred in 2012 were:

- Review and answering practice questions from relevant professional exams. This helps staff prepare to take these exams by giving examples of the types of

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questions asked as well as having a thorough discussion of the correct, and incorrect, responses.

- Staff presented information learned in training or through professional reading. Some examples of this include: 2011 Yellow Book Standards on Independence, the IIA Standards Exposure Draft, preliminary surveys, the audit process and timing of control documents, and consideration of controls.
- Articles from professional literature are discussed to capitalize on the materials we receive and strengthen staff understanding. Some of the articles discussed this past year include: “The Issue with Audit Exceptions”, “Raising Internal Audit’s Potential”, “Facing IT Risk Head On”, and “Step Up To The Plate”.

Surveys

The Internal Audit Department surveys management at the conclusion of each audit, about our work specifically related to that project, and uses the results to identify areas for improvement.

Post-audit Surveys. The post-audit survey is an electronic survey document that collects input on the technical and soft skills the audit team exhibited during the engagement. The survey is completed anonymously and allows for written comments to clarify ratings or provide additional information. Results of the survey are discussed with the audit team; emphasis on improving the areas with the lower ratings is made in subsequent assignments and high scores are shared as successes with the entire Department.

In fiscal year 2012 surveys were conducted for eight audits released during the year. On a scale of “1” (low) to “5” (high) the overall average rating was 3.63 compared to an average score of 4.28 in fiscal year 2011. The average score for every question in the survey decreased from fiscal year 2011 to 2012. Review of survey responses identified low response rates, in some cases fewer than four, and that in most instances explanation is not given for low scores as requested in the survey – rendering it impossible to address perceived weaknesses in the Department. For example, the statement reflecting the most significant decrease in score was “The audit team demonstrated courtesy” yet there was not a single comment regarding this area nor were any concerns brought to the Chief Auditor’s attention.

Review of the individual audits with the lowest scores for this statement showed that there were two respondents to the survey; one scored it as not applicable (were not involved with the audit staff during the audit) and the other as “1” (low) with no explanation. While the Department is conscientious of how we are viewed and remains vigilant in being professional and respectful with agency management and staff, the results of the post-audit survey have caused us to take pause and consider other options for obtaining management feedback. Whether this will be in addition to the survey or to replace the survey is yet to be determined. A table of the aggregate survey results, as well as a comparison to last year’s results, is included in Appendix A.

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Management Survey. Due to the Internal Audit Department receiving its peer review this past summer, part of which is a management survey and interviews with several directors and board members, a separate management survey was not conducted for this plan.

Department heads identified as being involved in an audit between August 2009 and May 2012 were surveyed to collect information on their perceptions of the audit staffs skills, the value of the reports and other services provided by the audit department, and the effectiveness of the audit activity. The survey was sent to 25 managers of which 23 responded (92%).

Communication was rated high in that survey with 90 percent of the responses to questions pertaining to communication either being “excellent” or “good”. Additionally, all of the respondents indicated that they have an “excellent” or “good” understanding of internal audit’s purpose. A summary of this survey is in Appendix B.

Internal Audit Department Staff Survey. As with management, staff were not surveyed this year due to the completion of the peer review. All staff were interviewed as part of this process and provided input and suggestions during both the department’s preparation for the review and the review itself.

Internal Audit Department Staff

Including the Chief Auditor, the Internal Audit Department consists of nine staff with tenure with the agency that ranges from one month to 16 years. Five of the staff hold at least one professional designation; the certifications and number of staff who hold them are as follows.

Certification	Number
Certified Internal Auditor (CIA)	3
Certified Government Auditing Professional (CGAP)	3
Certification in Risk Management Assurance (CRMA)	1
Certified Fraud Examiner (CFE)	1

Additionally, two staff are actively pursuing the CIA.

Audit staff attended 512 hours of training this past year, 201 (39.4%) of which were obtained at no out-of-pocket cost to the agency, a 64 percent increase from 2011. This was achieved through staff covering the cost of professional luncheons, attending free “members-only” webinars conducted by the IIA, Department staff providing training at staff meetings, and participation in the Internal Audit Leadership Development Program – a program facilitated by a consortium of Chief Audit Executives from several State agencies.

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Performance Measures

The Internal Audit Department reports nine measures to the Board Finance and Audit Committee, of which it was successful in meeting five of the nine in fiscal year 2012. The Department continues to exceed the target for time spent on planned audit activities and the only turnover in during fiscal year 2012 was a retirement. Additionally, the staff continue to meet their continuing education requirements and while more involvement with professional organizations would benefit individual auditors and the Department as a whole, this goal was also met.

Measure	FY 2012 Goal	FY 2012 Actual
1. The percent of the approved audit plan completed.	80%	82%
2. The percent of audits completed within 110% of budget.	75%	71%
3. The percent of high-risk areas included in audit work.	70%	40%
4. The percent of staff time spent on planned audit activities.	70%	82%
5. The average overall customer satisfaction score on post-audit surveys.	4.0	3.6
6. The percent of staff turnover.	12.5%	12.5%
7. The percent of staff holding at least one professional certification.	75%	62.5%
8. The percent of staff meeting continuing professional education requirements.	100%	100%
9. The percent of staff actively participating in professional organizations.	50%	50%

Measures Missed:

- The Department fell short of covering 75 percent of high-risk areas in its work during 2012 primarily due to the shift of focus from facility audits to agency infrastructure and controls. A number of the areas were included in management assistance projects that staff participated in throughout the fiscal year.
- Although the Department missed the target regarding completing projects within 110 percent of the budget, improvements have been made in this area. For one of the projects the target was missed by 1.15 percent and the other was the Software License audit that was budgeted for oversight of a student team and ultimately required significant audit time.

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- Five of eight (62.5%) staff combine to hold eight certifications. With the addition of a ninth auditor for fiscal year 2013, two of the four remaining staff will need to gain certification to meet this goal. Staff will be encouraged to make the commitment to earn certification.
- With an average overall score of 3.6 the Department missed the customer satisfaction goal of 4.0. Review of the responses received identified a continuation of poor response rates. Additionally, low scores are often not accompanied by discussion of the concerns to allow audit management to address the issues with staff or in the department's performance.

External Quality Assurance Review

The Department received its peer review this year, which included a comprehensive review and evaluation of the audit work conducted between 2009 and 2012. The review team concluded that the Internal Audit Department "fully complies" with the standards and issued five recommendations to further improve operations. The next peer review is due by the end of fiscal year 2015.

Internal Audit Technology Strategy

The technology strategy developed during last year's internal assessment and business plan development continues to be the most appropriate direction for the Department. It focuses the Department's efforts in ensuring its administrative needs are met; streamlines processes; calls for the assessment and training of audit staff; and strives to involve Internal Audit in the front-end of the development process.

Within the Department, Internal Audit will focus on fully capitalizing on the tools we have as well as identifying and obtaining training where needed. Internal Audit staff are assigned to each of the agency's user groups as non-voting members and emphasis continues to be placed on inserting Internal Audit into the development processes. A model of the Internal Audit Technology Strategy is in Appendix C.

Internal Audit Capability Model for the Public Sector

This framework was developed and published by the IIA Research Foundation in September 2009, and identifies the fundamentals needed for effective internal auditing in the public sector. It describes an evolutionary path for a public sector organization to follow in developing effective internal auditing to meet the organization's governance needs and professional expectations. The framework consists of five levels of "maturity" which include:

- **Level 1**- Initial: no sustainable, repeatable capabilities – dependent upon individual efforts
- **Level 2** – Infrastructure: sustainable and repeatable internal audit practices and procedures

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- **Level 3** – Integrated: internal audit management and professional practices uniformly applied
- **Level 4** – Managed: internal audit integrates information from across the organization to improve governance and risk management
- **Level 5** – Optimizing: internal audit learning from inside and outside the organization for continuous improvement

While each level establishes key processes that must be implemented to attain, many require coordination and action by both the internal audit activity and the organization. In the model below, the area shaded in the darker blue can be fully controlled by the audit function whereas those with the lighter shading are dependent on the Department's coordination with the organization to be attained. For example, the internal audit activity can fully control the team building and competency of the Department staff for Level 3 – People Management; however, effective and ongoing relationships for Level 5 – Organizational Relationships & Culture require the audit activity and organization management to work together for attainment.

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Internal Audit Capability Model for the Public Sector						
	Services & Role of IA	People Management	Professional Practices	Performance Management & Accountability	Organizational Relationships & Culture	Governance Structure
Level 5 – Optimizing	IA Recognized as Key Agent of Change	Leadership Involvement with Professional Bodies Workforce Projection	Continuous Improvement in Professional Practices Strategic IA Planning	Public Reporting of IA Effectiveness	Effective & Ongoing Relationships	Independence, Power, & Authority of the IA Activity
Level 4 – Managed	Overall Assurance on Governance, Risk Management, & Control	IA contributes to Management Development IA Activity Supports Professional Bodies Workforce Planning	Audit Strategy Leverages Organization’s Management of Risk	Integration of Qualitative & Quantitative Performance Measures	CAE Advises & Influences Top-level Management	Independent Oversight of the IA Activity CAE Reports to Top-level Authority
Level 3 – Integrated	Advisory Services Performance /Value-for-Money Audits	Team Building & Competency Professionally Qualified Staff Workforce Coordination	Quality Management Framework Risk-based Audit Plans	Performance Measures Cost Information IA Management Reports	Coordination with Other Review Groups Integral Component of Management Team	Management Oversight of the IA Activity Funding Mechanisms
Level 2 – Infrastructure	Compliance Auditing	Individual Professional Development Skilled People Identified & Recruited	Professional Practices & Processes Framework Audit plan Based on Management/S takeholder Priorities	IA Operating Budget IA Business Plan	Managing within the IA Activity	Full Access to the Organization’s Information, Assets, & People Reporting Relationships Established
Level 1 – Initial	Ad hoc & unstructured; isolated single audits or reviews of documents & transactions for accuracy & compliance; outputs dependent upon the skills of specific individuals holding the position; no specific professional practices established other than those provided by professional associations; funding approved by management, as needed; absence of infrastructure; auditors likely part of a larger organizational unit; no established capabilities; therefore, no specific key process areas.					

Using this model, the Internal Audit Department is operating at Level 3 – Integrated in all six elements and higher in some. The following tables identify, by element which key process areas the Internal Audit Department has implemented, which are in progress or partially implemented, and those where work has not begun. A summarized illustration of the Department’s operating level as related to the capability model is in Appendix D.

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Services and Role of Internal Auditing		Implementation Status
5	<ul style="list-style-type: none"> Internal Audit Recognized as Key Agent of Change 	In Progress
4	<ul style="list-style-type: none"> Overall Assurance on Governance, Risk Management, and Control 	Has Not Begun
3	<ul style="list-style-type: none"> Advisory Services Performance/Value-for-Money Audits 	Implemented
2	<ul style="list-style-type: none"> Compliance Auditing 	Implemented
1	No Key Process Areas	N/A

People Management		Implementation Status
5	<ul style="list-style-type: none"> Leadership Involvement with Professional Bodies Workforce Projection 	In Progress
4	<ul style="list-style-type: none"> Internal Audit Contributes to Management Development Internal Audit Activity Supports Professional Bodies Workforce Planning 	In Progress
3	<ul style="list-style-type: none"> Team Building and Competency Professionally Qualified Staff Workforce Coordination 	Implemented
2	<ul style="list-style-type: none"> Individual Professional Development Skilled People Identified and Recruited 	Implemented
1	No Key Process Areas	N/A

Professional Practices		Implementation Status
5	<ul style="list-style-type: none"> Continuous Improvement in Professional Practices Strategic Internal Auditing Planning 	In Progress
4	<ul style="list-style-type: none"> Audit Strategy Leverages Organization's Management of Risk 	Has Not Begun
3	<ul style="list-style-type: none"> Quality Management Framework Risk-Based Audit Plans 	Implemented
2	<ul style="list-style-type: none"> Professional Practices and Processes Framework Audit Plan Based on Management/Stakeholder Priorities 	Implemented
1	No Key Process Areas	N/A

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Performance Management and Accountability		Implementation Status
5	<ul style="list-style-type: none"> Public Reporting of Internal Audit Effectiveness 	In Progress
4	<ul style="list-style-type: none"> Integration of Qualitative and Quantitative Performance Measures 	Implemented
3	<ul style="list-style-type: none"> Performance Measures Cost Information Internal Audit Management Reports 	Implemented
2	<ul style="list-style-type: none"> Internal Audit Operating Budget Internal Audit Business Plan 	Implemented
1	No Key Process Areas	N/A

Organizational Relationships and Culture		Implementation Status
5	<ul style="list-style-type: none"> Effective and Ongoing Relationships 	In Progress
4	<ul style="list-style-type: none"> CAE Advises and Influences Top-level Management 	Implemented
3	<ul style="list-style-type: none"> Coordination with Other Review Groups Integral Component of Management Team 	Implemented
2	<ul style="list-style-type: none"> Managing within the Internal Audit Activity 	Implemented
1	No Key Process Areas	N/A

Governance Structures		Implementation Status
5	<ul style="list-style-type: none"> Independence, Power, and Authority of the Internal Audit Activity 	Implemented
4	<ul style="list-style-type: none"> Independent Oversight of the Internal Audit Activity CAE Reports to Top-level Authority 	Implemented
3	<ul style="list-style-type: none"> Management Oversight of the Internal Audit Activity Funding Mechanism 	Implemented
2	<ul style="list-style-type: none"> Full Access to the Organization's Information, Assets, and People Reporting Relationship Established 	Implemented
1	No Key Process Areas	N/A

During 2012 the Department implemented processes and practices to achieve Level 4 in Performance Management and Accountability and in Governance Structures. Over this next year we will continue to focus on ensuring the implemented practices are continued to maintain Level 3 operations as well as work to fully implement Level 4 on People Management. Additionally, the development of a documented risk management process will be considered for the agency, which will begin working toward implementation of Level 4 for the Services and Role of Internal Auditing and Professional Practices elements.

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Appendix A – Comparison of Post-audit Survey Results – FY 2011 to 2012

(scale of “1” (low) to “5” (high))	FY2012	FY 2011	Diff.
The audit team demonstrated courtesy.	3.25	4.50	-1.25
The disruption of daily activities was minimized as much as possible during the audit.	4.0	4.47	-0.47
The audit team demonstrated effective communication skills.	3.38	4.50	-1.12
The auditors demonstrated independence and objectivity in performing the audit.	3.13	4.37	-1.24
The audit team demonstrated technical proficiency in audit areas.	3.38	4.16	-0.78
The audit status and results were provided in a timely manner.	3.35	4.43	-1.08
The auditors were willing to provide advice and assistance when needed.	3.75	4.21	-0.46
The auditors provided adequate feedback during the audit.	3.75	4.40	-0.65
The audit objectives were clearly communicated to me.	3.43	4.15	-0.72
Overall, the audit added value to the organization.	3.93	4.10	-0.17
The audit recommendations were useful.	3.88	4.12	-0.24
Audit results were reported objectively and with appropriate perspective.	3.94	4.11	-0.17
The information in the audit report was clear and logical.	3.97	4.18	-0.21
Average Overall Rating.	3.63	4.28	-0.65

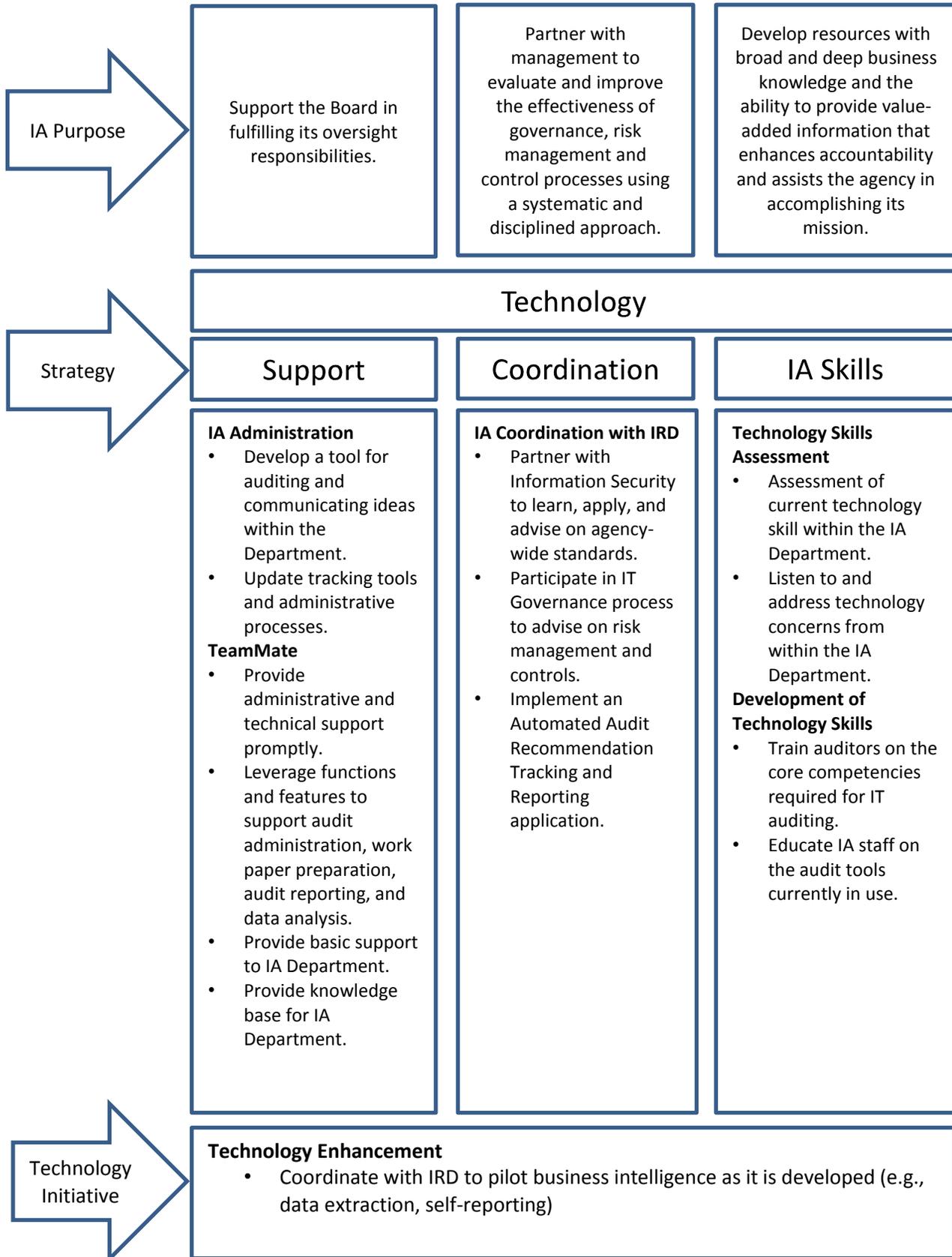
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Appendix B –Management Survey from the 2012 Peer Review

	Excellent	Good	Fair	Poor	N/A
Auditors' demonstration and promotion of ethical behavior.	74% (17)	22% (5)	4% (1)	0	0
Organizational placement of the internal auditing function.	70% (16)	26% (6)	4% (1)	0	0
Objectivity of the internal auditors.	61% (14)	26% (6)	13% (3)	0	0
Technical proficiency of the internal auditors.	35% (8)	43% (10)	22% (5)	0	0
Professionalism of the internal auditors.	61% (14)	35% (8)	4% (1)	0	0
Communication skills of the internal auditors.	52% (12)	35% (8)	13% (3)	0	0
Willingness of internal auditors to work with management.	61% (14)	30% (7)	9% (2)	0	0
Value added by Internal Audit.	52% (12)	43% (10)	4% (1)	0	0
Appropriateness of attention to areas of risk.	43% (10)	43% (10)	13% (3)	0	0
Duration of audits.	39% (9)	43% (10)	17% (4)	0	0
Usefulness of the audit process.	57% (13)	30% (7)	13% (3)	0	0
Inclusion of your suggestions for areas to be audited.	55% (12)	27% (6)	9% (2)	5% (1)	5% (1)
Notification of areas to be audited.	70% (16)	17% (4)	4% (1)	4% (1)	4% (1)
Communication of audit objectives and scope.	57% (13)	30% (7)	9% (2)	4% (1)	0
Response to requests for special assistance.	43% (10)	35% (8)	9% (2)	0	13% (3)
Your understanding of internal audit's purpose.	43% (10)	57% (13)	0	0	0
Feedback during the audit regarding issues that are identified.	59% (13)	36% (8)	5% (1)	0	0
Timeliness of reports on audits or consulting projects.	57% (13)	35% (8)	9% (2)	0	0
Communication of conclusions, recommendations, and action plans.	52% (12)	39% (9)	9% (2)	0	0
Accuracy, clarity, and completeness of audit reports.	43% (10)	43% (10)	13% (3)	0	0
Objectivity of audit reports.	52% (12)	35% (8)	9% (2)	4% (1)	0
Communication of feedback regarding satisfactory performance.	43% (10)	48% (11)	4% (1)	4% (1)	0
Usefulness of audit recommendations.	43% (10)	48% (11)	9% (2)	0	0
Follow-up on corrective action.	30% (7)	61% (14)	9% (2)	0	0
Effectiveness of the internal audit department.	48% (11)	43% (10)	9% (2)	0	0

Annual Internal Assessment and FY 2013 Business Plan

Appendix C – TJJ Internal Audit Department Technology Strategy



Annual Internal Assessment and FY 2013 Business Plan

Appendix D – TJJD Internal Audit Department Capability Model Status

Internal Audit Capability Model for the Public Sector TJJD Internal Audit Department						
	Services & Role of IA	People Management	Professional Practices	Performance Management & Accountability	Organizational Relationships & Culture	Governance Structure
Level 5 – Optimizing	IA Recognized as Key Agent of Change	Leadership Involvement with Professional Bodies Workforce Projection	Continuous Improvement in Professional Practices Strategic IA Planning	Public Reporting of IA Effectiveness	Effective & Ongoing Relationships	Independence, Power, & Authority of the IA Activity
Level 4 – Managed	Overall Assurance on Governance, Risk Management, & Control	IA contributes to Management Development IA Activity Supports Professional Bodies Workforce Planning	Audit Strategy Leverages Organization’s Management of Risk	Integration of Qualitative & Quantitative Performance Measures	CAE Advises & Influences Top-level Management	Independent Oversight of the IA Activity CAE Reports to Top-level Authority
Level 3 – Integrated	Advisory Services Performance /Value-for-Money Audits	Team Building & Competency Professionally Qualified Staff Workforce Coordination	Quality Management Framework Risk-based Audit Plans	Performance Measures Cost Information IA Management Reports	Coordination with Other Review Groups Integral Component of Management Team	Management Oversight of the IA Activity Funding Mechanisms
Level 2 – Infrastructure	Compliance Auditing	Individual Professional Development Skilled People Identified & Recruited	Professional Practices & Processes Framework Audit plan Based on Management/ Stakeholder Priorities	IA Operating Budget IA Business Plan	Managing within the IA Activity	Full Access to the Organization’s Information, Assets, & People Reporting Relationships Established
Level 1 – Initial	Ad hoc & unstructured; isolated single audits or reviews of documents & transactions for accuracy & compliance; outputs dependent upon the skills of specific individuals holding the position; no specific professional practices established other than those provided by professional associations; funding approved by management, as needed; absence of infrastructure; auditors likely part of a larger organizational unit; no established capabilities; therefore, no specific key process areas.					

	Not In Progress
	In Progress
	Institutionalized (implemented)

TEXAS JUVENILE JUSTICE DEPARTMENT
INTERNAL AUDIT DEPARTMENT

Report on Performance Measures

Performance Measures		
Measure	To Date	Goal
The percent of the approved audit plan completed.	13.3%	>= 80%
The percent of audits completed within 110% of budget.	75%	>= 75%
The percentage of high-risk areas included in audit work.	41.7%	>= 70%
The percent of staff time spent on planned audit activities.	85% ¹	>= 70%

¹Through September 30, 2012.

TEXAS JUVENILE JUSTICE DEPARTMENT
INTERNAL AUDIT DEPARTMENT

**Status of Projects
FY 2013**

UNDERWAY PROJECTS	PROJECTED PHASE COMPLETION DATE		
	Survey	Fieldwork	Report
Full Scope			
13-7 Alleged Mistreatment	1/2013	3/2013	4/2013
Limited Scope			
13-4 Due Process Hearings	10/2012	9/2013	11/2013
13-5 Procurement Cards	10/2012	9/2013	11/2013
Facility Audits			
13-1A Cottrell House	11/2012	12/2012	1/2013
13-1B Evins	1/2013	3/2013	4/2013
Other Projects			
	Start	Complete	
13-6 Agency Monitoring Activities	10/2012	12/2012	

**TEXAS JUVENILE JUSTICE DEPARTMENT
INTERNAL AUDIT DEPARTMENT**

**Status of Projects
FY 2013**

PENDING PROJECTS	PROJECTED START DATE
Full Scope	
Safety and Security	2/2013
Re-entry and Reintegration	6/2013
Limited Scope	
Ethics	2/2013
Probation Compliance	4/2013
Texas Administrative Code, Chapter 202 Compliance	7/2013
Facility Audits	
Institution	3/2013
Halfway House	5/2013
Other Projects	
FY 2013 1 st Quarter Follow Up	12/2012
FY 2013 2 nd Quarter Follow Up	3/2013
Annual Risk Assessment and Audit Plan	5/2013
FY 2013 3 rd Quarter Follow Up	6/2013
Annual Internal Audit Report	8/2013
Annual Quality Assurance and Improvement Program Review	8/2013
FY 2013 4 th Quarter Follow Up	9/2013

TEXAS JUVENILE JUSTICE DEPARTMENT
INTERNAL AUDIT DEPARTMENT

**Status of Projects
FY 2013**

PROJECTS COMPLETED	REPORTED TO BOARD
Full/Limited Scope Audits	
12-8 IT General Controls	9/2012
12-9 Financial Controls	9/2012
13-2 Phoenix Program	11/2012
13-3 Grant Funds	11/2012
Other Projects	
Annual Internal Audit Report	9/2012
Internal Audit Charter	9/2012
Annual Internal Assessment and FY 2013 Business Plan	11/2012
FY 2012 4 th Quarter Follow Up	11/2012
ADDITIONAL REQUESTS (Consulting/Assistance Projects - No Report Issued to the Board)	
Review of Documentation for Personnel Actions	



Texas Juvenile Justice Department Memorandum

TO: Finance and Audit Committee
Mike Griffiths, Executive Director

FROM: Karin Hill, Chief Auditor

DATE: November 15, 2012

RE: 4th Quarter FY 2012 Follow Up on Audit Recommendations

Attached for your information is our fiscal year 2012, 4th quarter follow-up report on the implementation status of audit recommendations.

This report includes 18 recommendations that were either reported as “underway” or “planned,” or have been issued since the June 2012 follow up report, from six reports published by the Internal Audit Department, one from the State Auditor’s Office, and the Internal Audit Department’s External Quality Assurance Review that was issued in August 2012. As of September 30, 2012, management reports that they have implemented 17 of the 18 (94%) recommendations included in this report.

The table below details the status as of the cutoff date for recommendations included in this report.

Status	Status as of September 30, 2012	
	#	%
Implemented	17	94
Underway	1	6
Planned		
On Hold		
Closed/Not Implemented		
Total	18	

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SAO 07-047 Monitoring and Enforcement Functions at the Juvenile Probation Commission (Published 8/2007)

Recommendation 1-12

Additional risk factors, such as the amount of time since the previous visit or number of certified officers, in the risk assessment process for local probation departments and certifications/re-certifications.

Final Response: A multivariate risk assessment model was developed for use in the formal monitoring of select rules (i.e., standards) within Title 37, Texas Administrative Code (TAC), Chapter 344. The risk assessment model will be used to identify which of the State’s 165 county juvenile probation departments will be formally monitored for select standards previously codified in TAC 341 (the Chapter originally identified in original audit finding/recommendation). The risk-assessment model includes the following weighted variables:

- 1) Date of last formal monitoring activity/report documented in the COMETS System
- 2) Total TJJD funding managed by the juvenile probation department
- 3) TJJD funding as a percentage of the jurisdiction’s total operating budget
- 4) Total number of juveniles under department supervision (average monthly form supervision)
- 5) MAYSI-2 administration/reporting discrepancies

Total number of certified juvenile probation officers employed by a juvenile probation department

Implementation Date: 9/1/2012

10-7 Texas Administrative Code, Chapter 202

(Published 11/2010)

Recommendation 4

To ensure the Business Continuity Plan includes steps necessary to resume operations, the Director of Emergency Management should conduct a table top exercise in the Central Office.

Final Response: The Central Office Disaster Recovery Plan (Plan), an addendum to the Agency Continuity of Operations Plan (COOP), and a table top discussion testing the plan has been completed. All successes and failures of the plan that were identified in the table top discussion have been addressed. The Plan will be routinely reviewed and updated, as necessary. In addition, the Agency Risk Manager will continue to scheduled tabletop exercises as needed, but minimally, bi-annually to improve agency readiness.

Initial Projected Implementation Date: 8/28/2011

11-3 Release Review Panel

(Published 1/2011)

Recommendation 4

To increase efficiency, enhance controls and standardize data input the Director of LOS should coordinate with IRD to consolidate the databases into one relational database.

Final Response: IRD implemented the Release Review Panel Tracking System (RRPTS) in the new consolidated database called Sequel. All assignments, decisions and some tracking logs are done through RRPTS.

Implementation Date: 8/6/2012

11-6 Alleged Mistreatment

(Published 8/2011)

Recommendation 8

Current Status: Underway

To ensure management is provided a tool to review investigative activities and provide guidance, the Inspector General should work with the Information Resources Department to explore options to streamline and automate the documentation of investigative activities.

Initial Management Response: OIG has completed and submitted the required documents associated with the development and implementation of an automated investigative report writing system to IRD. IRD has indicated that the process associated with the development and implementation of this project has begun.

Initial Projected Implementation Date: 12/1/2011

Management Update: *Limited resources and competing priorities have delayed the completion of this application.*

Projected Implementation Date: 12/1/2012

11-7 Staff and Youth Injuries

(Published 11/2011)

Recommendation 3

Provide training on strategies to develop corrective action plans taking existing tools and potential resources into account.

Final Response: Technical assistance/training visits were concluded by the PbS Candidacy Manager and PbS State Coordinator in the month of June, 2012. Training was held at Corsicana (6/12/12), Gainesville (6/13/12), and Evins (6/14/12). The purpose of the training/technical assistance visits were to review the data reports, analyze performance, and then to discuss the plan of action to improve outcomes for both youth and staff at the facility; using the PbS 7-Action Step Model. All facilities have received training on the 7-Action Step Model and have current Facility Improvement Plans (FIPs) that can be viewed on the pbstandards.org website

Implementation Date: 6/30/2012

11-9 Case Management and Treatment

(Published 4/2012)

Recommendation 1

The Director of Integrated State Operated Programs & Services should ensure program requirements are aligned with best practices in rehabilitation and treatment and determine how they can best be met considering all requirements.

Follow Up Report – FY 2012 4th Quarter

Final Response: All of the identified items were completed in order to meet the objective. Specifically, the Director of Integrated State Operated Program and Services formed a work group to (1) conduct a limited-scope review of case management best practices to identify changes to CoNEXTions related to time frames for case plan updates, frequency of effective multi-disciplinary team reviews, individual counseling standards, and other documentation requirements; (2) review any legal constraints to the findings; (3) propose and receive approval for changes based on the review; (4) review and revise policy and CMS to better align with the best practices; (5) train staff on new standards. Policy and Case Management Standard changes will go into effect on September 16th and staff in the youth services department will monitor implementation and outcomes.

Implementation Date: 8/30/2012

Recommendation 2

The Director of Integrated State Operated Programs & Services should review monitoring frequency and documentation requirements for opportunities to streamline activities, standardize documentation expectations, and improve effectiveness.

Final Response: All of the initially identified items were completed in order to meet the objective. Specifically the Director of Integrated State Operated Program and Services formed a work group to develop and implement an improved system for monitoring delivery of treatment and case management services. Within the scope of this project is a new paradigm for monitoring, improved monitoring tools, updated case management standards for local and central office monitoring oversight. The new requirements are being piloted in September and adjustments from feedback incorporated in October. Case management standards for monitoring will be posted on September 16, 2012. An oversight exceptions report developed by IRD is being tested for effectiveness. This will assist supervisors will ensure services are being delivered as designed.

Implementation Date: 8/30/2012

Recommendation 3

The Director of Integrated State Operated Programs and Services should ensure case management staff with oversight responsibilities are held accountable through periodic review of their monitoring and feedback activities.

Final Response: A skills assessment/test for supervisors to address areas for improvement has been implemented. On-going follow-up by coaching and mentoring is in place and a new case management standard has been written. New monitoring tools are in place to provide feedback and skill development of Case Management staff and supervisors. Performance

appraisals are revised and include elements of effective training, coaching, mentoring and monitoring.

Implementation Date: 9/30/2012

Recommendation 4

Work with the State Data Center Services vendor to investigate, isolate, and address the issues associated with data input and utilization of CCS and Assessments.Com.

Final Response: TJD Information Resources staff met with representatives from the Department of Information Resources (DIR) and representatives and ACS. The vendor spent some time with staff and streamlined some of the processes. Inquiries from IRD to field staff have not identified ongoing issues.

Implementation Date: 7/31/2012

Recommendation 5

The Director of Integrated State Operated Programs & Services should work with facility superintendents to identify acceptable reasons to pull case managers away from their case management responsibilities and work to minimize when this is done.

Final Response: The Director of Integrated State Operated Program and Services formed a work group which reviewed case manager duties and responsibilities, barriers to providing these duties (using the audit team work product where possible) and determined how to best use case managers for effective integrated-treatment given the completing priorities. A memo was disseminated to facility administrators establishing expectations. The expectations will be periodically reviewed in the facility superintendent meetings.

Implementation Date: 6/30/2012

Recommendation 6

The Director of Integrated State Operated Programs & Services should develop a process to document and track family involvement and its impact on the individual youth.

Final Response: The Director of Integrated Program and Services worked with family liaisons, IRD and the research department staff to identify data points and improve exists data

Follow Up Report – FY 2012 4th Quarter

collections system. The information will be used as part of the treatment effectiveness report for 2012.

Implementation Date: 8/30/2012

12-4 Construction

(Published 5/2012)

Recommendation 4

To ensure the Construction Department has the skill sets necessary to effectively and efficiently manage the agency's construction projects, work with the Human Resources Department to conduct a skills needs and gap analysis and make necessary adjustments.

Final Response: The position was posted until filled. 6 engineers have been interviewed and a decision to make an offer is pending final review of the paperwork and submission to HR department.

Implementation Date: The position will be offered and if accepted should begin either October 1st or the 15th of October depending on approval to proceed with finance and HR.

Implementation Date: 6/1/2012

12-5 Software Licenses

(Published 8/2012)

Recommendation 1

The Chief Information Officer in collaboration with the agency's Deputy Executive Director will ensure that each department designates a liaison to assist IRD in ensuring a proper license and/or purchase order is identified for all installed software specific to their department.

Final Response: Liaisons have been identified and trained on the requirements of ensuring licensing documentation is available.

Implementation Date: 6/1/2012

Recommendation 2

The Chief Information Officer will ensure that the agency implements a software management process to include establishing responsibility, maintaining an accurate inventory, ensuring license compliance, and effectively allocating the use of software applications. This will include a periodic review and reporting of the agency's compliance with software requirements.

Final Response: The process has been implemented and a responsible staff member identified.

Implementation Date: 6/1/2012

External Quality Assurance Review for Internal Audit (Published 8/2012)

Recommendation 1

Propose amendments to the charter that meet the requirements of the Standards.

Final Response: A revised charter was presented to the Board for approval at the September 2012 Board Meeting.

Implementation Date: 9/28/2012

Recommendation 2

Formally document the process used for assuring that the risk of fraud was assessed.

Final Response: The team leads have incorporated a statement in the survey program and either concluded or carried additional steps forward to fieldwork as appropriate.

Implementation Date: 7/31/2012

Recommendation 3

Implement a strategy to comply with Standard 2110.

Final Response: The Corporate Governance audit was issued in August, 2012 and an ethics audit has been included on the FY 2013 audit plan. Emphasis will continue to be placed on these areas.

Implementation Date: 8/24/2012

Follow Up Report – FY 2012 4th Quarter

Recommendation 4

Document the process used to identify risk for each program objective and for selecting certain risks over others.

Final Response: Audit staff have received training in staff meetings and increased emphasis has been put on completing a comprehensive Survey Results and Fieldwork Plan. Both of the audits that had been initiated between the time of the self-assessment and the external quality review required all members of the audit team to develop the sections of the Survey Results and Fieldwork Plan that they conducted the work for and the team leads and audit managers ensured the results clearly discussed the risk assessment and development of the objectives.

Implementation Date: 7/31/2012

Texas Juvenile Justice Department

Executive Summary by Strategy FY 2013 through September 30, 2012

	General Appropriations Act	Amended Budget	Expended/ Disbursed ² YTD	% Expended
<u>Strategies:</u>				
A.1.1. Prevention & Intervention	-	2,692,480	347,419	12.9%
A.1.2. Community Supervision /				
A.1.4. Post-Adjudication Facilities	127,241,695	125,531,367	16,492,528	13.1%
A.1.3. Diversion Programs	19,492,500	19,858,554	2,775,363	14.0%
A.1.5. Juvenile Justice Alternative Ed. Pgm.	8,614,302	9,949,804	-	0.0%
A.1.6. Harris County Boot Camp	1,000,000	1,000,000	166,667	16.7%
A.2.1. Training & Certification	411,101	592,683	99,555	16.8%
A.2.2. Monitoring & Inspections	2,187,557	2,972,747	300,501	10.1%
B.1.1. Assessment & Orientation	2,751,087	3,091,063	246,992	8.0%
B.1.2. Facility Operations	81,281,857	84,536,755	9,146,271	10.8%
B.1.3. Education	19,448,103	18,733,494	1,983,800	10.6%
B.1.4. Halfway House Operations	10,221,432	11,106,732	1,211,806	10.9%
B.1.5. Health Care	12,067,568	10,755,677	1,051,309	9.8%
B.1.6. Mental Health (Psychiatric) Care	1,242,204	924,702	64,644	7.0%
B.1.7. General Rehabilitation Treatment	8,681,710	8,427,501	913,422	10.8%
B.1.8. Specialized Rehabilitation Treatment	5,430,875	5,654,750	588,436	10.4%
B.1.9. Contract Capacity	6,489,236	4,003,579	349,027	8.7%
B.1.10. Parole Services	4,775,843	5,256,594	559,532	10.6%
B.2.1. Office of the Inspector General	2,036,437	1,746,154	191,512	11.0%
B.2.2. Health Care Oversight	1,410,466	975,080	101,369	10.4%
B.2.3. Interstate Agreement	310,939	292,740	24,532	8.4%
B.3.1. Construct & Renovate Facilities	-	2,287,710	-	0.0%
C.1.1. Office of the Independent Ombudsman	275,018	388,735	39,063	10.0%
D.1.1. Central Administration	10,097,081	7,725,883	848,309	11.0%
D.1.2. Information Resources	4,933,006	6,043,810	415,616	6.9%
Total - Strategy Budget	\$330,400,017	\$334,548,594	\$37,917,673	11.3%
<u>Method of Finance:</u>				
General Revenue	300,576,348	300,576,348	36,663,544	12.2%
Federal Funds	13,354,861	15,261,825	810,826	5.3%
Criminal Justice Grants	-	107,156	8,660	8.1%
General Obligation Bonds	-	1,463,741	-	0.0%
Appropriated Receipts	1,628,913	1,628,913	2,159	0.1%
Interagency Contracts	14,839,895	15,510,611	432,484	2.8%
Total - Method of Finance	\$330,400,017	\$334,548,594	\$37,917,673	11.3%

Notes:

1. The normal range is +/- 5% of the straight-line projection of 8.33% for September.
2. Amounts reflect grant funds disbursed to the counties.

Texas Juvenile Justice Department

Executive Summary by Strategy FY 2013 through September 30, 2012

	General Appropriations Act	Amended Budget	Expended/ Disbursed YTD
<u>Strategies:</u>			
<i>A.1.1. Prevention & Intervention</i>			
General Revenue	-	2,692,480	347,419
<i>A.1.2. Community Supervision /</i>			
<i>A.1.4. Post-Adjudication Facilities</i>			
General Revenue	126,091,695	124,381,367	16,492,528
Appropriated Receipts	1,150,000	1,150,000	-
Subtotal	127,241,695	125,531,367	16,492,528
<i>A.1.3. Diversion Programs</i>			
General Revenue	12,492,500	12,858,554	2,775,363
Federal Funds	7,000,000	7,000,000	-
Subtotal	19,492,500	19,858,554	2,775,363
<i>A.1.5. Juvenile Justice Alternative Ed. Pgm.</i>			
Interagency Contracts	8,614,302	9,949,804	-
<i>A.1.6. Harris County Boot Camp</i>			
General Revenue	1,000,000	1,000,000	166,667
<i>A.2.1. Training & Certification</i>			
General Revenue	316,101	497,683	99,555
Appropriated Receipts	95,000	95,000	-
Subtotal	411,101	592,683	99,555
<i>A.2.2. Monitoring & Inspections</i>			
General Revenue	2,187,557	2,972,747	300,501
<i>B.1.1. Assessment & Orientation</i>			
General Revenue	2,751,087	3,091,063	246,992
<i>B.1.2. Facility Operations</i>			
General Revenue	78,808,119	81,815,447	8,754,616
Federal Funds	2,089,825	2,337,395	389,496
Appropriated Receipts	383,913	383,913	2,159
Subtotal	81,281,857	84,536,755	9,146,271
<i>B.1.3. Education</i>			
General Revenue	11,453,307	11,281,984	1,320,134
Federal Funds	2,460,203	2,581,703	281,382
Interagency Contracts	5,534,593	4,869,807	382,284
Subtotal	19,448,103	18,733,494	1,983,800

Texas Juvenile Justice Department

Executive Summary by Strategy FY 2013 through September 30, 2012

	General Appropriations Act	Amended Budget	Expended/ Disbursed YTD
<u>Strategies:</u>			
<i>B.1.4. Halfway House Operations</i>			
General Revenue	9,815,254	10,706,757	1,172,803
Federal Funds	406,178	399,975	39,003
Subtotal	10,221,432	11,106,732	1,211,806
<i>B.1.5. Health Care</i>			
General Revenue	12,067,568	10,755,677	1,051,309
<i>B.1.6. Mental Health (Psychiatric) Care</i>			
General Revenue	1,242,204	924,702	64,644
<i>B.1.7. General Rehabilitation Treatment</i>			
General Revenue	8,681,710	8,427,501	913,422
<i>B.1.8. Specialized Rehabilitation Treatment</i>			
General Revenue	4,739,875	4,963,750	538,236
Interagency Contracts	691,000	691,000	50,200
Subtotal	5,430,875	5,654,750	588,436
<i>B.1.9. Contract Capacity</i>			
General Revenue	5,090,581	2,245,579	259,141
Federal Funds	1,398,655	1,758,000	89,886
Subtotal	6,489,236	4,003,579	349,027
<i>B.1.10. Parole Services</i>			
General Revenue	4,775,843	4,895,811	548,473
Federal Funds	-	360,783	11,059
Subtotal	4,775,843	5,256,594	559,532
<i>B.2.1. Office of the Inspector General</i>			
General Revenue	2,036,437	1,746,154	191,512
<i>B.2.2. Health Care Oversight</i>			
General Revenue	1,410,466	975,080	101,369
<i>B.2.3. Interstate Agreement</i>			
General Revenue	310,939	292,740	24,532
<i>B.3.1. Construct & Renovate Facilities</i>			
General Obligation Bonds	-	1,463,741	-
Federal Funds	-	823,969	-
Subtotal	-	2,287,710	-

Texas Juvenile Justice Department

Executive Summary by Strategy

FY 2013 through September 30, 2012

	General Appropriations Act	Amended Budget	Expended/ Disbursed YTD
<u>Strategies:</u>			
<i>C.1.1. Office of the Independent Ombudsman</i>			
General Revenue	275,018	281,579	30,403
Criminal Justice Grants	-	107,156	8,660
Subtotal	275,018	388,735	39,063
<i>D.1.1. Central Administration</i>			
General Revenue	10,097,081	7,725,883	848,309
<i>D.1.2. Information Resources</i>			
General Revenue	4,933,006	6,043,810	415,616
Total - Strategy Budget	330,400,017	334,548,594	37,917,673
<u>Method of Finance:</u>			
General Revenue	300,576,348	300,576,348	36,663,544
Federal Funds	13,354,861	15,261,825	810,826
Criminal Justice Grants	-	107,156	8,660
General Obligation Bonds	-	1,463,741	-
Appropriated Receipts	1,628,913	1,628,913	2,159
Interagency Contracts	14,839,895	15,510,611	432,484
Total - Method of Finance	\$330,400,017	\$334,548,594	\$37,917,673

**Texas Juvenile Justice Department
Budget and Expended Report by Strategy and Method of Finance
FY 2013 through September 30, 2012**

STRATEGIES	METHOD OF FINANCE								Amended Budget	Expended / Disbursed	Amended Budget	Expended / Disbursed
	General Revenue		Federal Funds		General Obligation Bonds		Interagency Contracts & Appropriated Receipts					
	Amended Budget	Expended / Disbursed	Amended Budget	Expended / Disbursed	Amended Budget	Expended / Disbursed	Amended Budget	Expended / Disbursed				
								TOTAL	TOTAL	%	%	
A.1.1. Prevention & Intervention	2,692,480	347,419	-	-	-	-	-	-	2,692,480	347,419	0.80%	0.92%
A.1.2./ A.1.4. Community Supervision / Post Adjudication Facilities	124,381,367	16,492,528	-	-	-	-	1,150,000	-	125,531,367	16,492,528	37.52%	43.50%
A.1.3. Diversion Programs	12,858,554	2,775,363	7,000,000	-	-	-	-	-	19,858,554	2,775,363	5.94%	7.32%
A.1.5. Juvenile Justice Alternative Ed. Pgm.	-	-	-	-	-	-	9,949,804	-	9,949,804	-	2.97%	0.00%
A.1.6. Harris County Boot Camp	1,000,000	166,667	-	-	-	-	-	-	1,000,000	166,667	0.30%	0.44%
A.2.1. Training & Certification	497,683	99,555	-	-	-	-	95,000	-	592,683	99,555	0.18%	0.26%
A.2.2. Monitoring & Inspection	2,972,747	300,501	-	-	-	-	-	-	2,972,747	300,501	0.89%	0.79%
B.1.1. Assessment & Orientation	3,091,063	246,992	-	-	-	-	-	-	3,091,063	246,992	0.92%	0.65%
B.1.2. State-Operated Secure Operations	81,815,447	8,754,616	2,337,395	389,496	-	-	383,913	2,159	84,536,755	9,146,271	25.27%	24.12%
B.1.3. Education	11,281,984	1,320,134	2,581,703	281,382	-	-	4,869,807	382,284	18,733,494	1,983,800	5.60%	5.23%
B.1.4. Halfway House Operations	10,706,757	1,172,803	399,975	39,003	-	-	-	-	11,106,732	1,211,806	3.32%	3.20%
B.1.5. Health Care	10,755,677	1,051,309	-	-	-	-	-	-	10,755,677	1,051,309	3.21%	2.77%
B.1.6. Mental Health (Psychiatric) Care	924,702	64,644	-	-	-	-	-	-	924,702	64,644	0.28%	0.17%
B.1.7. General Rehabilitation Treatment	8,427,501	913,422	-	-	-	-	-	-	8,427,501	913,422	2.52%	2.41%
B.1.8. Specialized Rehabilitation Treatment	4,963,750	538,236	-	-	-	-	691,000	50,200	5,654,750	588,436	1.69%	1.55%
B.1.9. Contract Capacity	2,245,579	259,141	1,758,000	89,886	-	-	-	-	4,003,579	349,027	1.20%	0.92%
B.1.10. Parole Services	4,895,811	548,473	360,783	11,059	-	-	-	-	5,256,594	559,532	1.57%	1.48%
B.2.1. Office of the Inspector General	1,746,154	191,512	-	-	-	-	-	-	1,746,154	191,512	0.52%	0.51%
B.2.2. Health Care Oversight	975,080	101,369	-	-	-	-	-	-	975,080	101,369	0.29%	0.27%
B.2.3. Interstate Agreement	292,740	24,532	-	-	-	-	-	-	292,740	24,532	0.09%	0.06%
B.3.1. Construct & Renovate Facilities	-	-	823,969	-	1,463,741	-	-	-	2,287,710	-	0.68%	0.00%
C.1.1. Office of the Independent Ombudsman	281,579	30,403	-	-	-	-	107,156	8,660	388,735	39,063	0.12%	0.10%
D.1.1. Central Administration	7,725,883	848,309	-	-	-	-	-	-	7,725,883	848,309	2.31%	2.24%
D.1.2. Information Resources	6,043,810	415,616	-	-	-	-	-	-	6,043,810	415,616	1.81%	1.10%
TOTAL	\$300,576,348	\$36,663,544	\$15,261,825	\$810,826	\$1,463,741	\$-	\$17,246,680	\$443,303	\$334,548,594	\$37,917,673	100.00%	100.00%
PERCENTAGE	90%	96.7%	4.56%	2.14%	0.44%	0.00%	5.16%	1.17%				

	% Budgeted	% Expended
Community Supervision/ Post-Adjudication Facilities	41%	45%
Facility Operations	27%	24%
Total - General Revenue	69%	69%

Community Supervision/Post Adjudication Facilities/Facility Operations	\$ 210,068,122	\$ 25,638,799	62.79%	67.62%
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Texas Juvenile Justice Department

Summary by Location/Program FY 2013 through September 30, 2012

<u>Location/Program:</u>	<u>Amended Budget</u>	<u>Expended/ Disbursed YTD</u>	<u>% Expended</u>
Corsicana Residential Treatment Center	15,927,619	1,464,492	9.2%
Evins Regional Juvenile Center	13,588,219	1,175,289	8.6%
Gainesville State School	18,845,509	1,611,202	8.5%
Giddings State School	20,364,245	1,712,510	8.4%
McLennan Cnty State Juv Corr Facility	28,599,101	2,501,540	8.7%
Ron Jackson State Juvenile Corr Complex	14,862,022	1,287,924	8.7%
Subtotal - State-Operated Secure Facilities	112,186,715	9,752,957	8.7%
Halfway House Operations	12,311,795	1,079,301	8.8%
Contract Care	4,462,345	363,103	8.1%
Parole	4,926,987	471,299	9.6%
County Disbursements	158,938,793	19,774,409	12.4%
Central Office ³	41,721,959	6,476,604	15.5%
TOTAL	\$334,548,594	\$37,917,673	11.3%

Notes:

1. The normal range is +/- 5% of the straight-line projection of 8.33% for September.
2. Yellow represents areas greater than 8.75%
3. Central Office includes expenses related to direct and indirect administrative functions, construction and capital projects, closed facilities, and other statewide administrative costs such as unemployment and worker's compensation.

TEXAS JUVENILE JUSTICE DEPARTMENT

Monthly Construction Program Status Report
FY 2008 - 2010
September 2012

Distribution

Scott W. Fisher, Chair
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The Honorable Laura Parker
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Texas Juvenile Justice Department
Construction & Finance Departments

TEXAS JUVENILE JUSTICE DEPARTMENT

Monthly Construction Program Status Report

September 2012

Executive Summary	Page i
Monthly Change Order Summary	Page ii
FY 08-09 Construction Program Update	
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B. Program Budget Summary	Page 1.9
FY 2010-11 Construction Program Update	
A. Project Budgets/Status	Page 2.1 - 2.7
B. Program Budget Summary	Page 2.8

**Executive Summary
Construction Projects Monthly Report
September 2012**

This summary will explain current project activities or cost considerations. Projects without activity or cost items to consider are not listed in this summary. All projects are open for discussion during scheduled Board Meetings as requested.

The Gainesville State School renovations project is complete with all base bid renovations. Additional work was approved for this facility. A Pre-Construction meeting conducted 9/10/12 for the construction of the New Fence Alarm. Notice to Proceed is scheduled for 9/17/12. A Pre-Construction meeting was conducted 9/10/12 for the repairs to the gutter system at the vocational building. Notice to Proceed is scheduled for 9/17/12.

Ron Jackson facility is complete with all base bid renovation work. Pricing is being requested from the General Contractor for additional lighting to enhance security.

Corsicana RTC facility is complete with all base bid renovation work. General Contractor is working on several items identified during construction which include replacement of refrigeration equipment in the kitchen/cafeteria that is to be coordinated to coincide with the replacement of the Calvert Hall roof. Work is progressing well.

Giddings State School is complete with all base bid work. Additional work was approved for this facility. Pricing requested from the General Contractor for Additional Lighting to enhance security. Renovations to convert dorms from open bay type to single bedroom started on 8/15/12 with work progressing well at the Giddings facility.

Mart Facility is nearing completion with base bid work. Additional work was approved and started on 9/10/12 to install tempered air in the warehouse. Electrical Contractor began construction work installing Additional Lighting on practice field to increase security and allow Recreation in the evening hours.

Work at the Evins facility Base Bid work complete. Pricing is being requested from the General Contractor for additional lighting to enhance security.

This summary of items and events is current as of September 30, 2012.

**Texas Juvenile Justice Department
Summary of Change Orders issued in September 2012**

**Zimmerman Construction Co, Inc. Group 1
Contract # C104, awarded in June 2010, for \$2,340,000
Gainesville - Facility Improvements FY 08-09**

Date	C/O #	Facility	Scope of Work	CAUSE OF CHANGE					Amended Contract Total	
				user group request	A/E error/omission	unforeseen condition	value engineering	TOTAL		
				Prior Change Orders	\$560,623	\$0	\$152,092	\$67,181	\$779,896	\$3,119,896
				Total change orders issued this month	\$0	\$0	\$0	\$0	\$0	
				Total Change Orders	\$560,623	\$0	\$152,092	\$67,181	\$779,896	\$3,119,896

There were no Change Orders issued in September.

**Zimmerman Construction Co, Inc. Group 3A
Contract # C111, awarded in January 2011, for \$2,847,500
Corsicana, Crockett & Ron Jackson - Facility Improvements FY 08-09 & 2010-11**

Date	C/O#	Facility	Scope of Work	CAUSE OF CHANGE					Amended Contract Total	
				user group request	A/E error/omission	unforeseen condition	value engineering	TOTAL		
				Prior Change Orders	\$822,967	\$0	\$23,865	\$36,603	\$883,435	\$3,730,935
				Total change orders issued this month	\$0	\$0	\$0	\$0	\$0	0
				Total Change Orders	\$822,967	\$0	\$23,865	\$36,603	\$883,435	\$3,730,935

There were no Change Orders issued in September.

**Gaeke Construction Co, Inc. Group 2
Contract # C114, awarded in March 2011, for \$2,234,700
Evins, Giddings & McLennan - Facility Improvements FY 08-09 & 2010-11**

Date	C/O#	Facility	Scope of Work	CAUSE OF CHANGE					Amended Contract Total	
				user group request	A/E error/omission	unforeseen condition	value engineering	TOTAL		
				Prior Change Orders	\$346,049	\$1,666	\$19,549	\$8,316	\$375,580	\$2,610,280
				Total change orders issued this month	\$0	\$0	\$0	\$0	\$0	0
				Total Change Orders	\$346,049	\$1,666	\$19,549	\$8,316	\$375,580	\$2,610,280

There were no Change Orders issued in September.

Total change orders issued this month for Groups 1, 2 & 3				\$0	\$0	\$0	\$0	\$0	\$0	
Total Change Orders for Groups 1, 2 & 3				\$1,729,639	\$1,666	\$195,506	\$112,100	\$2,038,911	\$9,461,111	

TEXAS JUVENILE JUSTICE DEPARTMENT
FY 2008-2009

Corsicana Residential Treatment Center

ARCHITECT: KGA Architecture
GENERAL CONTRACTOR: Zimmerman Construction Co, Inc.

HUB % 44
HUB % 15

NOTICE TO PROCEED: April 6, 2011

PROJECT DESCRIPTION:	WORK IN PLACE	COMPLETION DATE	
		Beneficial Occupancy	Estimated Final Completion
Electrical Repairs/Generators	99%		
Site/Building Plumbing Repairs	99%		
HVAC Replacement	99%		
Security Improvements	99%		
Roof repairs at Calvert Hall and two Dorms	99%		
Replace Windows at Calvert Hall	0%		

SCHEDULE STATUS

ORIGINAL CONTRACT COMPLETION	330 days			March 1, 2012
EXTENSIONS FOR WEATHER		Previous	0	
		New	0	
		Subtotal	0	
EXTENSIONS FOR SCOPE CHANGES		Previous	438	
		New	0	
		Subtotal	438	
TOTAL TIME EXTENSIONS		TOTAL	438	
ADJUSTED CONTRACT COMPLETION				May 13, 2013

CONTRACT STATUS

PERCENTAGE OF CONTRACT TIME EXPIRED	544	of	768	71%
PERCENTAGE OF CONTRACT FUNDS EXPENDED				74%

- NOTES: 1) Notice to Proceed issued to General Contractor on April 6, 2011.
2) Furnaces were replaced in buildings 270, 273 & 274.
3) Replace Fire Alarm Panel at Gatehouse.
4) Replace Drinking Fountains on Stabilization Units I & II.

BUDGET STATUS

DESCRIPTION:	ORIGINAL PROJECT BUDGET	MANAGEMENT APPROVED CHANGES OR VARIANCES	REVISED PROJECT BUDGET	APPROVED CHANGES OR VARIANCES	CONTROL BUDGET	PENDING CHANGES OR VARIANCES	PROJECTED BUDGET	INVOICED THRU 9/30/12
ARCHITECT	52,445		52,445	42,910	95,355		95,355	95,355
TYC ADMINISTRATION	27,144	856	28,000	(4,000)	24,000		24,000	24,000
OWNER FURNISHED ITEMS	53,536		53,536	47,321	100,857		100,857	100,857
INDUSTRY FURNISHED ITEMS (TCI)	0	84,331	84,331		84,331		84,331	84,331
RESERVED FOR ADDITIONAL WORK	0		0	0	0		0	
GENERAL CONTRACTOR	485,284	(44,079)	441,205	935,440	1,376,645	0	1,376,645	1,019,414
PROJECT CONTINGENCY	108,024	(41,108)	66,916	(66,916)	0	0	0	
TOTAL PROJECT COST	726,433	0	726,433	954,755	1,681,188	0	1,681,188	1,323,957
CONTINGENCY AS % OF G.C.	22%		15%		0%		0%	

NOTES:

TEXAS JUVENILE JUSTICE DEPARTMENT
FY 2008-2009

Evins Regional Juvenile Center - Edinburg

ARCHITECT: T. Howard and Associates HUB % 30
GENERAL CONTRACTOR: Gaeke Construction Co, Inc. HUB % 8

NOTICE TO PROCEED: May 17, 2011

PROJECT DESCRIPTION:	WORK IN PLACE	COMPLETION DATE
		Beneficial Occupancy Estimated Final Completion
Replace Electric Locks on 24 bed Dorm Doors	100%	
Replace Locks and Control Panel at Security Building	0%	

SCHEDULE STATUS

ORIGINAL CONTRACT COMPLETION	240 days			January 11, 2012
EXTENSIONS FOR WEATHER		Previous	0	
		New	0	
		Subtotal	0	
EXTENSIONS FOR SCOPE CHANGES		Previous	348	
		New	0	
		Subtotal	348	
TOTAL TIME EXTENSIONS		TOTAL	348	
ADJUSTED CONTRACT COMPLETION				December 24, 2012

CONTRACT STATUS

PERCENTAGE OF CONTRACT TIME EXPIRED	503	of	588	86%
PERCENTAGE OF CONTRACT FUNDS EXPENDED				76%

NOTES: 1) Notice to Proceed issued to General Contractor on May 17, 2011.
2) Repair walk-in cooler, and replace doors at Mechanical Rooms.
3) Replace exterior walk-in cooler.

BUDGET STATUS

DESCRIPTION:	ORIGINAL PROJECT BUDGET	MANAGEMENT APPROVED CHANGES OR VARIANCES	REVISED PROJECT BUDGET	APPROVED CHANGES OR VARIANCES	CONTROL BUDGET	PENDING CHANGES OR VARIANCES	PROJECTED BUDGET	INVOICED THRU 9/30/12
ARCHITECT	16,163		16,163	(1,000)	15,163		15,163	15,163
TYC ADMINISTRATION	11,600		11,600	(8,000)	3,600		3,600	3,600
OWNER FURNISHED ITEMS	0		0	108,310	108,310		108,310	108,310
RESERVED FOR ADDITIONAL WORK	0		0		0		0	
GENERAL CONTRACTOR	154,800	50,000	204,800	161,923	366,723	0	366,723	277,200
PROJECT CONTINGENCY	23,837	0	23,837	(23,837)	0	0	0	
TOTAL PROJECT COST	206,400	50,000	256,400	237,396	493,796	0	493,796	404,273
CONTINGENCY AS % OF G.C.	15%		12%		0%		0%	

NOTES:

**TEXAS JUVENILE JUSTICE DEPARTMENT
FY 2008-2009**

Gainesville State School

ARCHITECT: Half Associates, Inc.
GENERAL CONTRACTOR: Zimmerman Construction Co, Inc.

HUB % 23
HUB % 0

NOTICE TO PROCEED: July 19, 2010

PROJECT DESCRIPTION:	WORK IN PLACE	COMPLETION DATE	
		Beneficial Occupancy	Estimated Final Completion
Gas Distribution Systems	100%		
Repair & Replace Roofs	100%		
Building Repairs	100%		
Electrical Repairs	100%		
Plumbing Repairs	100%		
HVAC Replacement	100%		
Repair Gutter System on Vocational Building	95%		
Replace Perimeter Fence Alarm	20%		

SCHEDULE STATUS

ORIGINAL CONTRACT COMPLETION	425 days	September 17, 2011	
EXTENSIONS FOR WEATHER		Previous	0
		New	0
		Subtotal	0
EXTENSIONS FOR SCOPE CHANGES		Previous	467
		New	0
		Subtotal	467
TOTAL TIME EXTENSIONS		TOTAL	467
ADJUSTED CONTRACT COMPLETION		December 27, 2012	

CONTRACT STATUS

PERCENTAGE OF CONTRACT TIME EXPIRED	805	of	892	90%
PERCENTAGE OF CONTRACT FUNDS EXPENDED				89%

- NOTES:** 1) Notice to Proceed issued to General Contractor on July 19, 2010.
2) Replaced two transformers, repaired fire alarm control panel at gatehouse and replaced tile flooring and smoke detectors in dorms.

BUDGET STATUS

DESCRIPTION:	ORIGINAL PROJECT BUDGET	MANAGEMENT APPROVED CHANGES OR VARIANCES	REVISED PROJECT BUDGET	APPROVED CHANGES OR VARIANCES	CONTROL BUDGET	PENDING CHANGES OR VARIANCES	PROJECTED BUDGET	INVOICED THRU 9/30/12
ARCHITECT	451,200		451,200	(61,639)	389,561		389,561	389,561
TYC ADMINISTRATION	126,251	(31,551)	94,700	7,330	102,030		102,030	102,030
OWNER FURNISHED ITEMS	35,911		35,911	199,868	235,779		235,779	232,335
RESERVED FOR ADDITIONAL WORK	0	1,700,000	1,700,000	(1,700,000)	0		0	
GENERAL CONTRACTOR	3,541,236	(1,201,236)	2,340,000	779,896	3,119,896	0	3,119,896	2,771,775
PROJECT CONTINGENCY	833,059	(467,213)	365,846	(365,846)	0	0	0	
TOTAL PROJECT COST	4,987,657	0	4,987,657	(1,140,391)	3,847,266	0	3,847,266	3,495,701

CONTINGENCY AS % OF G.C. 24% 16% 0% 0%

NOTES:

TEXAS JUVENILE JUSTICE DEPARTMENT
FY 2008-2009

Giddings State School

ARCHITECT: T. Howard and Associates
GENERAL CONTRACTOR: Gaeke Construction Co, Inc.

HUB % 30
HUB % 8

NOTICE TO PROCEED: May 17, 2011

PROJECT DESCRIPTION:	WORK IN PLACE	COMPLETION DATE
		Beneficial Occupancy Estimated Final Completion
Road repairs, and expand Parking lot	100%	
Replace Transformers	100%	
Refurbish Roof Dormers	100%	
Building Repairs	100%	
Plumbing Repairs	100%	

SCHEDULE STATUS

ORIGINAL CONTRACT COMPLETION	240 days			January 11, 2012
EXTENSIONS FOR WEATHER		Previous	0	
		New	0	
		Subtotal	0	
EXTENSIONS FOR SCOPE CHANGES		Previous	348	
		New	0	
		Subtotal	348	
TOTAL TIME EXTENSIONS		TOTAL	348	
ADJUSTED CONTRACT COMPLETION				December 24, 2012

CONTRACT STATUS

PERCENTAGE OF CONTRACT TIME EXPIRED	503	of	588	86%
PERCENTAGE OF CONTRACT FUNDS EXPENDED				97%

- NOTES: 1) Notice to Proceed issued to General Contractor on May 17, 2011.
2) Replace transformers at pads 5&7 and 6&8, and replace chiller at Admin Bldg.

BUDGET STATUS

DESCRIPTION:	ORIGINAL PROJECT BUDGET	MANAGEMENT APPROVED CHANGES OR VARIANCES	REVISED PROJECT BUDGET	APPROVED CHANGES OR VARIANCES	CONTROL BUDGET	PENDING CHANGES OR VARIANCES	PROJECTED BUDGET	INVOICED THRU 9/30/12
ARCHITECT	139,790		139,790	(8,332)	131,458		131,458	131,458
TYC ADMINISTRATION	12,000		12,000	5,000	17,000		17,000	17,000
OWNER FURNISHED ITEMS	152,687		152,687	197,260	349,947		349,947	190,507
RESERVED FOR ADDITIONAL WORK		220,000	220,000	(220,000)	0		0	
GENERAL CONTRACTOR	1,350,116	(204,187)	1,145,929	25,960	1,171,889	0	1,171,889	1,133,633
PROJECT CONTINGENCY	246,978	(15,813)	231,165	(231,165)	0	0	0	
TOTAL PROJECT COST	1,901,571	0	1,901,571	(231,277)	1,670,294	0	1,670,294	1,472,598
CONTINGENCY AS % OF G.C.	18%		20%		0%		0%	

NOTES:

TEXAS JUVENILE JUSTICE DEPARTMENT
FY 2008-2009

McLennan County Juvenile Facility

ARCHITECT: T. Howard and Associates
GENERAL CONTRACTOR: Gaeke Construction Co, Inc.

HUB % 30
HUB % 8

NOTICE TO PROCEED: May 17, 2011

PROJECT DESCRIPTION:	WORK IN PLACE	COMPLETION DATE
		Beneficial Occupancy Estimated Final Completion
Reseal Patrol Roads	90%	
Gym Floor Repairs	100%	
HVAC Replacement/Compressors	30%	
Install additional Site Lighting	30%	

SCHEDULE STATUS

ORIGINAL CONTRACT COMPLETION	240 days			January 11, 2012
EXTENSIONS FOR WEATHER		Previous	0	
		New	0	
		Subtotal	0	
EXTENSIONS FOR SCOPE CHANGES		Previous	348	
		New	0	
		Subtotal	348	
TOTAL TIME EXTENSIONS		TOTAL	348	
ADJUSTED CONTRACT COMPLETION				December 24, 2012

CONTRACT STATUS

PERCENTAGE OF CONTRACT TIME EXPIRED	503	of	588	86%
PERCENTAGE OF CONTRACT FUNDS EXPENDED				55%

- NOTES: 1) Notice to Proceed issued to General Contractor on May 17, 2011.
2) Replace duct heaters in Central Services Bldg, and replace transformer at Dorm J.

BUDGET STATUS

DESCRIPTION:	ORIGINAL PROJECT BUDGET	MANAGEMENT APPROVED CHANGES OR VARIANCES	REVISED PROJECT BUDGET	APPROVED CHANGES OR VARIANCES	CONTROL BUDGET	PENDING CHANGES OR VARIANCES	PROJECTED BUDGET	INVOICED THRU 9/30/12
ARCHITECT	23,417	4,100	27,517	7,945	35,462		35,462	35,462
TYC ADMINISTRATION	18,250		18,250	2,000	20,250		20,250	20,250
OWNER FURNISHED ITEMS	0		0	201,462	201,462		201,462	36,942
RESERVED FOR ADDITIONAL WORK	0		0	0	0		0	
GENERAL CONTRACTOR	224,189	10,334	234,523	187,697	422,220	0	422,220	234,198
PROJECT CONTINGENCY	49,904	(14,434)	35,470	(35,470)	0	0	0	
TOTAL PROJECT COST	315,760	0	315,760	363,634	679,394	0	679,394	326,852
CONTINGENCY AS % OF G.C.	22%		15%		0%		0%	

NOTES:

TEXAS JUVENILE JUSTICE DEPARTMENT
FY 2008-2009

Ron Jackson Unit I - Brownwood

ARCHITECT: KGA Architecture HUB % 44
GENERAL CONTRACTOR: Zimmerman Construction Co, Inc. HUB % 15

NOTICE TO PROCEED: April 6, 2011

PROJECT DESCRIPTION:	WORK IN PLACE	COMPLETION DATE
		Beneficial Occupancy Estimated Final Completion
Building Repairs	100%	
Building Electrical Repairs	100%	
Building HVAC Repairs	100%	

SCHEDULE STATUS

ORIGINAL CONTRACT COMPLETION	330 days			March 1, 2012
EXTENSIONS FOR WEATHER		Previous	0	
		New	0	
		Subtotal	0	
EXTENSIONS FOR SCOPE CHANGES		Previous	438	
		New	0	
		Subtotal	438	
TOTAL TIME EXTENSIONS		TOTAL	438	
ADJUSTED CONTRACT COMPLETION				May 13, 2013

CONTRACT STATUS

PERCENTAGE OF CONTRACT TIME EXPIRED	544	of	768	71%
PERCENTAGE OF CONTRACT FUNDS EXPENDED				100%

NOTES: 1) Notice to Proceed issued to General Contractor on April 6, 2011.
2) Replace defective fire sprinkler valve at Security Building, and replace fire alarm systems in Security and Infirmary.

BUDGET STATUS

DESCRIPTION:	ORIGINAL PROJECT BUDGET	MANAGEMENT APPROVED CHANGES OR VARIANCES	REVISED PROJECT BUDGET	APPROVED CHANGES OR VARIANCES	CONTROL BUDGET	PENDING CHANGES OR VARIANCES	PROJECTED BUDGET	INVOICED THRU 9/30/12
ARCHITECT	59,664		59,664	(5,100)	54,564		54,564	54,564
TYC ADMINISTRATION	2,750		2,750	(1,000)	1,750		1,750	1,750
OWNER FURNISHED ITEMS	0		0	52,035	52,035		52,035	45,543
INDUSTRY FURNISHED ITEMS (TCI)	0		0		0		0	
GENERAL CONTRACTOR	551,818	23,846	575,664	50,866	626,530	0	626,530	626,530
PROJECT CONTINGENCY	122,858	(23,846)	99,012	(99,012)	0	0	0	
TOTAL PROJECT COST	737,090	0	737,090	(2,211)	734,879	0	734,879	728,387
CONTINGENCY AS % OF G.C.	22%		17%		0%		0%	

NOTES:

TEXAS JUVENILE JUSTICE DEPARTMENT
FY 2008-2009

McLennan County Juvenile Facility
Addition to Existing Recreation Building
and expand Recreation Space

ARCHITECT: KGA Architecture
GENERAL CONTRACTOR: Gaeke Construction Co, Inc.

HUB % 44
HUB %

NOTICE TO PROCEED: August 22, 2012

PROJECT DESCRIPTION:	WORK IN PLACE	COMPLETION DATE
		Beneficial Occupancy Estimated Final Completion
Construct Addition to Recreation Bldg	0%	
Expand Perimeter Fence	0%	

SCHEDULE STATUS

ORIGINAL CONTRACT COMPLETION	375 days		August 31, 2013
EXTENSIONS FOR WEATHER		Previous	0
		New	0
		Subtotal	0
EXTENSIONS FOR SCOPE CHANGES		Previous	0
		New	0
		Subtotal	0
TOTAL TIME EXTENSIONS		TOTAL	0
ADJUSTED CONTRACT COMPLETION			August 31, 2013

CONTRACT STATUS

PERCENTAGE OF CONTRACT TIME EXPIRED	40	of	375		11%
PERCENTAGE OF CONTRACT FUNDS EXPENDED					0%

- NOTES:** 1) The Ron Jackson Unit II project was cancelled due to facility closure.
2) Request submitted to the LBB to repurpose this budget for Additional Recreation Space at the McLennan Facility.
3) Approval letter received from the LBB on August 16, 2012.

BUDGET STATUS

DESCRIPTION:	ORIGINAL PROJECT BUDGET	MANAGEMENT APPROVED CHANGES OR VARIANCES	REVISED PROJECT BUDGET	APPROVED CHANGES OR VARIANCES	CONTROL BUDGET	PENDING CHANGES OR VARIANCES	PROJECTED BUDGET	INVOICED THRU 9/30/12
ARCHITECT	113,905		113,905	(25,605)	88,300		88,300	6,300
TYC ADMINISTRATION	0		0		0		0	
OWNER FURNISHED ITEMS	0		0		0		0	
INDUSTRY FURNISHED ITEMS (TCI)	0		0		0		0	
GENERAL CONTRACTOR	900,000		900,000	87,208	987,208		987,208	
PROJECT CONTINGENCY	61,603	0	61,603	(61,603)	0	0	0	
TOTAL PROJECT COST	1,075,508	0	1,075,508	0	1,075,508	0	1,075,508	6,300
CONTINGENCY AS % OF G.C.	7%		7%		0%		0%	

NOTES:

TEXAS JUVENILE JUSTICE DEPARTMENT
FY 2008-2009

Giddings State School
Open Bay Dorm Alterations Phase II - 48 bed Dorms

ARCHITECT: T. Howard and Associates HUB % 30
GENERAL CONTRACTOR: Gaeke Construction Co, Inc. HUB %

NOTICE TO PROCEED: August 6, 2012

PROJECT DESCRIPTION: WORK IN PLACE COMPLETION DATE
Beneficial Occupancy Estimated Final Completion
Remodel 48 bed Dorms 7%

SCHEDULE STATUS

ORIGINAL CONTRACT COMPLETION	391 days			August 31, 2013
EXTENSIONS FOR WEATHER		Previous	0	
		New	0	
		Subtotal	0	
EXTENSIONS FOR SCOPE CHANGES		Previous	0	
		New	0	
		Subtotal	0	
TOTAL TIME EXTENSIONS		TOTAL	0	
ADJUSTED CONTRACT COMPLETION				August 31, 2013

CONTRACT STATUS

PERCENTAGE OF CONTRACT TIME EXPIRED	56	of	391	14%
PERCENTAGE OF CONTRACT FUNDS EXPENDED				0%

NOTES: 1) This project was approved by the TJJD Board on April 6, 2012.

BUDGET STATUS

DESCRIPTION:	ORIGINAL PROJECT BUDGET	MANAGEMENT APPROVED CHANGES OR VARIANCES	REVISED PROJECT BUDGET	APPROVED CHANGES OR VARIANCES	CONTROL BUDGET	PENDING CHANGES OR VARIANCES	PROJECTED BUDGET	INVOICED THRU 9/30/12
ARCHITECT		48,000	48,000		48,000		48,000	29,600
TYC ADMINISTRATION			0		0		0	
OWNER FURNISHED ITEMS			0		0		0	
GENERAL CONTRACTOR		2,749,380	2,749,380		2,749,380		2,749,380	
PROJECT CONTINGENCY		0	0	0	0		0	
TOTAL PROJECT COST		2,797,380	2,797,380		2,797,380		2,797,380	29,600

CONTINGENCY AS % OF G.C.

NOTES:

**Texas Juvenile Justice Department FY 2008-2009 Projects
Project Cost Information Summary**

September 30, 2012											Invoiced as of 9/30/12
LOCATION	Original Budget	Revised Budget	Revised Project Contingency	All Approved Changes	General Contractor Change Order Dollars	No. of G. C. Change Orders	% of C. O. Dollars to G. C. Contract Amount	All Pending Changes	Remaining Contingency	Invoiced Amount	% of Revised Budget
<i>AI Price</i>	\$ 200,000	\$ 57,423	\$ 176,940	\$ 176,940	\$ -		0.00%	\$ -	\$ -	\$ 57,423	100.00%
Corsicana	\$ 726,433	\$ 1,681,188	\$ 66,916	\$ 66,916	\$ 935,440	19	212.02%	\$ -	\$ -	\$ 1,323,957	78.75%
<i>Crockett</i>	\$ 741,105	\$ 651,776	\$ 83,204	\$ 83,204	\$ -		0.00%	\$ -	\$ -	\$ 651,776	100.00%
Evins	\$ 206,400	\$ 493,796	\$ 23,837	\$ 23,837	\$ 161,923	11	79.06%	\$ -	\$ -	\$ 404,273	81.87%
Gainesville	\$ 4,987,657	\$ 3,847,266	\$ 365,846	\$ 365,846	\$ 779,896	30	33.33%	\$ -	\$ -	\$ 3,495,701	90.86%
Giddings	\$ 1,901,571	\$ 1,670,294	\$ 231,165	\$ 231,165	\$ 25,960	4	2.27%	\$ -	\$ -	\$ 1,472,598	88.16%
McLennan County	\$ 315,760	\$ 679,394	\$ 35,470	\$ 35,470	\$ 187,697	8	80.03%	\$ -	\$ -	\$ 326,852	48.11%
Ron Jackson	\$ 737,090	\$ 734,879	\$ 99,012	\$ 99,012	\$ 50,866	8	8.84%	\$ -	\$ -	\$ 728,387	99.12%
Construct Recreation Space at McLennan	\$ 1,075,508	\$ 1,075,508	\$ -	\$ -	\$ -		0.00%	\$ -	\$ -	\$ 6,300	0.59%
<i>Construct Education Bldg at Crockett</i>	\$ 1,787,211	\$ 10,191	\$ -	\$ -	\$ -		0.00%	\$ -	\$ -	\$ 10,191	100.00%
<i>Open Bay Dorm Alteration - AI Price</i>	\$ 5,152,000	\$ 3,658,920	\$ 384,000	\$ 384,000	\$ 255,685	27	7.99%	\$ -	\$ -	\$ 3,658,920	100.00%
<i>Open Bay Dorm Alteration - AI Price #2</i>	\$ -	\$ 131,697	\$ -	\$ -	\$ -		0.00%	\$ -	\$ -	\$ 131,697	100.00%
<i>Open Bay Dorm Alteration - Crockett</i>	\$ 2,700,000	\$ 2,507,863	\$ 260,600	\$ 260,600	\$ 195,924	20	9.03%	\$ -	\$ -	\$ 2,507,863	100.00%
<i>Open Bay Dorm Alteration - Evins</i>	\$ 3,020,000	\$ 3,246,659	\$ 356,193	\$ 356,193	\$ 711,301	47	28.97%	\$ -	\$ -	\$ 3,246,659	100.00%
<i>Open Bay Dorm Alteration - Giddings</i>	\$ 3,360,000	\$ 2,004,097	\$ 202,838	\$ 202,838	\$ 396,397	14	27.93%	\$ -	\$ -	\$ 2,004,097	100.00%
Open Bay Dorm Alteration - Giddings #2	\$ -	\$ 2,797,380	\$ -	\$ -	\$ -		0.00%	\$ -	\$ -	\$ 29,600	1.06%
<i>Open Bay Dorm Alteration - McLennan</i>	\$ 4,078,000	\$ 3,863,408	\$ 408,000	\$ 408,000	\$ 247,485	30	7.28%	\$ -	\$ -	\$ 3,863,408	100.00%
<i>Open Bay Dorm Alteration - Ron Jackson</i>	\$ 1,690,000	\$ 1,789,976	\$ 155,000	\$ 155,000	\$ 416,633	21	32.94%	\$ -	\$ -	\$ 1,789,976	100.00%
TOTAL	\$ 32,678,735	\$ 30,901,715	\$ 2,849,021	\$ 2,849,021	\$ 4,365,207	239		\$ -	\$ -	\$ 25,709,678	83.20%

FY08-09 BOND FUNDS (Remodel Dorms) \$ 20,000,000
 Rehabilitate Buildings and Systems 9,816,016
 New Construction at Existing Facilities 2,862,719
 Lapse budget for Crockett New Construction (1,777,020)
TOTAL BUDGET \$ 30,901,715

COMPLETED PROJECTS IN ITALICS

TEXAS JUVENILE JUSTICE DEPARTMENT
FY 2010-11

Corsicana Residential Treatment Center

ARCHITECT: KGA Architecture
GENERAL CONTRACTOR: Zimmerman Construction Co, Inc.

HUB % 44
HUB % 15

NOTICE TO PROCEED: April 6, 2011

PROJECT DESCRIPTION:	WORK IN PLACE	COMPLETION DATE
		Beneficial Occupancy Estimated Final Completion
Facility Security Fence, Gates, Lighting	99%	
Building Electrical Systems	99%	
Building Fire Protection Systems	99%	

SCHEDULE STATUS

ORIGINAL CONTRACT COMPLETION	330 days			March 1, 2012
EXTENSIONS FOR WEATHER		Previous	0	
		New	0	
		Subtotal	0	
EXTENSIONS FOR SCOPE CHANGES		Previous	438	
		New	0	
		Subtotal	438	
TOTAL TIME EXTENSIONS		TOTAL	438	
ADJUSTED CONTRACT COMPLETION				May 13, 2013

CONTRACT STATUS

PERCENTAGE OF CONTRACT TIME EXPIRED	544	of	768	71%
PERCENTAGE OF CONTRACT FUNDS EXPENDED				65%

NOTES: 1) Notice to Proceed issued to General Contractor on April 6, 2011.

BUDGET STATUS

DESCRIPTION:	ORIGINAL PROJECT BUDGET	MANAGEMENT APPROVED CHANGES OR VARIANCES	REVISED PROJECT BUDGET	APPROVED CHANGES OR VARIANCES	CONTROL BUDGET	PENDING CHANGES OR VARIANCES	PROJECTED BUDGET	INVOICED THRU 9/30/12
ARCHITECT	53,688		53,688	4,258	57,946		57,946	39,798
TYC ADMINISTRATION	57,500		57,500	(51,356)	6,144		6,144	6,144
OWNER FURNISHED ITEMS	0		0		0		0	
RESERVED FOR ADDITIONAL WORK	0	170,000	170,000	(95,000)	75,000		75,000	
GENERAL CONTRACTOR	457,977	(151,407)	306,570		306,570	143,462	450,032	293,808
PROJECT CONTINGENCY	66,915	(18,593)	48,322	142,098	190,420	(143,462)	46,958	
TOTAL PROJECT COST	636,080	0	636,080	0	636,080	0	636,080	339,750
CONTINGENCY AS % OF G.C.	15%		16%		62%		10%	

NOTES:

TEXAS JUVENILE JUSTICE DEPARTMENT
FY 2010-11

Evins Regional Juvenile Center - Edinburg

ARCHITECT: T. Howard and Associates HUB % 30
 GENERAL CONTRACTOR: Gaeke Construction Co, Inc. HUB % 8
 NOTICE TO PROCEED: May 17, 2011

PROJECT DESCRIPTION: WORK IN PLACE COMPLETION DATE
 Beneficial Occupancy Estimated Final Completion

Repair & Replace Roofs 99%
 Building Plumbing Systems 99%
 Building HVAC Systems 99%

SCHEDULE STATUS

ORIGINAL CONTRACT COMPLETION	240 days			January 11, 2012
EXTENSIONS FOR WEATHER		Previous	0	
		New	0	
		Subtotal	0	
EXTENSIONS FOR SCOPE CHANGES		Previous	348	
		New	0	
		Subtotal	348	
TOTAL TIME EXTENSIONS		TOTAL	348	
ADJUSTED CONTRACT COMPLETION				December 24, 2012

CONTRACT STATUS

PERCENTAGE OF CONTRACT TIME EXPIRED	503	of	588	86%
PERCENTAGE OF CONTRACT FUNDS EXPENDED				81%

NOTES: 1) Notice to Proceed issued to General Contractor on May 17, 2011.

BUDGET STATUS

DESCRIPTION:	ORIGINAL PROJECT BUDGET	MANAGEMENT APPROVED CHANGES OR VARIANCES	REVISED PROJECT BUDGET	APPROVED CHANGES OR VARIANCES	CONTROL BUDGET	PENDING CHANGES OR VARIANCES	PROJECTED BUDGET	INVOICED THRU 9/30/12
ARCHITECT	74,750		74,750	1,000	75,750		75,750	55,600
TYC ADMINISTRATION	87,000		87,000	(78,540)	8,460		8,460	8,460
OWNER FURNISHED ITEMS	0		0		0		0	
RESERVED FOR ADDITIONAL WORK	0		0	75,000	75,000		75,000	
GENERAL CONTRACTOR	629,440	(75,992)	553,448		553,448	109,403	662,851	539,782
PROJECT CONTINGENCY	83,033	75,992	159,025	2,540	161,565	(109,403)	52,162	
TOTAL PROJECT COST	874,223	0	874,223	0	874,223	0	874,223	603,842
CONTINGENCY AS % OF G.C.	13%		29%		29%		8%	

NOTES:

TEXAS JUVENILE JUSTICE DEPARTMENT
FY 2010-11

Giddings State School

ARCHITECT: T. Howard and Associates
GENERAL CONTRACTOR: Gaeke Construction Co, Inc.

HUB % 30
HUB % 8

NOTICE TO PROCEED: May 17, 2011

PROJECT DESCRIPTION: WORK IN PLACE COMPLETION DATE
Beneficial Occupancy Estimated Final Completion

Building Plumbing Systems 95%

SCHEDULE STATUS

ORIGINAL CONTRACT COMPLETION	240 days			January 11, 2012
EXTENSIONS FOR WEATHER		Previous	0	
		New	0	
		Subtotal	0	
EXTENSIONS FOR SCOPE CHANGES		Previous	348	
		New	0	
		Subtotal	348	
TOTAL TIME EXTENSIONS		TOTAL	348	
ADJUSTED CONTRACT COMPLETION				December 24, 2012

CONTRACT STATUS

PERCENTAGE OF CONTRACT TIME EXPIRED	503	of	588	86%
PERCENTAGE OF CONTRACT FUNDS EXPENDED				71%

NOTES: 1) Notice to Proceed issued to General Contractor on May 17, 2011.

BUDGET STATUS

DESCRIPTION:	ORIGINAL PROJECT BUDGET	MANAGEMENT APPROVED CHANGES OR VARIANCES	REVISED PROJECT BUDGET	APPROVED CHANGES OR VARIANCES	CONTROL BUDGET	PENDING CHANGES OR VARIANCES	PROJECTED BUDGET	INVOICED THRU 9/30/12
ARCHITECT	7,687		7,687	8,332	16,019		16,019	5,763
TYC ADMINISTRATION	8,000		8,000	(7,121)	879		879	879
OWNER FURNISHED ITEMS	0		0		0		0	
RESERVED FOR ADDITIONAL WORK	0	14,000	14,000	(1,000)	13,000		13,000	
GENERAL CONTRACTOR	64,584	(12,584)	52,000		52,000		52,000	37,098
PROJECT CONTINGENCY	9,429	(1,416)	8,013	(211)	7,802	0	7,802	
TOTAL PROJECT COST	89,700	0	89,700	0	89,700	0	89,700	43,740
CONTINGENCY AS % OF G.C.	15%		15%		15%		15%	

NOTES:

TEXAS JUVENILE JUSTICE DEPARTMENT
FY 2010-11

McLennan County Juvenile Facility

ARCHITECT: T. Howard and Associates
GENERAL CONTRACTOR: Gaeke Construction Co, Inc.

HUB % 30
HUB % 8

NOTICE TO PROCEED: May 17, 2011

PROJECT DESCRIPTION:	WORK IN PLACE	COMPLETION DATE
Sewer Distribution Systems	100%	Beneficial Occupancy
Facility Security Fence, Gates, Lighting	99%	Estimated Final Completion

SCHEDULE STATUS

ORIGINAL CONTRACT COMPLETION	240 days		January 11, 2012
EXTENSIONS FOR WEATHER	Previous	0	
	New	0	
	Subtotal	0	
EXTENSIONS FOR SCOPE CHANGES	Previous	348	
	New	0	
	Subtotal	348	
TOTAL TIME EXTENSIONS	TOTAL	348	
ADJUSTED CONTRACT COMPLETION			December 24, 2012

CONTRACT STATUS

PERCENTAGE OF CONTRACT TIME EXPIRED	503	of	588		86%
PERCENTAGE OF CONTRACT FUNDS EXPENDED					55%

NOTES: 1) Notice to Proceed issued to General Contractor on May 17, 2011.

BUDGET STATUS

DESCRIPTION:	ORIGINAL PROJECT BUDGET	MANAGEMENT APPROVED CHANGES OR VARIANCES	REVISED PROJECT BUDGET	APPROVED CHANGES OR VARIANCES	CONTROL BUDGET	PENDING CHANGES OR VARIANCES	PROJECTED BUDGET	INVOICED THRU 9/30/12
ARCHITECT	15,579		15,579	2,055	17,634		17,634	13,579
TYC ADMINISTRATION	13,500		13,500	(11,745)	1,755		1,755	1,755
OWNER FURNISHED ITEMS	0		0	5,348	5,348		5,348	5,348
RESERVED FOR ADDITIONAL WORK	0		0	95,000	95,000		95,000	
GENERAL CONTRACTOR	131,155	(87,155)	44,000		44,000	10,500	54,500	30,000
PROJECT CONTINGENCY	21,926	87,155	109,081	(90,658)	18,423	(10,500)	7,923	
TOTAL PROJECT COST	182,160	0	182,160	0	182,160	0	182,160	50,682
CONTINGENCY AS % OF G.C.:	17%		248%		42%		15%	

NOTES:

TEXAS JUVENILE JUSTICE DEPARTMENT
FY 2010-11

Ron Jackson Unit I - Brownwood

ARCHITECT: KGA Architecture
 GENERAL CONTRACTOR: Zimmerman Construction Co, Inc.

HUB % 44
 HUB % 15

NOTICE TO PROCEED: April 6, 2011

PROJECT DESCRIPTION:	WORK IN PLACE	COMPLETION DATE	
		Beneficial Occupancy	Estimated Final Completion
Facility Security Fence, Gates, Lighting	100%		
Building Structural Repairs	100%		
Building Security Systems	100%		

SCHEDULE STATUS

ORIGINAL CONTRACT COMPLETION	330 days			March 1, 2012
EXTENSIONS FOR WEATHER		Previous	0	
		New	0	
		Subtotal	0	
EXTENSIONS FOR SCOPE CHANGES		Previous	438	
		New	0	
		Subtotal	438	
TOTAL TIME EXTENSIONS		TOTAL	438	
ADJUSTED CONTRACT COMPLETION				May 13, 2013

CONTRACT STATUS

PERCENTAGE OF CONTRACT TIME EXPIRED	544	of	768	71%
PERCENTAGE OF CONTRACT FUNDS EXPENDED				100%

NOTES: 1) Notice to Proceed issued to General Contractor on April 6, 2011.

BUDGET STATUS

DESCRIPTION:	ORIGINAL PROJECT BUDGET	MANAGEMENT APPROVED CHANGES OR VARIANCES	REVISED PROJECT BUDGET	APPROVED CHANGES OR VARIANCES	CONTROL BUDGET	PENDING CHANGES OR VARIANCES	PROJECTED BUDGET	INVOICED THRU 9/30/12
ARCHITECT	53,840		53,840	5,100	58,940		58,940	53,022
TYC ADMINISTRATION	65,500	(10,000)	55,500	(52,239)	3,261		3,261	3,261
OWNER FURNISHED ITEMS	0		0		0		0	
RESERVED FOR ADDITIONAL WORK	0		0	42,500	42,500		42,500	
GENERAL CONTRACTOR	502,498	130,748	633,246	(11,000)	622,246		622,246	622,246
PROJECT CONTINGENCY	77,132	(120,748)	(43,616)	135,639	92,023	0	92,023	
TOTAL PROJECT COST	698,970	0	698,970	120,000	818,970	0	818,970	678,529
CONTINGENCY AS % OF G.C.	15%		-7%		15%		15%	

NOTES:

TEXAS JUVENILE JUSTICE DEPARTMENT
FY 2010-11

Video Surveillance System Maintenance - All Facilities

ARCHITECT:
GENERAL CONTRACTOR:

HUB %
HUB %

NOTICE TO PROCEED:

PROJECT DESCRIPTION:

WORK IN PLACE

COMPLETION DATE
Beneficial Occupancy Estimated Final Completion

Video Surveillance System Maintenance

93%

SCHEDULE STATUS

ORIGINAL CONTRACT COMPLETION			
EXTENSIONS FOR WEATHER	Previous	0	
	New	0	
	Subtotal	0	
EXTENSIONS FOR SCOPE CHANGES	Previous	0	
	New	0	
	Subtotal	0	
TOTAL TIME EXTENSIONS	TOTAL	0	
ADJUSTED CONTRACT COMPLETION			

CONTRACT STATUS

PERCENTAGE OF CONTRACT TIME EXPIRED	0	of	0
PERCENTAGE OF CONTRACT FUNDS EXPENDED			
	73%		

NOTES:

BUDGET STATUS

DESCRIPTION:	ORIGINAL PROJECT BUDGET	MANAGEMENT APPROVED CHANGES OR VARIANCES	REVISED PROJECT BUDGET	APPROVED CHANGES OR VARIANCES	CONTROL BUDGET	PENDING CHANGES OR VARIANCES	PROJECTED BUDGET	INVOICED THRU 9/30/12
ARCHITECT			0		0		0	1,069,029
TYC ADMINISTRATION			0		0		0	
OWNER FURNISHED ITEMS	1,470,544		1,470,544		1,470,544		1,470,544	
INDUSTRY FURNISHED ITEMS (TCI)			0		0		0	
GENERAL CONTRACTOR	0		0		0		0	
PROJECT CONTINGENCY	0	0	0	0	0	0	0	
TOTAL PROJECT COST	1,470,544	0	1,470,544	0	1,470,544	0	1,470,544	

CONTINGENCY AS % OF G.C.

NOTES:

**Texas Juvenile Justice Department FY 2010-2011 Projects
Project Cost Information Summary**

September 30, 2012											
LOCATION	Original Budget	Revised Budget	Revised Project Contingency	All Approved Changes	General Contractor Change Order Dollars	No. of G. C. Change Orders	% of C. O. Dollars to G. C. Contract Amount	All Pending Changes	Remaining Contingency	Invoiced as of 9/30/12 Invoiced Amount	% of Revised Budget
<i>Al Price</i>	\$ 334,055	\$ 334,055	\$ 308,289	\$ (5,341)	\$ -		0.00%	\$ -	\$ 313,630	\$ 20,425	6.11%
Corsicana	\$ 636,080	\$ 636,080	\$ 48,322	\$ (142,098)	\$ -		0.00%	\$ 143,462	\$ 121,958	\$ 339,750	53.41%
<i>Crockett</i>	\$ 837,297	\$ 717,297	\$ 185,390	\$ (275,614)	\$ (126,918)	1	-38.02%	\$ -	\$ 461,004	\$ 256,293	35.73%
Evins	\$ 874,223	\$ 874,223	\$ 159,025	\$ (2,540)	\$ -		0.00%	\$ 109,403	\$ 127,162	\$ 603,842	69.07%
Gainesville	\$ 433,622	\$ 433,622	\$ 340,815	\$ 17,050	\$ -		0.00%	\$ -	\$ 323,765	\$ 54,805	12.64%
Giddings	\$ 89,700	\$ 89,700	\$ 8,013	\$ 211	\$ -		0.00%	\$ -	\$ 20,802	\$ 43,740	48.76%
McLennan County	\$ 182,160	\$ 182,160	\$ 109,081	\$ 90,658	\$ -		0.00%	\$ 10,500	\$ 102,923	\$ 50,682	27.82%
Ron Jackson	\$ 698,970	\$ 818,970	\$ (43,616)	\$ (135,639)	\$ (11,000)	1	-1.74%	\$ -	\$ 134,523	\$ 678,529	82.85%
Maintenance for Video Surveillance Sys	\$ 1,470,544	\$ 1,470,544	\$ -	\$ -	\$ -		0.00%	\$ -	\$ 131,714	\$ 1,069,029	72.70%
<i>*Deferred Projects</i>	\$ -	\$ 888,601	\$ 888,601	\$ 64,632	\$ -		0.00%	\$ -	\$ 823,969	\$ 43,632	4.91%
TOTAL	\$ 5,556,651	\$ 6,445,252	\$ 2,003,920	\$ (388,681)	\$ (137,918)	2		\$ 263,365	\$ 2,561,450	\$ 3,160,727	49.04%

FY2010-11 BOND FUNDS \$ 5,556,651
 GEN REV-FEMA Reimb-Receivable 888,601
 REVISED BUDGET \$ 6,445,252

COMPLETED PROJECTS IN ITALICS

* Project work and contingency amounts deferred as a result of Hurricane Rita. Work to be reinstated when FEMA reimbursements are received.



Texas Juvenile Justice Department Memorandum

To: Mike Griffiths, Executive Director
From: Bill Monroe, Sr. Director of Finance and Technology
Subject: Request For Proposal (RFP) for Residential Services (*Discussion Item*)
Date: November 6, 2012

A. BACKGROUND

The Texas Juvenile Justice Department (TJJD), the state's juvenile justice agency, partners with vendors, counties, state agencies and communities to provide a safe and secure environment where youth in the agency's care and custody receive individualized education, treatment, life skills and employment training and positive role models to facilitate successful community reintegration.

To assist with a youth's successful reintegration back into the community, TJJD is seeking service providers to provide proposals for timely and professional provision of residential based services for adjudicated juvenile offenders, in either non-secure or secure settings and facilities, in need of assistance to successfully transition back into their homes and communities and to participants' families. This Request for Proposals (RFP) and any resulting contract(s) will be administered by the Texas Juvenile Justice Department ("Department").

DRAFT STATEMENT OF WORK

B. SCOPE OF SERVICES

1. General Description

The Department would like to implement a variety of residential based services, program and interventions via contract as a way to provide intensive and appropriate services to juveniles that have been adjudicated for commitment or probation. At present, counties continue to experience a significant number of referrals for serious or chronic juvenile offenses at a time when local and state resources are stretched beyond their limits due to decreased funding and increased demand for governmental support. Also, many of these referrals and adjudications are involved with juveniles that have mild to severe mental health issues as well as substance abuse and/or involved in sexual abuse. For these reasons, the need for the a spectrum of services from basic to intensive continues to exist. The purpose of this solicitation is to obtain the services of one or more professional service providers who can provide residential based services in a timely manner in one or more locations throughout Texas and possible outside Texas for specific non-secure services.



Texas Juvenile Justice Department
Safety & Security Committee Meeting

Thursday, November 15, 2012 – 1:00 p.m.
Bexar County Juvenile Probation Department - Rooms 1110/1113
301 E. Mitchell, San Antonio, TX 78210

1. Call to order
2. August 23, 2012 meeting minutes
3. Office of the Inspector General update
4. Administrative investigations update
5. Facilities update
6. Certified officer rules of professional responsibilities and guide to the disciplinary process
7. Discipline of Certified Officers – Default Judgment Orders and possible recommendation to the full Board
 - a. Vernon T. Cooper, 12-22355-11010158, Dallas County
 - b. Adrian J. Hill, 12-21048-110158, Dallas County
 - c. Jesus Hernandez Jr., 12-21-099-110127, Hidalgo County
8. Discipline of Certified Officers-Agreed Orders and possible recommendation to the full Board
 - a. Debra Westbrook, 12-16938, Travis
 - b. Daniel Herring, 12-24112-120053, Galveston County
9. Adjourn

- Items may not necessarily be considered in the order in which they appear on the agenda.
- Committee meetings may include a quorum of the Board in attendance.

**Texas Juvenile Justice Department (TJJD)
Office of Inspector General (OIG)
Summary Indicators for FY 2012-2013 Biennium**

	FY 2012 ¹	FY 2013 ²
• Incident Reporting Center (IRC) reports	11,154	1,707
• IRC referrals for Criminal Investigations	993	164
• OIG Criminal Investigations opened	1,160	185
• OIG Criminal Investigations closed	980	177
• OIG Criminal Investigations submitted to Prosecution	322	53
• TJJD Active Directives to Apprehend (FY12: Parole Violations-61, Escape/Abscond-7; FY13: Parole Violations-58, Escape/Abscond-12)	68	70
• OIG Apprehensions	114	6

¹ As of August 31, 2012

² As of October 29, 2012

Assaults - Criminal Investigations FY 2013

September 1, 2012 to October 31, 2012

	Youth/Staff Assaults														
	Sept 2012	Oct 2012	Nov 2012	Dec 2012	Jan 2013	Feb 2013	Mar 2013	Apr 2013	May 2013	June 2013	July 2013	Aug 2013			Total
Secure Facilites															
Corsicana	13	7													20
Evins	0	5													5
Gainesville	5	5													10
Giddings	4	3													7
McLennan I	0	0													0
McLennan II	1	0													1
McLennan O&A	0	0													0
Ron Jackson I&II	7	5													12
	30	25	0	0	0	0	0	0	0	0	0	0			55
Youth/Youth Assaults															
	Sept 2012	Oct 2012	Nov 2012	Dec 2012	Jan 2013	Feb 2013	Mar 2013	Apr 2013	May 2013	June 2013	July 2013	Aug 2013			Total
Secure Facilites															
Corsicana	3	0													3
Evins	1	1													2
Gainesville	0	0													0
Giddings	0	0													0
McLennan I	0	0													0
McLennan II	0	1													1
McLennan O&A	0	0													0
Ron Jackson I&II	0	0													0
	4	2	0	0	0	0	0	0	0	0	0	0			6
Staff/Youth Assaults															
	Sept 2012	Oct 2012	Nov 2012	Dec 2012	Jan 2013	Feb 2013	Mar 2013	Apr 2013	May 2013	June 2013	July 2013	Aug 2013			Total
Secure Facilites															
Corsicana	0	0													0
Evins	0	0													0
Gainesville	0	0													0
Giddings	0	0													0
McLennan I	0	0													0
McLennan II	0	1													1
McLennan O&A	0	0													0
Ron Jackson I&II	0	0													0
	0	1	0	0	0	0	0	0	0	0	0	0			1

OIG Contraband Criminal Investigations FY 2013

September 1, 2012 - October 31, 2012

	Sept 2012	Oct 2012	Nov 2012	Dec 2012	Jan 2013	Feb 2013	Mar 2013	Apr 2013	May 2013	June 2013	July 2013	Aug 2013	
Halfway House													
Ayres House													
Contraband	0	0											0
Illegal Drugs	0	0											0
Cell Phone	0	0											0
McFadden													
Contraband	1	0											1
Illegal Drugs	0	0											0
Cell Phone	0	0											0
Turman House													
Contraband	0	0											0
Illegal Drugs	1	0											1
Cell Phone	0	0											0
Contract Facilities													
Byrds Therapeutic													
Contraband	0	0											0
Illegal Drugs	0	0											0
Cell Phone	0	0											0
New Day Achiev.													
Contraband	0	0											0
Illegal Drugs	0	0											0
Cell Phone	0	0											0

Totals FY 2013	
Contraband	15
Illegal Drugs	13
Cell Phone	0